



Department
of Health

Annual Performance Report December EICC Meeting

December 3, 2020

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2018-2019 APR
Submitted February 1, 2020



Department
of Health

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Part C Results-Driven Accountability Determinations

OSEP Determination*	Issued in 2014 (PY2012-13)	Issued in 2015 (PY2013-14)	Issued in 2016 (PY2014-15)	Issued in 2017 (PY2015-16)	Issued in 2018 (PY2016-17)	Issued in 2019 (PY2017-18)	Issued in 2020 (PY2018-19)
Meets Requirements	36 States	22 States	30 States	30 States (NY: 84.38%)	31 States	28 States (NY: 90.97%)	27 States
Needs Assistance (one year)	16 States (NY: 70.00%)	21 States	3 States	7 States	8 States (NY: 78.13%)	12 States	8 States (NY: 78.47%)
Needs Assistance (two or more consecutive years)	Category Not Available	12 States (NY: 71.88%)	22 States (NY: 78.47%)	18 States	17 States	17 States	19 States
Needs Intervention (one year)	4 States	0	0	1 State	0	0	2 States
Needs Substantial Intervention	Category Not Available	1 State	1 State	0	0	0	0

*Meets Requirements = 80% and above
Needs Assistance = 60% to 80%
States and Territories

<https://sites.ed.gov/idea/>

<https://sites.ed.gov/idea/files/ideafactsheet-determinations-2020.pdf>



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New York 2020 Part C Results-Driven Accountability Matrix

Results-Driven Accountability Percentage and Determination¹

Percentage (%)	Determination
78.47	Needs Assistance

2019 Determination: 90.97%

Meets Requirements

Results and Compliance Overall Scoring

	Total Points Available	Points Earned	Score (%)
Results	8	7 5	87.5 62.5
Compliance	18	17	94.44

Meets Requirements = 80% and above

Needs Assistance = 60% to 80%

The 2020 Part C Results-Driven Accountability Matrix is posted in:

https://www.health.ny.gov/community/infants_children/early_intervention/docs/ny_part_c_ffy_2018_spp_apr_response.pdf



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2020 Part C Compliance Matrix

Part C Compliance Indicator ¹	Performance (%)	Full Correction of Findings of Noncompliance Identified in FFY 2017	Score
Indicator 1: Timely service provision	82.69	Yes	1
Indicator 7: 45-day timeline	96.11	Yes	2
Indicator 8A: Timely transition plan	99.95	Yes	2
Indicator 8B: Transition notification	99.58	Yes	2
Indicator 8C: Timely transition conference	98.06	Yes	2
Timely and Accurate State-Reported Data	100		2
Timely State Complaint Decisions	100		2
Timely Due Process Hearing Decisions	100		2
Longstanding Noncompliance			2
Special Conditions	None		
Uncorrected identified noncompliance	None		



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I. Results Component — Data Quality

Data Quality Total Score (completeness + anomalies)	4	3
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(a) Data Completeness: The percent of children included in your State's 2018 Outcomes Data (Indicator C3)

Number of Children Reported in Indicator C3 (i.e. outcome data)	2951
Number of Children Reported Exiting in 618 Data (i.e. 618 exiting data)	29963
Percentage of Children Exiting who are Included in Outcome Data (%)	N/A
Data Completeness Score²	2

(b) Data Anomalies: Anomalies in your State's FFY 2018 Outcomes Data

Data Anomalies Score³	2	1
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II. Results Component — Child Performance

Child Performance Total Score (state comparison + year to year comparison)	3	2
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(a) Comparing your State's 2018 Outcomes Data to other State's 2018 Outcomes Data

Data Comparison Score⁴	1
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(b) Comparing your State's FFY 2018 data to your State's FFY 2017 data

Performance Change Score⁵	2	1
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Anomalies in Child Outcome Data (Background)

Child outcome A

Positive social emotional skills

Child outcome B

Acquisition and use of knowledge and skills

Child outcome C

Use of appropriate behaviors to meet their needs

Entry rate on Child Outcome A

To what extent does this child show **POSITIVE SOCIAL EMOTIONAL SKILLS (INCLUDING RELATIONSHIPS)** APPROPRIATE FOR HIS OR HER AGE and ACROSS A VARIETY OF SETTINGS AND SITUATIONS?

Completely	Somewhat	Emerging	Not Yet
7	6	5	4
			3
			2
			1

Exit rate on Child Outcome A

(1) To what extent does this child show **POSITIVE SOCIAL EMOTIONAL SKILLS (INCLUDING RELATIONSHIPS)** since the ENTRY outcomes form was completed? Please choose Yes or No below.

Completely	Somewhat	Emerging	Not Yet
7	6	5	4
			3
			2
			1

(2) Has the child shown ANY new skills or behaviors related to **POSITIVE SOCIAL EMOTIONAL SKILLS (INCLUDING RELATIONSHIPS)** since the ENTRY outcomes form was completed? Please choose Yes or No below.

☐ YES ☐ NO

If Yes, briefly describe progress made, including new skills, behaviors, and/or functional abilities:

Entry Rate	Exit Rate	New Skills?	OSEP Category
1	1	NO	a: Children who did not improve functioning
1	1	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
2	1 or 2	NO	a: Children who did not improve functioning
2	1 or 2	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
3	1 or 2 or 3	NO	a: Children who did not improve functioning
3	1 or 2 or 3	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
4	1 or 2 or 3 or 4	NO	a: Children who did not improve functioning
4	1 or 2 or 3 or 4	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
5	1 or 2 or 3 or 4 or 5	NO	a: Children who did not improve functioning
5	1 or 2 or 3 or 4 or 5	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
6	1 or 2 or 3 or 4 or 5	NO	a: Children who did not improve functioning
6	1 or 2 or 3 or 4 or 5	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
7	1 or 2 or 3 or 4 or 5	NO	a: Children who did not improve functioning
7	1 or 2 or 3 or 4 or 5	YES	b: Children who improved functioning but not sufficient to move nearer to functioning comparable to same age peers
1	2 or 3 or 4 or 5	N/A	c: Children who improved functioning to a level nearer to same-aged peers but did not reach it
2	3 or 4 or 5	N/A	c: Children who improved functioning to a level nearer to same-aged peers but did not reach it
3	4 or 5	N/A	c: Children who improved functioning to a level nearer to same-aged peers but did not reach it
4	5	N/A	c: Children who improved functioning to a level nearer to same-aged peers but did not reach it
1	6 or 7	N/A	d: Children who improved functioning to reach a level comparable to same-aged peers
2	6 or 7	N/A	d: Children who improved functioning to reach a level comparable to same-aged peers
3	6 or 7	N/A	d: Children who improved functioning to reach a level comparable to same-aged peers
4	6 or 7	N/A	d: Children who improved functioning to reach a level comparable to same-aged peers
5	6 or 7	N/A	d: Children who improved functioning to reach a level comparable to same-aged peers
6	6 or 7	N/A	e: Children who maintained functioning at a level comparable to same-aged peers
7	6 or 7	N/A	e: Children who maintained functioning at a level comparable to same-aged peers

Summary Statement 1 (SS1) = 100% * (c + d)/(a + b + c + d)

Summary Statement 2 (SS2) = 100% * (d + e)/(a + b + c + d + e)



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Anomalies in Child Outcome Data, cont'd

Outcome\Category	Mean	StDev	-1SD	+1SD
Outcome A\Category a	2.55 2.24	5.67 4.9	-2.66	8.22 7.13
Outcome B\Category a	2.17 1.85	5.59 4.73	-2.89	7.76 6.58
Outcome C\Category a	2.25 1.91	6.08 5.2	-3.29	8.33 7.11

Outcome A — Positive Social Relationships	Category a	Outcome B — Knowledge and Skills	Category a	Outcome C — Actions to Meet Needs	Category a
State Performance	244	State Performance	203	State Performance	248
Performance (%)	6.93 8.27	Performance (%)	6.57 6.88	Performance (%)	6.93 8.4
Scores	0	Scores	0	Scores	0

	Total Score	Data Anomalies Score	Total Points Received in All Progress Areas
Outcome A	5 4	0	0 through 9 points
Outcome B	5 4	1	10 through 12 points
Outcome C	5 4	2	13 through 15 points
Outcomes A-C	15 12	2 1	



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**Scoring Percentages for the 10th and 90th Percentile for
Each Outcome and Summary Statement, FFY 2018**

Percentiles	Outcome A SS1	Outcome A SS2	Outcome B SS1	Outcome B SS2	Outcome C SS1	Outcome C SS2
10	46.61%	39%	55.87%	32.49%	57.81%	39.04%
90	84.65%	70.31%	85.24%	57.59%	87.33%	79.89%

Data Comparison Score	Total Points Received Across SS1 and SS2
0	0 through 4 points
1	5 through 8 points
2	9 through 12 points

Your State's Summary Statement Performance FFY 2018

Summary Statement (SS)	Outcome A: Positive Social Relationships SS1	Outcome A: Positive Social Relationships SS2	Outcome B: Knowledge and Skills SS1	Outcome B: Knowledge and Skills SS2	Outcome C: Actions to meet needs SS1	Outcome C: Actions to meet needs SS2
Performance (%)	67.61	43.68	74.65	40.29	74.92	38.29
Points	1	1	1	1	1	0

Total Points Across SS1 and SS2(*)	5
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Your State's Data Comparison Score	1
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Child outcome A
Positive social emotional skills

Child outcome B
Acquisition and use of
knowledge and skills
(including early
language/communication)

Child outcome C
Use of appropriate behaviors to
meet their needs

SS1: the percent of children
who made improvements
SS2: the percent of children
exited at age expectation
among all children in the
sample



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Comparing This Year's Data to Last Year's

Summary Statement/ Child Outcome	FFY 2017 N	FFY 2017 Summary Statement (%)	FFY 2018 N	FFY 2018 Summary Statement (%)	Difference between Percentages (%)	Std Error	z value	p-value	p<=.05	Score: 0 = significant decrease 1 = no significant change 2 = significant increase
SS1/Outcome A: Positive Social Relationships	1109	64.29	2464	67.61	3.32	0.0172	1.931	0.0535	No	2 1
SS1/Outcome B: Knowledge and Skills	1216	74.26	2682	74.65	0.39	0.0151	0.2557	0.7982	No	1
SS1/Outcome C: Actions to meet needs	1285	73.54	2767	74.92	1.38	0.0148	0.9303	0.3522	No	1
SS2/Outcome A: Positive Social Relationships	1357	44.73	2951	43.68	-1.05	0.0163	-0.6449	0.519	No	2 1
SS2/Outcome B: Knowledge and Skills	1355	39.34	2951	40.29	0.96	0.0161	0.5954	0.5516	No	1
SS2/Outcome C: Actions to meet needs	1356	36.95	2951	38.29	1.35	0.0159	0.8476	0.3967	No	1

**Indicator 2 Overall
Performance Change Score**

0	Lowest score through 3
1	4 through 7
2	8 through highest

Total Points Across SS1 and SS2	8	6
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Your State's Performance Change Score	2	1
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**PY 2019-2020 APR
Due February 1, 2021**

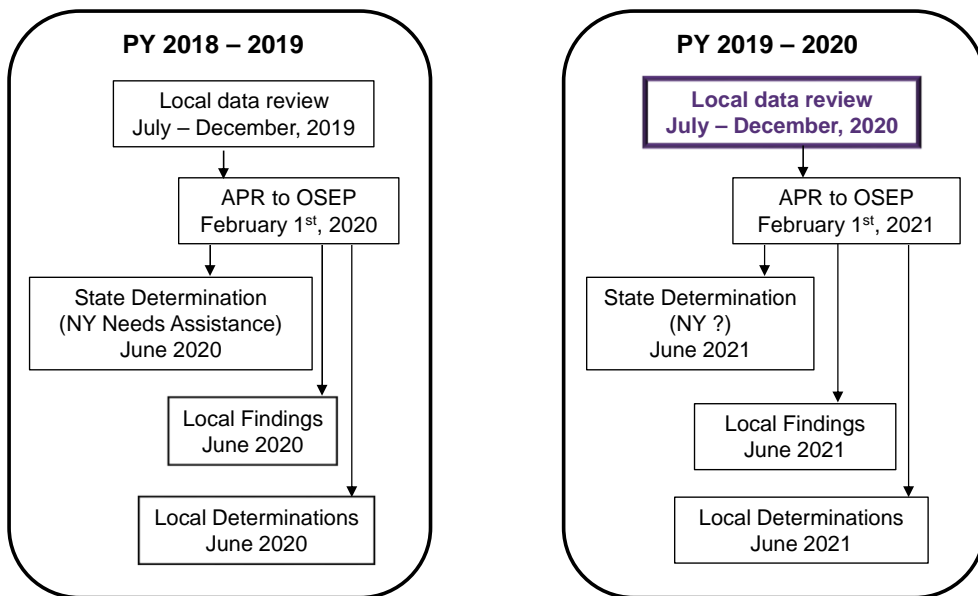


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A full APR cycle

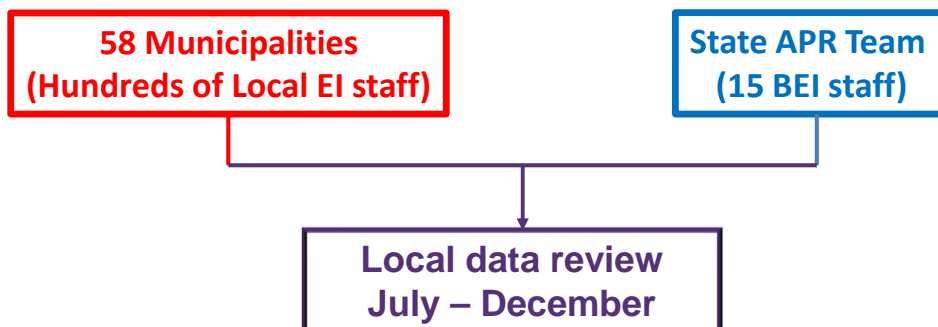


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APR Local Data Review Effort for Complete and Accurate Reporting



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APR Indicators

1. Timely services
2. Receive early intervention services in home or community-based setting
3. Child outcomes
 - a) Positive social emotional skills
 - b) Acquisition and use of knowledge and skills (including early language/communication)
 - c) Use of appropriate behaviors to meet their needs
4. Family outcomes
 - a) Know their rights
 - b) Effectively communicate their children's needs
 - c) Help their children develop and learn
5. Infants less than 1 with an Individualized Family Service Plan (IFSP)
6. Infants and toddlers less than 3 with an IFSP
7. Timely IFSP
8. Timely transition steps and services
 - a) IFSP with transition steps and services at least 90 days prior to toddler's third birthday
 - b) Notified the State and Local Education Agency at least 90 days prior to toddler's third birthday
 - c) Transition conference conducted at least 90 days prior to the toddler's third birthday
9. Hearing requests that went to resolution sessions (not applicable for NY)
10. Mediations held that resulted in mediation agreements



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Updated Delay Reasons for Compliance Indicators

Indicator 1 – Timely Service Initiation

Non-discountable delay reasons:

- Provider capacity issue
- Provider scheduling problem/wait list
- Other

Discountable delay reasons:

- Family – problem scheduling appointment
- Family – missed/canceled appointment
- Family – delayed response/consent for appointment
- Weather/other emergency declared
- **COVID-19 (effective as of 1/1/2020)**
- Intermittent service and/or frequency per IFSP team decision
- Natural disaster

Indicator 8 – Timely Transition Steps

Family Delayed or Weather/Emergency (YES or NO)

- YES
- NO
- **COVID-19 (effective as of 1/1/2020)**

Indicator 7 – Timely initial IFSP meeting

Non-discountable delay reasons:

- Evaluator sent report late
- Evaluator conducted late evaluation
- EIO/D referred child late to ISC
- EIO/D scheduling problem
- ISC high caseload
- Translation difficulty
- Child eligible through mediation/impartial hearing
- Due process
- ISC unable to facilitate transportation to eval and/or IFSP for family

Discountable delay reasons:

- Family - problem scheduling evaluation
- Family - missed/canceled evaluation or IFSP mtg
- Family - unresponsive/moved
- Weather/emergency declared
- **COVID-19 (effective as of 1/1/2020)**
- EIO/D encountered foster care system problem
- Natural Disaster



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Indicator 1: Timely Service Initiation

- OSEP Definition: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner
- NYS has set the benchmark for timely services = 30 days
- Data are from NYEIS
- Supplemented by delay reasons provided by the municipalities for services that did not occur within 30 days from the IFSP meeting date or the service authorization amendment start date



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Change of Sampling for Indicator 1 in APR

APR Indicator 1 - Timely service initiation (NYEIS data as of 7/13/20)	Preliminary Child level Timely Service Initiation								
	# Children with new service authorizations (Denominator)	Children with all services timely initiated <=30 days		Children with at least one Discountable delayed service initiation but no non- discountable delays		Children with all services timely initiated + children with discountable delayed service initiation		Children with at least one non-discountable delayed service initiation	
		#	%	#	%	#	%	#	%
July-September 2019	12,388	6,889	55.61%	197	1.59%	7,086	57.20%	5,302	42.80%
October-December 2019	11,321	6,269	55.37%	185	1.63%	6,454	57.01%	4,867	42.99%
January-March 2020	11,458	5,789	50.52%	126	1.10%	5,915	51.62%	5,543	48.38%
April-June 2020	4,975	1,580	31.76%	13	0.26%	1,593	32.02%	3,382	67.98%
PY2019-20 (July 2019-June2020)	34,036	15,589	45.80%	502	1.47%	16,091	47.28%	17,945	52.72%
Sample for APR	8,583	3,928	45.76%	139	1.62%	4,067	47.38%	4,516	52.62%

1. Instead of using one quarter to represent the program year, we randomly sampled 25% of the program year in each county with minimum of 5 children to report in APR.
2. For children with new services authorized in June, their services were claimed in NYEIS as usual and delay reasons were provided in the spreadsheets as applicable. We reran the NYEIS data in November to pick up initiated services along with the delay reason in the feedback from counties.



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Indicator 1: Timely Service Initiation

Program Year	Sampled Children (Denominator)	Children with Timely Services	Children with Discountable Delays**	Children with Timely and Discountable Delayed Services (Numerator)	Percent	Target	National Mean
2013-2014	10,700	8,345	1,158	9,503	88.8%	100%	96%
2014-2015	11,165	8,739	1,250	9,989	89.5%	100%	95%
2015-2016	12,027	9,004	1,419	10,423	86.7%	100%	94%
2016-2017	11,617	8,509	1,452	9,961	85.7%	100%	94%
2017-2018	11,141	8,130	1,476	9,606	86.2%	100%	94%
2018-2019	11,603	8,033	1,562	9,595	82.7%	100%	N/A
2019-2020*	8,579	4,806	2,315	7,121	83.0%	100%	N/A

*Preliminary

**In PY2019-2020, there were 719 children with their service initiation delayed by COVID-19.

N/A – Not Available



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APR Indicator 1: Delayed Service Initiation

New Service Initiation	PY 2018-2019		PY 2019-2020*		Service Type	PY 2018-2019		PY 2019-2020*	
	#	%	#	%		#	%	#	%
Timely ≤ 30 days	20,920	81.5%	17,131	76.0%	Speech Language	851	39.7%	611	39.8%
Discountable delay**	2,267	8.8%	3,529	15.7%	OT	451	21.1%	308	20.1%
Non-discountable delay	2,492	9.7%	1,869	8.3%	PT	367	17.1%	242	15.8%
Total new services	25,679	100%	22,529	100%	Special Instruction	350	16.3%	262	17.1%
					Family Training	36	1.7%	16	1.0%
					Group	24	1.1%	21	1.4%
					Social Work	35	1.6%	24	1.6%
					Service Coordination	6	0.3%	41	2.7%
					Assistive Technology	5	0.2%	0	0.0%
					Nutrition	7	0.3%	4	0.3%
					Family Counseling	0	0.0%	0	0.0%
					Vision	7	0.3%	7	0.5%
					Audiology	3	0.1%	0	0.0%
					Total services delayed by capacity issue	2,142	100%	1,536	100%

*Preliminary

**In PY2019-2020, there were 968 services delayed by COVID-19.



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Indicator 2: Natural Environment

Program Year	Total Children Enrolled on (Oct. 1 st)	Children Served in Home or Community	Percent	Target	National Mean
2013-2014	28,325	26,537	93.7%	90.0%	97%
2014-2015	28,852	27,043	93.7%	90.0%	97%
2015-2016	30,025	28,150	93.8%	90.0%	95%
2016-2017	30,317	28,119	92.7%	90.0%	95%
2017-2018	31,097	28,704	92.3%	90.0%	95%
2018-2019	31,202	28,849	92.5%	90.0%	N/A
2019-2020*	31,152	28,569	91.7%	90.0%	N/A

*Preliminary data.

N/A – Not Available



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OSEP Definitions for Indicator 3 Child Outcomes

- 3A: Percent of infants and toddlers with IFSPs who demonstrate improved positive social-emotional skills (including social relationships)
- 3B: Percent of infants and toddlers with IFSPs who demonstrate improved acquisition and use of knowledge and skills (including early language/communication)
- 3C: Percent of infants and toddlers with IFSPs who demonstrate improved use of appropriate behaviors to meet their needs



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Indicator 3: Child Outcomes

- Based on a sample of infants and toddlers each year
- Infants and toddlers must receive at least 6 months of EI services
- Child Outcome Summary (COS) form completed at initial IFSP for entry COS form and close to transition for exit COS form
 - Scores from 1 to 7 for each of the three outcome areas

Completely		Somewhat		Emerging		Not Yet
7	6	5	4	3	2	1

- Summarize the entry and exit scores into OSEP progress categories

- Infants and toddlers who did not improve functioning
- Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers
- Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it
- Infants and toddlers who improved functioning to reach a level comparable to same-aged peers
- Infants and toddlers who maintained functioning at a level comparable to same-aged peers



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Summary Statements

- Summary Statement 1: the percent of children who made improvements in the area, among children who **entered** the early intervention program **below** age expectation level (excludes children who entered at age expectation in that area)

$$\frac{c + d}{a + b + c + d}$$

- Summary Statement 2: the percent of children who **exited** the early intervention program **at** age expectation among all children in the sample

$$\frac{d + e}{a + b + c + d + e}$$



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Indicator 3A: Social Emotional

Program Year	Total Children Surveyed	% OSEP Category a	% OSEP Category b	% OSEP Category c	% OSEP Category d	% OSEP Category e
2013-2014	452	4.6%	28.1%	27.0%	18.6%	21.7%
2014-2015	1,096	3.9%	22.4%	28.8%	25.4%	19.4%
2015-2016	1,472	6.9%	22.6%	25.5%	26.1%	19.0%
2016-2017	1,315	8.3%	25.9%	24.9%	24.0%	17.0%
2017-2018	1,357	6.9%	22.3%	26.1%	26.5%	18.3%
2018-2019	2,951	8.3%	18.8%	29.3%	27.2%	16.5%
2019-2020*	5,820	7.1%	17.4%	35.9%	26.6%	12.9%

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers

*Preliminary data.



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Indicator 3A1: Social Emotional

Summary Statement 1

Program Year	Total Children Below Age Expectation	Children with Improved Social Emotional Skills	Percent	Target	National Mean	Child outcomes A: Positive social emotional skills
2013-2014	354	206	58.2%	58.2%	65%	SS1: the percent of children who made improvements $\frac{c + d}{a + b + c + d}$
2014-2015	883	594	67.3%	59.0%	66%	
2015-2016	1,193	759	63.6%	60.0%	65%	
2016-2017	1,092	643	58.9%	61.0%	66%	
2017-2018	1,109	713	64.3%	62.0%	66%	
2018-2019	2,464	1,666	67.6%	63.0%	N/A	
2019-2020*	5,067	3,638	71.8%	64.0%	N/A	

*Preliminary data.

N/A – Not Available



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Indicator 3A2: Social Emotional

Summary Statement 2

Program Year	Total Children Surveyed	Children with Social Emotional Skills at age expectation	Percent	Target	National Mean	Child outcomes A: Positive social emotional skills
2013-2014	452	182	40.3%	40.3%	59%	SS2: the percent of children exited at age expectation among all children in the sample $\frac{d + e}{a + b + c + d + e}$
2014-2015	1,096	491	44.8%	41.0%	59%	
2015-2016	1,472	663	45.0%	42.0%	59%	
2016-2017	1,315	538	40.9%	43.0%	58%	
2017-2018	1,357	607	44.7%	44.0%	57%	
2018-2019	2,951	1,289	43.7%	45.0%	N/A	
2019-2020*	5,820	2,304	39.6%	45.0%	N/A	

*Preliminary data.

N/A – Not Available



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Indicator 3B: Knowledge and Skills

Program Year	Total Children Surveyed	% OSEP Category a	% OSEP Category b	% OSEP Category c	% OSEP Category d	% OSEP Category e
2013-2014	452	4.2%	22.3%	34.7%	31.0%	7.7%
2014-2015	1,096	3.3%	19.3%	37.2%	28.9%	11.2%
2015-2016	1,470	5.7%	16.5%	36.0%	29.8%	12.0%
2016-2017	1,315	5.8%	19.2%	33.2%	30.3%	11.6%
2017-2018	1,355	6.6%	16.5%	37.6%	29.1%	10.3%
2018-2019	2,951	6.9%	16.2%	36.7%	31.2%	9.1%
2019-2020*	5,820	5.7%	14.6%	41.1%	31.5%	7.1%

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers

*Preliminary data.



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Indicator 3B1: Knowledge and Skills

Summary Statement 1

Program Year	Total Children Below Age Expectation	Children with Improved Knowledge	Percent	Target	National Mean
2013-2014	417	297	71.2%	71.2%	71%
2014-2015	973	725	74.5%	71.5%	71%
2015-2016	1,294	967	74.7%	72.0%	71%
2016-2017	1,163	835	71.8%	72.5%	72%
2017-2018	1,216	903	74.3%	73.0%	71%
2018-2019	2,682	2,002	74.7%	73.5%	N/A
2019-2020*	5,409	4,225	78.1%	74.0%	N/A

Child outcome B:
Acquisition and use of
knowledge and skills
(including early
language/
communication)

SS1: the percent of
children who made
improvements

$$\frac{c + d}{a + b + c + d}$$

*Preliminary data.

N/A – Not Available



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Indicator 3B2: Knowledge and Skills

Summary Statement 2

Program Year	Total Children Surveyed	Children with Knowledge at age expectation	Percent	Target	National Mean
2013-2014	452	175	38.7%	38.7%	51%
2014-2015	1,096	440	40.1%	39.0%	50%
2015-2016	1,470	614	41.8%	40.0%	50%
2016-2017	1,315	550	41.8%	41.0%	48%
2017-2018	1,355	533	39.3%	42.0%	48%
2018-2019	2,951	1,189	40.3%	43.0%	N/A
2019-2020*	5,820	2,242	38.5%	43.0%	N/A

*Preliminary data.
N/A – Not Available

Child outcome B:
Acquisition and use
of knowledge and
skills
(including early
language/
communication)

SS2: the percent of
children exited at age
expectation among
all children in the
sample

$$\frac{d + e}{a + b + c + d + e}$$



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Indicator 3C: Appropriate Behaviors

Program Year	Total Children Surveyed	% OSEP Category a	% OSEP Category b	% OSEP Category c	% OSEP Category d	% OSEP Category e
2013-2014	452	4.9%	22.8%	34.7%	29.9%	7.7%
2014-2015	1,096	3.4%	22.1%	33.9%	30.0%	10.6%
2015-2016	1,459	7.0%	17.5%	36.3%	31.9%	7.3%
2016-2017	1,317	7.7%	17.1%	39.0%	30.9%	5.3%
2017-2018	1,356	6.9%	18.1%	38.0%	31.7%	5.2%
2018-2019	2,951	8.4%	15.1%	38.2%	32.1%	6.2%
2019-2020*	5,820	6.3%	15.5%	41.3%	31.5%	5.5%

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers

*Preliminary data.



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Indicator 3C1: Appropriate Behaviors

Summary Statement 1

Program Year	Total Children Below Age Expectation	Children with Improved Behavior	Percent	Target	National Mean
2013-2014	417	292	70.0%	70.0%	72%
2014-2015	980	701	71.5%	70.5%	73%
2015-2016	1,353	995	73.5%	71.0%	72%
2016-2017	1,247	920	73.8%	71.5%	74%
2017-2018	1,285	945	73.5%	72.0%	74%
2018-2019	2,767	2,073	74.9%	72.5%	N/A
2019-2020*	5,501	4,234	77.0%	73.0%	N/A

Child outcome C:
Use of appropriate behaviors to meet their needs

SS1: the percent of children who made improvements

$$\frac{c + d}{a + b + c + d}$$

*Preliminary data.
N/A – Not Available



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Indicator 3C2: Appropriate Behaviors

Summary Statement 2

Program Year	Total Children Surveyed	Children with Behavior at age expectation	Percent	Target	National Mean
2013-2014	452	170	37.6%	37.6%	58%
2014-2015	1,096	445	40.6%	38.0%	59%
2015-2016	1,459	572	39.2%	39.0%	59%
2016-2017	1,317	477	36.2%	40.0%	58%
2017-2018	1,356	501	36.9%	41.0%	58%
2018-2019	2,951	1,130	38.3%	42.0%	N/A
2019-2020*	5,820	2,150	36.9%	42.0%	N/A

Child outcome C:
Use of appropriate behaviors to meet their needs

SS2: the percent of children exited at age expectation among all children in the sample

$$\frac{d + e}{a + b + c + d + e}$$

*Preliminary data.
N/A – Not Available



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Indicator 4: Family Outcomes

- Based on families whose children received services for at least six months in the EI program
- Exited or turned three years old between July 1, 2019 and June 30, 2020
- Invitation with both online link/QR code and paper survey sent to all applicable families
- No sampling anymore since PY2018-2019
- Complete the NYS Family Survey either online or mail
- Both invitation and survey are available in seven languages most popular in the EIP
- Items in the survey measure the 3 family outcomes that OSEP requires for states to report progress



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OSEP Definitions for Family Outcomes

- 4A: Percent of families participating in Part C who report that early intervention services have helped the family know their rights
- 4B: Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs
- 4C: Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn



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Changes in PY2019-2020 to Improve Number of Returned Family Outcome Surveys

1. 36 survey items => 24 survey items
2. 11th grade reading level => 8th grade reading level
3. Paper survey upon request in addition to online => paper survey with returning postage readily available in addition to online

	# Surveys Sent	# Surveys Delivered	# Surveys Returned			% Return Rate
			Online	Paper	Total Returned	
PY2018-2019	19,215	17,689	1,579	45	1,624	9.2%
PY2019-2020*	20,771	19,652	855	1,175	2,030	10.3%

*Preliminary data.

https://www.health.ny.gov/community/infants_children/early_intervention/outcomes_survey/family/docs/survey.pdf
https://www.health.ny.gov/community/infants_children/early_intervention/outcomes_survey/family/


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New York State Early Intervention Family Outcome Survey 22 IFS Items from NCSEAM + 2 Telehealth Survey Items

Early Intervention services have helped me/my family:	Positive %*
2. Understand the roles of the people who work with my child and family.	97.54%
1. Understand how the Early Intervention system works.	97.04%
15. Do things with and for my child that are good for my child's development. (4C)	96.82%
12. Feel that my efforts are helping my child.	96.82%
10. Understand my child's special needs. (4C)	95.91%
4. Be able to evaluate how much progress my child is making.	95.85%
3. Communicate more effectively with the people who work with my child and family. (4B)	95.55%
5. Know about my child's and family's rights concerning Early Intervention services. (4A)	94.26%
6. Get the services that my child and family need.	94.18%
16. Do activities that are good for my child even in times of stress.	93.83%
7. Feel that I can get the services and supports that my child and family need.	93.57%
11. Feel more confident in my skills as a parent.	93.36%
17. Improve my family's quality of life.	93.27%
14. Make changes in family routines that will benefit my child with special needs.	92.99%
8. Know where to go for support to meet my child's needs.	92.91%
19. Feel that my child will be accepted and welcomed in the community.	92.88%
20. Feel that my family will be accepted and welcomed in the community.	92.64%
13. Be more effective in managing my child's behavior.	92.27%
9. Know where to go for support to meet my family's needs.	91.13%
18. Keep up friendships for my child and family.	88.49%
21. Know about services in the community.	86.37%
22. Participate in typical activities for children and families in my community.	84.70%

IFS – Impact of Early Intervention Services on Your Family Scale
 NCSEAM – National Center for Special Education Accountability Monitoring
 Positive % = Very Strongly Agree % + Strongly Agree % + Agree %
 *Preliminary data.

Telehealth survey items:

23. Think back on your child and family's time in the Early Intervention Program. If your child received Telehealth services, they worked well. Telehealth services mean your child received services through a computer, tablet, or smartphone.

Positive %* = 81.75%

24. Think back on your child and family's time in the Early Intervention Program. Telehealth services may not have been available to your child and family. If they were available, would you have used them? Telehealth services mean your child received services through a computer, tablet, or smartphone.

Yes %* = 56.45%

No %* = 25.00%

Maybe %* = 18.55%



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Indicator 4A: Know Their Rights

Program Year	Denominator	Numerator	Percent	Target	National Mean	OSEP Family Outcome 4A: Percent of families participating in Part C who report that early intervention services have helped the family know their rights
2013-2014	1,345	1,022	75.99%	75.0%	88%	
2014-2015	405	281	69.38%	76.0%	90%	
2015-2016	1,091	799	73.24%	77.0%	89%	
2016-2017	1,456	1,142	78.43%	78.0%	90%	
2017-2018	1,848	1,400	75.80%	79.0%	90%	
2018-2019*	1,584	1,462	92.30%	93.0%	N/A	
2019-2020**	2,020	1,904	94.26%	93.0%	N/A	

*Starting PY2018-2019, reporting methodology changed from Rasch model to the positive response from families.

**Preliminary data.

N/A – Not Available



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Indicator 4B: Effective Communication

Program Year	Denominator	Numerator	Percent	Target	National Mean	OSEP Family Outcome 4B: Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs
2013-2014	1,345	968	71.97%	71.0%	89%	
2014-2015	405	273	67.41%	72.0%	90%	
2015-2016	1,091	742	68.01%	73.0%	90%	
2016-2017	1,456	1,080	74.18%	74.0%	91%	
2017-2018	1,848	1,323	71.59%	75.0%	91%	
2018-2019*	1,559	1,414	90.70%	91.0%	N/A	
2019-2020**	2,002	1,913	95.55%	91.0%	N/A	

*Starting PY2018-2019, reporting methodology changed from Rasch model to the positive response from families.

**Preliminary data.

N/A – Not Available



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Indicator 4C: Help Develop and Learn

Program Year	Denominator	Numerator	Percent	Target	National Mean	OSEP Family Outcome 4C: Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn
2013-2014	1,345	1,132	84.16%	83.0%	91%	
2014-2015	405	324	80.00%	84.5%	92%	
2015-2016	1,091	888	81.39%	85.0%	92%	
2016-2017	1,456	1,256	86.26%	86.0%	92%	
2017-2018	1,848	1,568	84.85%	87.0%	92%	
2018-2019*	1,604	1,486	92.60%	93.0%	N/A	
2019-2020**	1,982	1,872	94.50%	93.0%	N/A	

*Starting PY2018-2019, reporting methodology changed from Rasch model to the positive response from families.

**Preliminary data.

N/A – Not Available



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Indicator 5: Infants less than 1

OSEP Definition:
Percent of infants and toddlers birth to 1 with IFSPs compared to Census data provided by OSEP

Program Year	Total Infants (Census from OSEP)	Total Infants with IFSP (NY snapshot on Oct. 1 st)	Percent	Target	National Mean
2013-2014	239,298	2,615	1.09%	1.22%	1.11%
2014-2015	239,804	2,654	1.11%	1.22%	1.15%
2015-2016	238,315	2,808	1.18%	1.22%	1.20%
2016-2017	233,692	2,636	1.13%	1.22%	1.24%
2017-2018	234,658	2,723	1.16%	1.22%	1.25%
2018-2019	227,883	2,452	1.08%	1.22%	N/A
2019-2020	223,930	2,271	1.01%	1.22%	N/A

N/A – Not Available



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Indicator 6: Infants/Toddlers less than 3

OSEP Definition:
Percent of infants and toddlers birth to 3 with IFSPs compared to Census data provided by OSEP

Program Year	Total Infants (Census from OSEP)	Total Infants with IFSP (NY snapshot on Oct. 1 st)	Percent	Target	National Mean
2013-2014	716,997	28,325	3.95%	3.95%	2.82%
2014-2015	715,058	28,852	4.03%	4.00%	2.95%
2015-2016	711,133	30,025	4.22%	4.00%	3.00%
2016-2017	696,276	30,317	4.35%	4.00%	3.12%
2017-2018	703,115	31,097	4.42%	4.00%	3.26%
2018-2019	684,604	31,202	4.56%	4.00%	N/A
2019-2020	673,026	31,152	4.63%	4.00%	N/A

N/A – Not Available



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Change of Sampling for Indicator 7 in APR

APR Indicator 7 - Timely initial IFSP meeting (NYEIS data as of 7/13/2020)	Preliminary Timely IFSP Initiation								
	# Children found eligible for EIP (Denominator)	Children with timely initial IFSP meeting <= 45 days from relevant referral		Children with discountable delayed initial IFSP meeting		Children with timely IFSP + children with discountable delayed IFSP		Children with non- discountable delayed IFSP	
		#	%	#	%	#	%	#	%
July-September 2019	7,810	4,524	57.93%	2,276	29.14%	6,800	87.07%	1,010	12.93%
October-December 2019	7,669	4,224	55.08%	2,372	30.93%	6,596	86.01%	1,073	13.99%
January-March 2020	7,189	3,829	53.26%	2,255	31.37%	6,084	84.63%	1,105	15.37%
April-June 2020	2,771	904	32.62%	921	33.24%	1,825	65.86%	946	34.14%
PY2019-20 (July 2019-June2020)	25,437	13,480	52.99%	7,824	30.76%	21,304	83.75%	4,133	16.25%
Sample for APR	6,340	3,359	52.98%	1,991	31.40%	5,350	84.38%	990	15.62%

1. Instead of using one quarter to represent the program year, we randomly sampled 25% of the program year in each county with minimum of 5 children to report in APR.
2. For children found eligible for EIP in May and June, their initial IFSP were recorded in NYEIS as usual and delay reasons were provided in the spreadsheets as applicable. We reran the NYEIS data in November to pick up initial IFSP meeting along with the delay reason in the feedback from counties.



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Indicator 7: Timely Initial IFSP

OSEP definition:

Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline

Program Year	Eligible Children Sampled (Denominator)	Children with Timely IFSP	Children with Discountable Delayed IFSP**	Children with Timely and Discountable Delayed IFSP (Numerator)	Percent	Target	National Mean
2013-2014	6,916	4,254	2,149	6,403	92.6%	100%	97%
2014-2015	7,272	4,263	2,577	6,840	94.1%	100%	96%
2015-2016	7,784	4,958	2,521	7,479	96.1%	100%	96%
2016-2017	7,693	4,566	2,799	7,365	95.7%	100%	97%
2017-2018	7,490	4,606	2,614	7,220	96.4%	100%	96%
2018-2019	7,643	4,752	2,594	7,346	96.1%	100%	N/A
2019-2020*	6,328	3,666	2,357	6,023	95.2%	100%	N/A

*Preliminary

**In PY2019-2020, there were 50 children with their initial IFSP delayed by COVID-19.

N/A – Not Available



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Indicator 8: Timely Transition Steps & Services

- Children turned three years old between 7/1/2019 and 6/30/2020
- Randomly sample 6% for ROS counties and 3.5% for NYC boroughs, with minimum 25 or the whole county if the county size is less than 25.
- Before 90 days prior to the toddler's third birthday at the discretion of all parties:
 - 8A. Transition steps and services are documented in the IFSP
 - 8B. Notification of local school district
 - 8C. Transition conference



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Indicator 8A: Transition Steps in IFSP

Program Year	Total Children Sampled (Denominator)	Children with Timely Transition Discussion	Children with Discountable Delayed Discussion**	Children with Timely and Discountable Delayed Discussion (Numerator)	Percent	Target	National Mean	OSEP Definition: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday
2013-2014	1,194	1,172	12	1,184	99.2%	100%	96%	
2014-2015	1,192	1,170	18	1,188	99.7%	100%	97%	
2015-2016	1,203	1,196	4	1,200	99.8%	100%	97%	
2016-2017	1,211	1,198	6	1,204	99.4%	100%	97%	
2017-2018	1,625	1,612	9	1,621	99.8%	100%	97%	
2018-2019	2,000	1,985	14	1,999	100%	100%	N/A	
2019-2020*	2,017	1,993	21	2,014	99.9%	100%	N/A	

*Preliminary

**In PY2019-2020, there were no children with their transition discussion delayed by COVID-19.

N/A – Not Available



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Indicator 8B: Notify Local Education Agency

Program Year	Sampled Children Potentially Eligible for Part B	Children Opted Out Notification	Children Needed Notification (Denominator)	Children with Timely Notification (Numerator)	Percent	Target	National Mean	OSEP Definition: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out policy adopted by the State) the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services
2013-2014	1,194	188	1,006	972	96.6%	100%	95%	
2014-2015	996	149	847	839	99.1%	100%	94%	
2015-2016	1,037	159	878	873	99.4%	100%	97%	
2016-2017	1,045	134	911	904	99.2%	100%	97%	
2017-2018	1,432	280	1,152	1,141	99.1%	100%	98%	
2018-2019	1,761	329	1,432	1,426	99.6%	100%	N/A	
2019-2020*	1,816	341	1,475	1,450	98.3%	100%	N/A	

*Preliminary

N/A – Not Available



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Indicator 8C: Transition Conference

Program Year	Sampled Children Potentially Part B Eligible	Children with Conference Declined by Parents	Children Who Needed Transition Conference (Denominator)	Children with Timely Conference	Children with Discountable Delayed Conference**	Children with Timely and Discountable Delayed Conference (Numerator)	Percent	Target	National Mean
2013-2014	1,006	666	340	267	34	301	88.5%	100%	95%
2014-2015	996	685	311	280	20	300	96.5%	100%	96%
2015-2016	1,037	719	318	270	41	311	97.8%	100%	95%
2016-2017	1,045	732	313	276	27	303	96.8%	100%	96%
2017-2018	1,432	1,064	368	310	44	354	96.2%	100%	96%
2018-2019	1,761	1,349	412	344	60	404	98.1%	100%	N/A
2019-2020*	1,816	1,385	431	372	45	417	96.8%	100%	N/A

OSEP Definition: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

*Preliminary. N/A – Not Available.

**In PY2019-2020, there was 1 child with the transition conference delayed by COVID-19.



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Indicator 10: Mediations

- **OSEP Definition:** Percent of mediations held that resulted in mediation agreements
- Data from Provider Approval and Due Process unit
- Mediations held between July 1, 2019 and June 30, 2020

Program Year	Mediations Held	Mediation Agreements	Percent	Target
2013-2014	68	65	95.6%	90%
2014-2015	66	62	93.9%	90%
2015-2016	41	36	87.8%	90%
2016-2017	56	43	76.8%	90%
2017-2018	47	40	85.1%	90%
2018-2019	51	42	82.4%	90%
2019-2020	34	27	79.4%	90%



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Questions?

