

# Transparency, Evaluation, and Health Information Technology Workgroup

Meeting #16

## **Agenda**

#	Topic	Time	Leader
1	Welcome and Introductions	10:30 – 10:45	James Kirkwood
2	All Payer Database Public Facing Portal Demo	10:45 – 11:15	Natalie Helbig Emilio Galan (HonestHealth)
3	A Cancer Screening Clinical Information System and Quality Improvement Project for NYS Federally Qualified Health Centers	11:15 – 11:45	Heather Dacus Lisa Perry (CHCANYS)
4	Provider Directory Project	11:45 – 12:00	Mahesh Nattanmai James Kirkwood
5	Break for Lunch	12:00 – 12:30	
6	National Landscape for Interoperability  Trusted Exchange Framework & Common Agreement (TEFCA)	12:30 – 1:00	Val Grey (NYeC)
7	HIT Enabled Quality Measurement – Vision Document	1:00 – 1:30	James Kirkwood Maria Ayoob (NYSTEC)
8	Bureau of Narcotic Enforcement  Prescription Monitoring Program – EHR Integration	1:30 – 2:00	Josh Vinciguerra Karolina Schabses
9	Discussion and Next Meeting	2:00 – 2:15	James Kirkwood

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## **Webex and Intercall Instructions:**

InterCall participant dial-in:

Dial: 866-292-9308 / Conference ID: 5038748

Webex:

https://meetny.webex.com/meetny/j.php?MTID=m8de4115325f0c6fc17e7caf958957972

Please use the InterCall number above, **DO NOT** use the webex call in option.

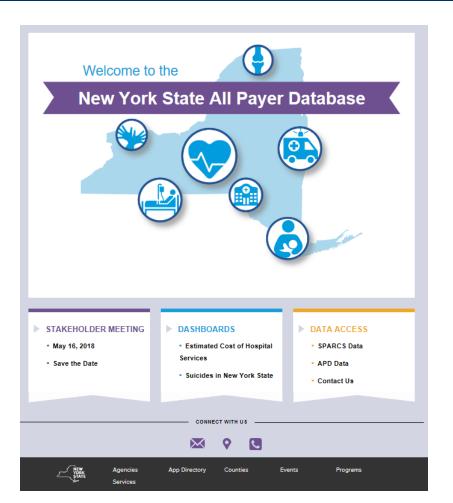


## **Opening Remarks**



## All Payer Database Public Facing Portal Demo





- Targeted Release April/May 2018
- Tableau Server
- Estimated Cost of Hospital Services
- Suicides in New York State





## **New York Sta**

#### Cost of Knee & Hip Joint Surgeries

ase

More than 37,000 New Yorkers had a knee joint replacement surgery and more than 26,000 had a hip joint replacement in 2015. They are the two most common reasons for a planned hospital stay. These visualizations show the variation in cost at different hospitals.

Read More









#### Discharge Volume and Estimated Cost of Hospital Services

Cardiac Procedures



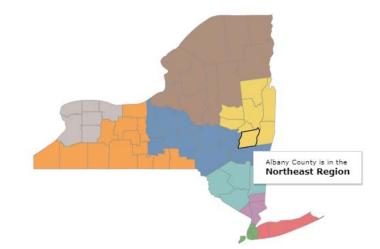
Joint Replacement Surgeries







What Is My Hospital Region?





9 **April 9, 2018** 

#### Joint Replacement Surgeries

Hover over the "i" for Information



Click a Service to see the Regional Comparison on the Right

Hip Joint Replacement

Knee Joint Replacement

#### Discharge Volume for Knee Joint Replacement in 2016

Click on a Region to see Hospital Detail Below

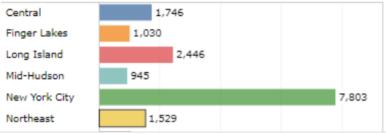
**Back to Landing Page** 



#### Metric

Year 2016

- Discharge Volume
- Median Costs





Minor

\*All option for Patient Severity does not apply to Median Costs

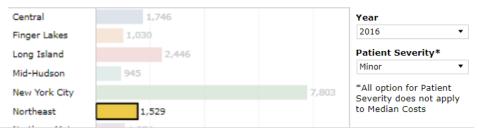
In the Northeast region, for Minor cases, there were 1,529 discharges in 2016 performed by 10 facilities. This represents 7.4% of the statewide total of 20,668.

(\* Represents ALL APR Severity of Illness)

UK 2K

Discharges





In the Northeast region, for Minor cases, there were 1,529 discharges in 2016 performed by 10 facilities. This represents 7.4% of the statewide total of 20,668.

(\* Represents ALL APR Severity of Illness)

Discharges

#### Discharge Volume for Knee Joint Replacement in 2016 in the Northeast Region

Hover or Click on Discharge Volume values for more information and to vist the NYS Health Profile Quality page for that Facility.

Facility Name	County Name	City	Discharges
St Peters Hospital	Albany	Albany	590
Albany Medical Center Hospital	Albany	Albany	297
Saratoga Hospital	Saratoga	Saratoga Springs	220
Ellis Hospital	Schenectady	Schenectady	176
Glens Falls Hospital	Warren	Glens Falls	140
St. Mary's Healthcare	Montgomery	Amsterdam	50
Samaritan Hospital	Rensselaer	Troy	33
Nathan Littauer Hospital	Fulton	Gloversville	NEW YORK Department
Albany Memorial Hospital	Albany	Albany	of Health
St. Mary's Hospital	Rensselaer	Troy	5

#### Joint Replacement Surgeries

Median Costs for None in 2016

Click on a Region to see Hospital Detail Below

**Back to Landing Page** 

Click a Service to see the Regional Comparison on the Right

Hip Joint Replacement

Knee Joint Replacement







Minor

\$17,592

Metric

\*All option for Patient Severity does not apply to Median Costs

In the **Northeast** region, for **Minor** cases in **2016**: the median cost of the service was **\$12,662** which is a difference of **(\$4,930)** from the statewide median of **\$17,592**.

These cases were performed by  ${f 10}$  facilities.

Central

Finger Lakes

Long Island

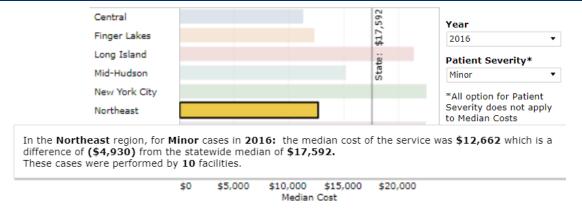
Mid-Hudson

Northeast

New York City

\$0 \$5,000 \$10,000 \$15,000 \$20,000 Median Cost





#### Median Costs for Knee Joint Replacement in 2016 in the Northeast Region

Hover or Click on Median Costs values for more information and to vist the NYS Health Profile Quality page for that Facility.

Facility Name	County Name	City	Median Cost 🛒
Albany Memorial Hospital	Albany	Albany	\$15,430
Glens Falls Hospital	Warren	Glens Falls	\$14,925
Nathan Littauer Hospital	Fulton	Gloversville	\$14,103
Albany Medical Center Hospital	Albany	Albany	\$13,735
Ellis Hospital	Schenectady	Schenectady	\$13,112
Saratoga Hospital	Saratoga	Saratoga Springs	\$12,384
St Peters Hospital	Albany	Albany	¢10 984
St. Mary's Hospital	Rensselaer	Troy	NEW YORK Department
Samaritan Hospital	Rensselaer	Troy	of Health
St. Mary's Healthcare	Montgomery	Amsterdam	\$9,229

#### Median Costs for Knee Joint Replacement in 2016 in the Northeast Region

Hover or Click on Median Costs values for more information and to vist the NYS Health Profile Quality page for that Facility.

Facility Name	County Name	City	Median Cost
Albany Memorial Hospital	Albany	Albany	\$15,430
Glens Falls Hospital	Warren	Glens Falls	\$14,925
Nathan Littauer Hospital	Fulton	Gloversville	\$14,103
Albany Medical Center Hospital	Albany	Albany	\$13,735
Ellis Hospital	Schenectady	Schenectady	\$13,112
Saratoga Hospital	Saratoga	Saratoga Springs	\$12,384
St Peters Hospital	Albany	Albany	\$10,984

St. Mary's Hospital

Samaritan Hospital St. Mary's Healthcare St Peters Hospital is in Albany in Albany County; part of the Northeast region.

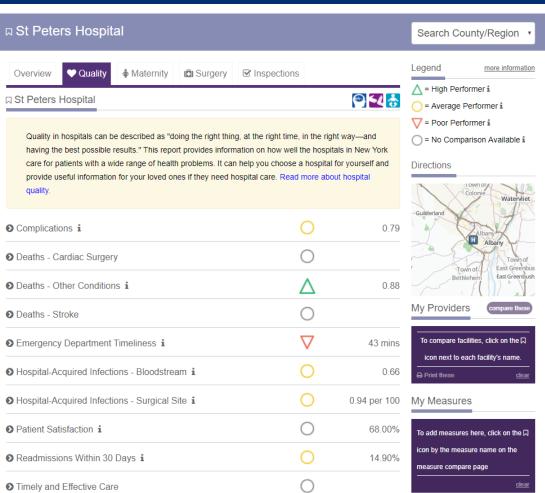
In 2016, the median cost for Knee Joint Replacement was \$10,984 and there were 590 discharges.

This facility is located at:

315 South Manning Blvd Albany, NY 12208

For more information on this facility, **click on the Median Costs value** to visit the quality section of the NYS Health Profile.

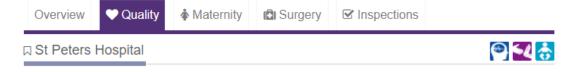






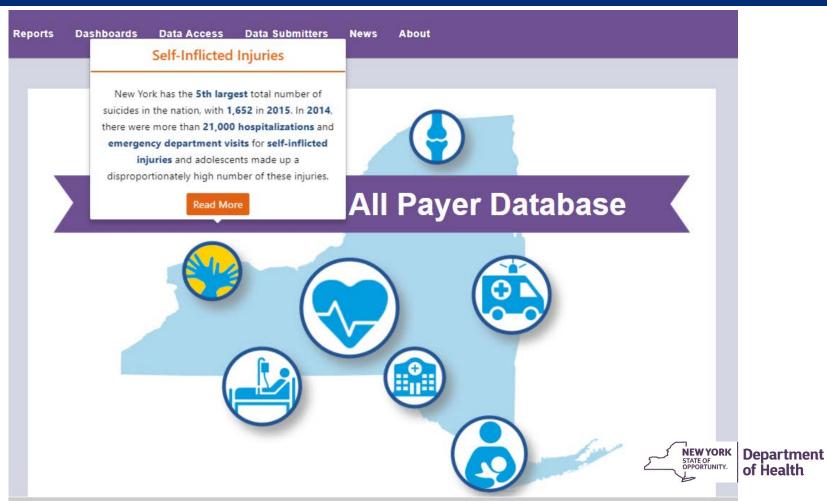
Complications i	0	0.79	
Accidental Puncture and Laceration i	0	0.81	
Central Venous Catheter-related Bloodstream Infections i	0	0.00	
Collapsed Lung caused by Medical Care i	0	1.02	
Postoperative Hemorrhage or Hematoma i	0	0.75	
Postoperative Hip Fracture i	0	0.00	
Postoperative Lung Embolism or Deep Vein Thrombosis i	0	0.83	
Postoperative Physiologic and Metabolic Derangement i	0	0.92	
Postoperative Respiratory Failure i	0	1.01	
Postoperative Sepsis i	$\triangle$	0.34	
Pressure Ulcer i	0	0.30	
Wound Complications in Abdominal Wall Surgery i	0	0.45	
Deaths - Cardiac Surgery	0		
Deaths - Other Conditions i	Δ	0.88	NEW YORK Departmen
			STATE OF OPPORTUNITY. of Health

#### ☐ St Peters Hospital

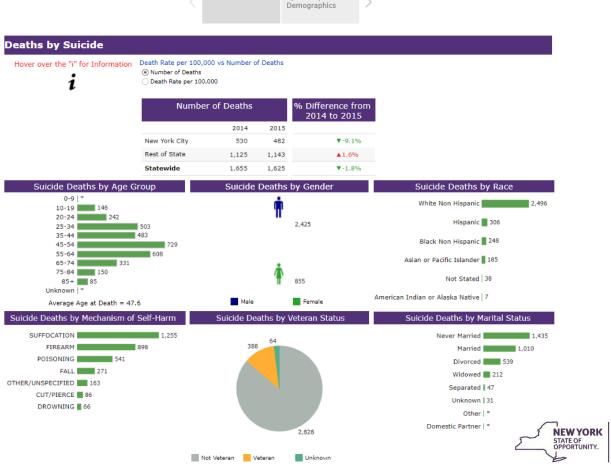


Quality in hospitals can be described as "doing the right thing, at the right time, in the right way—and having the best possible results." This report provides information on how well the hospitals in New York care for patients with a wide range of health problems. It can help you choose a hospital for yourself and provide useful information for your loved ones if they need hospital care. Read more about hospital quality.

O Hospital-Acquired Infections - Surgical Site i	0	0.94 per 100
CABG, Chest Site Infections i	Δ	0.00 per 100
CABG, Donor Site Infections i	0	0.00 per 100
Colon Surgery Infections i	0	5.36 per 100
Hip Replacement Surgery Infections i	0	1.40 per 100
Hysterectomy Surgery Infections i	0	1.73 per 100



Department



Death by Suicide

by County and

Death by Suicide

<sup>\*</sup> Indicates that the number of deaths is less than 6

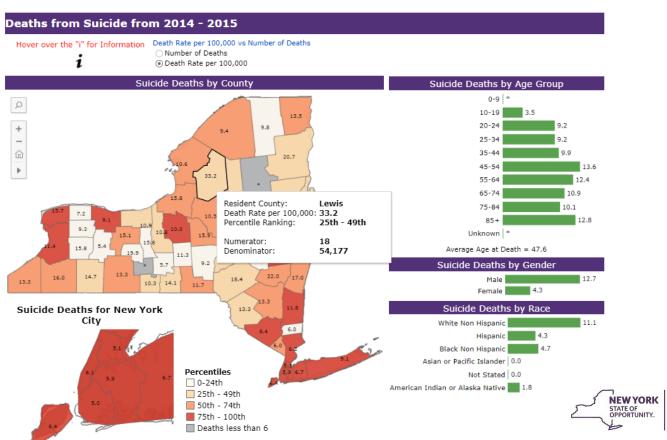




**Department** 

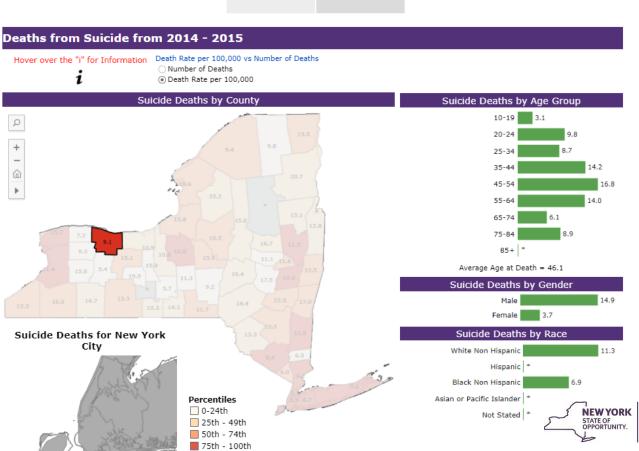
of Health





Department of Health





Deaths less than 6

## **Timeline**

May 2018 Public Web Release

1<sup>st</sup> Release of Analytic Portal (Sign-in) (SPARCS and Vital Statistics Mortality)

1st Release Subject Matter Expert (SME) of APD ODS

- Member, Provider, Claims, Issuer/Plan

Stakeholder Meeting May 16<sup>th</sup>

June/July 2018 2<sup>nd</sup> Release of Analytic Portal (Sign-in)

2<sup>nd</sup> Release of APD ODS

- Member, Provider, Claims, Issuer/Plan



## **QUESTIONS?**

## FIND OUT MORE.









# LEVERAGING THE APD FOR CONSUMERS



## **ABOUT US**

HonestHealth performs evaluation, design, and software development exclusively for health care transparency efforts.













Insurance Protection for All Californians

## DACVCDOIMI







# LEVERAGING DATA TO SUPPORT CONSUMERS

One possibility to consider for NY is a comprehensive tool that leverages the robust data availability within New York across the Provider Network Data System (PNDS), upcoming All Payer Database (APD), and other resources.



#### Low Utilization

Health care transparency tools drastically vary in utilization and most have low use rates.

### Design Matters

90% of State-based tools we evaluated for CPR performed poorly, because of functionality and user-friendliness, not data.

#### Hundreds of Tools

Consumers already use a number of tools to look-up information about their health care. Consider these as conduits for disseminating NY's unique data.

## **GUIDING PRINCIPLES**

Network, quality & price together Price based on actual paid amounts

Focus on consumer use

## DESIGNS: DATA AVAILABILITY

Services

News

Government

Local

Location

Translate

## Quality, Price, and Network information

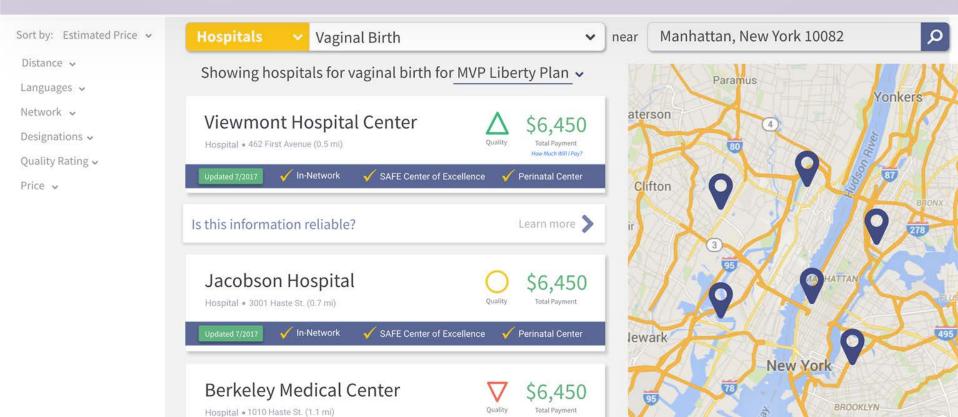
Department of Healt

Individuals/ Families

Providers/Professionals

Health Facilities

Search





## **Price and Network information**

Estimated You Pay

Fidelis Care Bronze

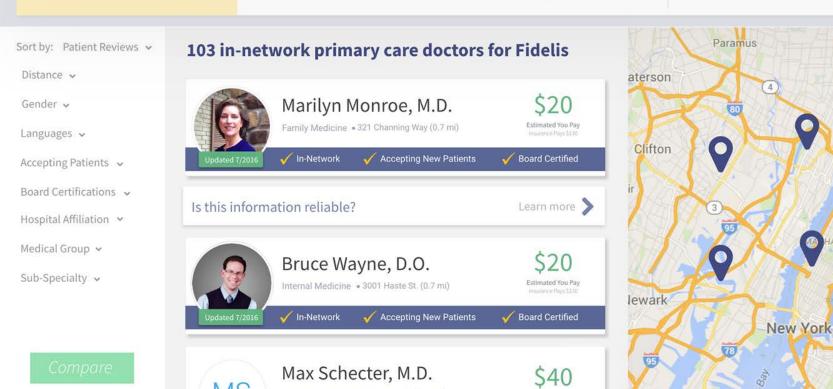
Primary Care Doctor for Diabetes Type 2

near New York, NY



Yonkers

BROOKLYN



Family Medicine • 1010 Haste St. (1.1 mi)

Service

News

Government

Local

Location

## **Quality and Network information**

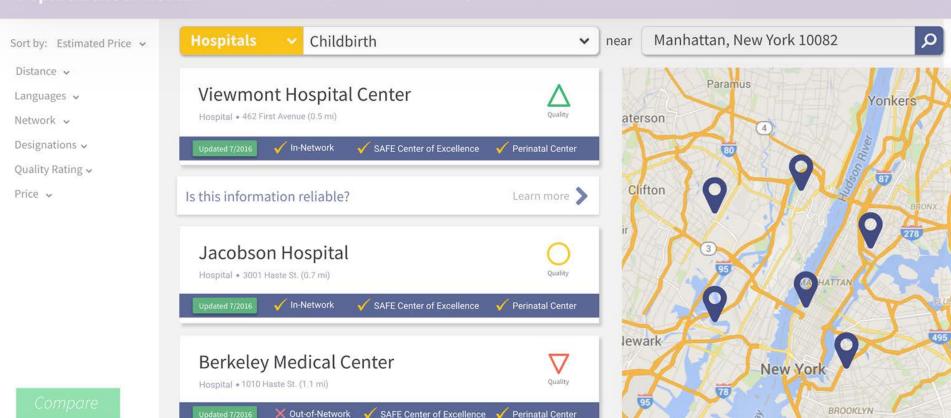
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News Government

Local

Location

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## Only Network information

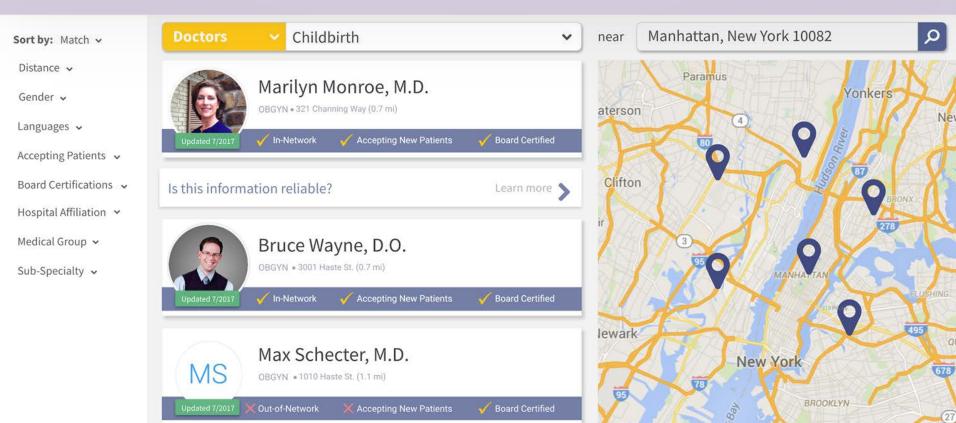
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# PICKING PRICE DATA

#### **PRICE SOURCE**

Chargemasters

Contracts

**Claims** 

#### **PROVIDER SPECIFICITY\***

Average for a geography (state, MSA, 3-digit zip)

Average for provider group

Average for an individual provider

#### **PAYER SPECIFICITY**

Average for Payer Type (e.g. all commercial carriers)

Average for a specific carrier (e.g Aetna)

Average for an individual plan (e.g. Aetna POS II)

#### PATIENT SPECIFICITY

Average total payment

Average out-of-pocket for patients

Specific out-of-pocket based on a benefit design and deductible status



About Us

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Data

#### Geographic Average for Total Payment

Fidelis Care Bronze

Diabetes Type 2

near New York, NY



Diabetes is a chronic disease due to high levels of sugar in the blood due to decreasing sensitivity to insulin, a hormone released by the pancrease to control blood sugar levels.

More

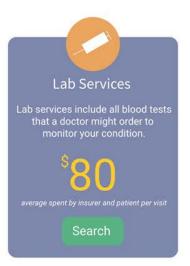
#### \$401 - \$6,019

Average total paid by insurer and patient for Diabetes Type 2 medical care









About Us Contact Us D

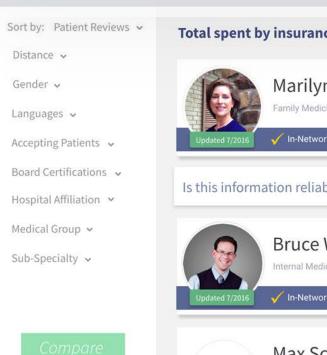
#### Geographic Average for Total Payment

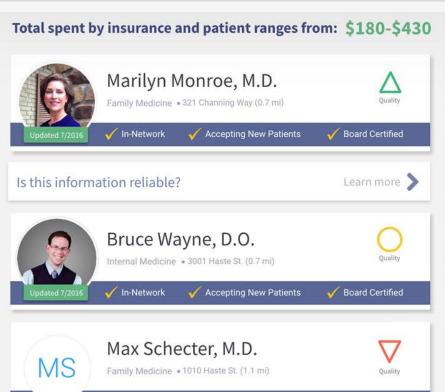
Fidelis Care Bronze

Primary Care Doctor for Diabetes Type 2

near New York, NY









Services

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#### **Geographic Average for OOP**

Department of Health

Individuals/ Families

Providers/Professiona

**Health Facilities** 

Search

Childbirth

near New York, NY





\$810 - \$1,402

Childbirth includes all services in vaginal or cesarean delivery including perinatal care.

More

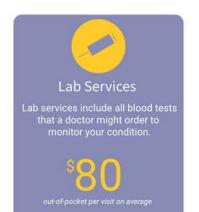
Average out-of-pocket cost for childbirth

#### Find In-Network Providers for MVP ~



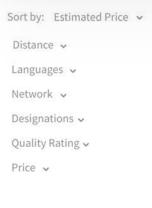


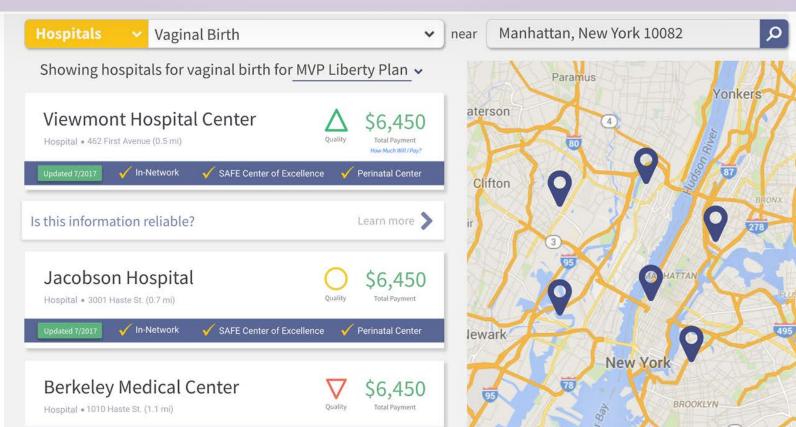




Provider-Specific, Carrier-Specific, Average

for Total Payment





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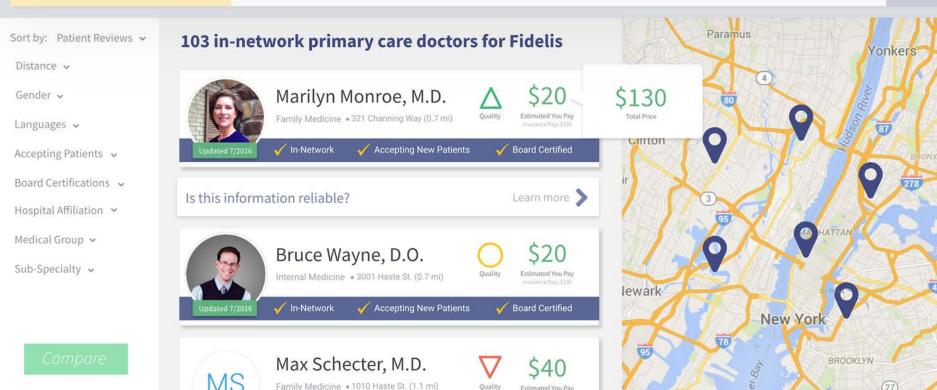
Provider-Specific, Plan-Specific OOP

Fidelis Care Bronze

Primary Care Doctor for Diabetes Type 2

near New York, NY





# DESIGNS: BRIEFLY ON QUALITY

Services

News

Government

Local

Location

Translat

#### Volume, Measures, Aggregates, and Icons

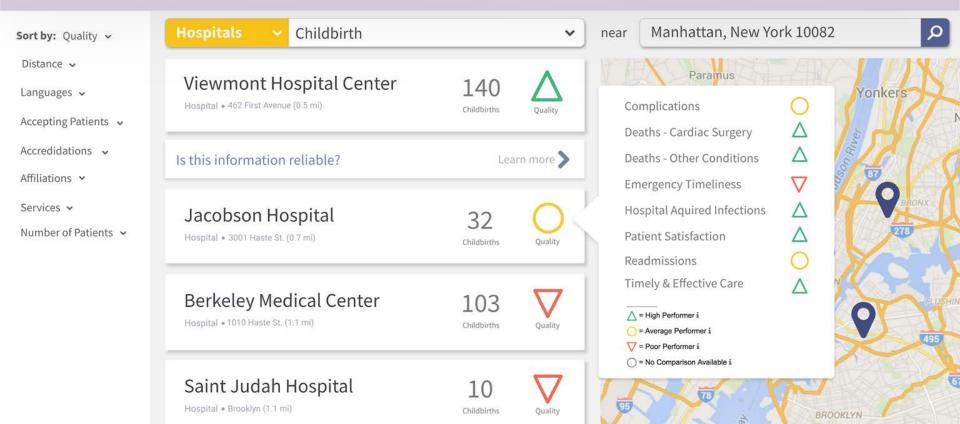
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Health Facilities

Search



### **NEXT STEPS**

Review the Consumer needs in NY

Determine data availability such as price specificity

After inventorying best practices, decide to partner or develop



#### THANK YOU!

Emilio Galan, MSc

Chief Executive Officer emiliogalan@honesthealth.org

A Cancer Screening Clinical Information System and Quality Improvement Project for NYS Federally Qualified Health Centers



### **Today's Presenters**

Heather Dacus, DO, MPH
Bureau of Cancer Prevention and Control
NYS Department of Health / Office of Public Health

Lisa Perry, MPP, MBA Sr. Vice President, Quality & Technology Initiatives Community Health Care Association of NYS (CHCANYS)



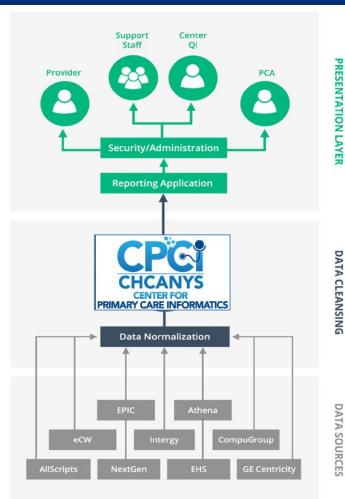
Develop and use a clinical information system within the CHCANYS Center for Primary Care Informatics to provide quality improvement support around improving breast, cervical and colorectal cancer screening rates in NYS FQHCs. July 2012 - June 2018











# Data System = CHCANYS Center for Primary Care Informatics (CPCI)

- Extracts data from EHRs
- Calculates performance results
- Displays performance dashboards
- Provides clinical workflow tools
- Add'l functionalities (to be mentioned later)



# **Key Project Activities**









**Recruitment & Connections** 

**Data Validation** 

Data & Clinical Quality Improvement Support

**Evaluation** 



#### **FQHC Recruitment & CPCI Connections**

Connect at least 75% of NYS FQHCs to system

80% of NY FQHCs connected to CPCI as of January 2018

- 52 FQHCs connected to the CPCI
- 9 different EHRs mapped to the CPCI
- 3 Cohorts of 11-12 FQHCs participated in QI work



ı	April 9, 2018		53
	Cohort 1	Cohort 2	Cohort 3
	Anthony L. Jordan*	Access CHC*	APICHA
	CHC North Country	Betances Health Center	Bedford Stuyvesant
	Community HCN	Brownsville Family CHC	Boriken
	Cornerstone	CHC Richmond	CHC Buffalo
	Hometown Health	Damian*	Family Health Network
	Hudson River HC	Ezras Cholim	Harlem United
	Institute for Family Health	Finger Lakes	Housing Works
	Morris Heights	Lutheran/Sunset	ICL Healthcare Choices
	Oak Orchard	Project Renewal	North Country Family Hlth.
	Open Door	Settlement	NOCHSI
	Regional Primary Care Network	William F. Ryan	Urban Healt NEW YORK Department
	Whitney M. Young		of Health

#### **Data Validation**

Validate data between CPCI and FQHC EHRs

- Sample of CPCI patient data compared to data from clinic EHRs
  - Calculated agreement statistics for each measure
- Practice-specific feedback -> actionable results shared with FQHCs



### **Quality Improvement Support**

12-months of data & clinical QI support to FQHCs

3 cohorts representing 34 FQHCs participated in 12-month interventions

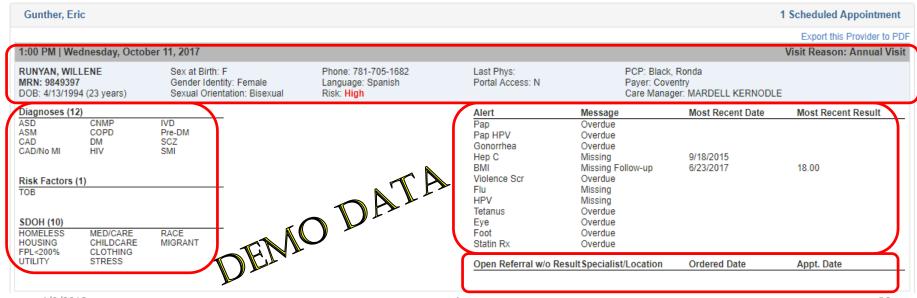
- Kick-Off Meetings, webinars, emails, coaching calls and in-person meeting with QI Teams
  - Mapping support, training on use of EHR structured fields, self-validation training







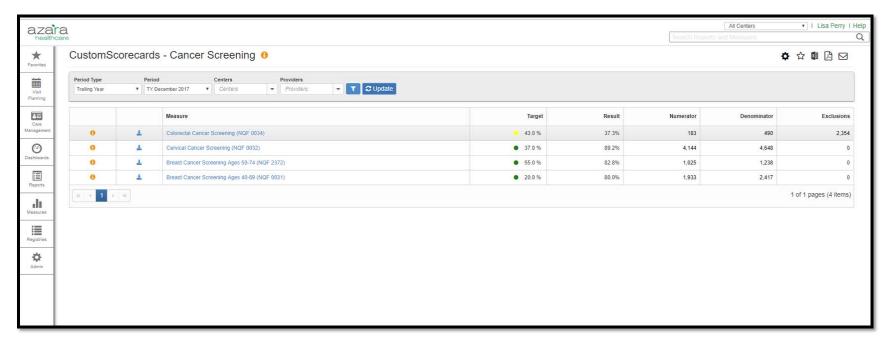
# The Pre-Visit Planning Report







#### Scorecards – Clinical Measures



#### **Outcomes To-Date**

#### Clinic Screening Rates

Did cancer screening rates improve among participating FQHCs?

# CPCI Data Quality

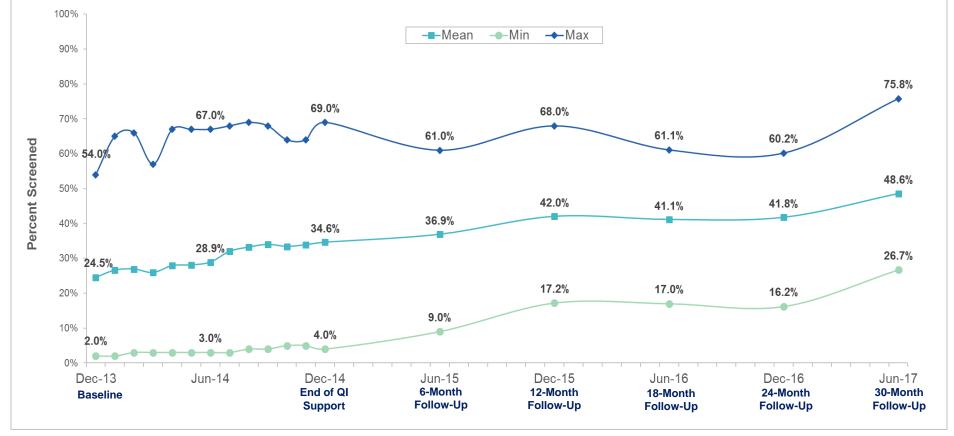
Is there evidence that quality of cancer screening data in CPCI improved?

#### Key Informant Interviews

How do staff at participating FQHCs perceive the project?



Cohort 1 TY Monthly Colorectal Cancer Screening Rates, December 2013-December 2014, June 2015, December 2015, June 2016, December 2016, June 2017 (N=14\*)



<sup>\* 14</sup> Health care settings (5 practices and 9 practice sites) participated in Cohort 1; As of TY June 2016 N=13 (missing data from 1 practice site due to site closure); As of TY June 2017 N=12 (missing data from 1 practice)

### **Did Data Quality Improve?**

- Repeated data validation process with Cohort 1 post-QI
- Compared pre/post validation to assess improvements in CPCI's ability to accurately capture a patient's screening status

#### Sensitivity =

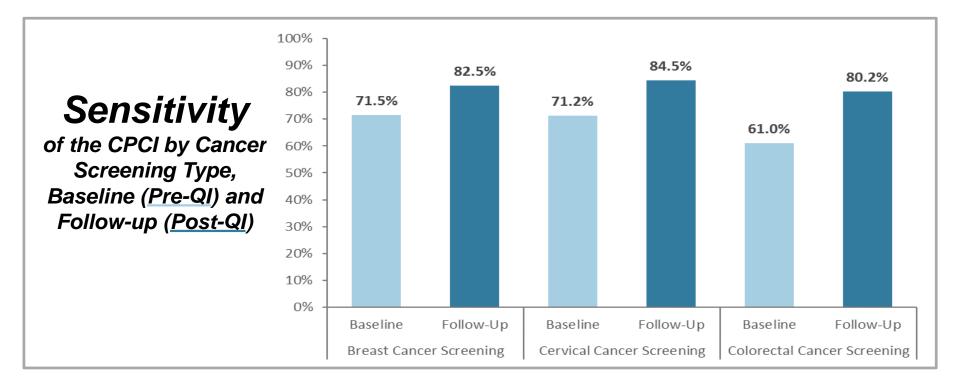
ability of CPCI to correctly identify patients that had a screening test

#### Specificity =

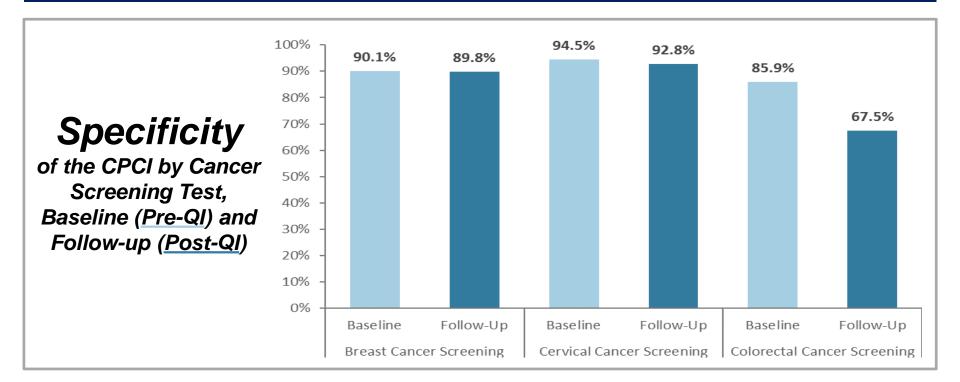
ability of CPCI to accurately rule out patients that did not have a screening test



# Sensitivity improved for all three screening metrics between baseline and follow-up



# Specificity for breast and cervical cancer screening was 90%+ at both data collection points but decreased to 68% for colorectal cancer screening at follow-up



# How Do FQHC Staff Using the CPCI Perceive Its Utility?

#### **Methods:**

 April to June 2017: Qualitative, semistructured, key informant phone interviews

 Administrative and clinical staff at 17 FQHCs



- 28 FQHC staff were interviewed
  - Response rate: 75.7%



## **Perceived Utility of CPCI**

The CPCI provides actionable data that supports clinical quality improvement

The CPCI is perceived as more user-friendly than other tools and fills a gap in reporting capabilities of EHRs

Degree of usefulness depends on staffing capacity



### **Suggestions for New Users**

Devote time to carefully map and validate the CPCI data

Take advantage of the CPCI functionalities sooner and more often

Devote time to **communicate the purpose** of CPCI and **provide staff training** to support use

Allocate sufficient staff support



## **Project Summary to-date**

Results suggest that a combination of data quality activities and quality improvement support has led to:

- 1. Adoption of improved workflows by FQHCs
- Improved data quality and use of a clinical information system to support QI
  - Data quality must be an ongoing focus
- 3. Sustained and/or promising improvements in cancer screening rates

## Any questions for me?

# I'll turn it over to Lisa Perry from CHCANYS



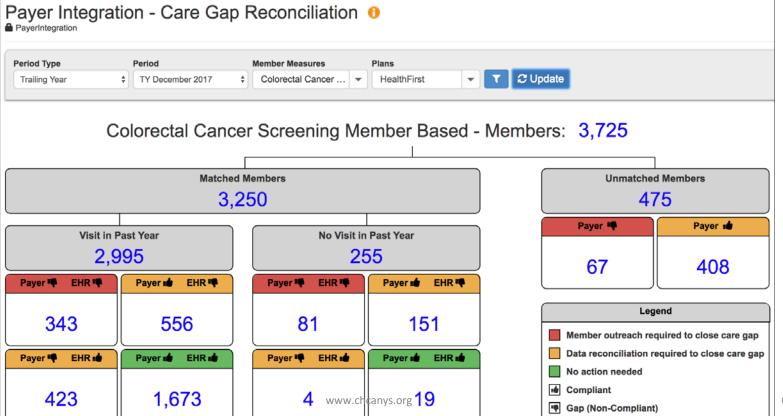




## **Additional CPCI Functionality**











#### Member Details: Cost

Detail includes: Total Medical Expense & Categorized Cost Breakdown (per patient)

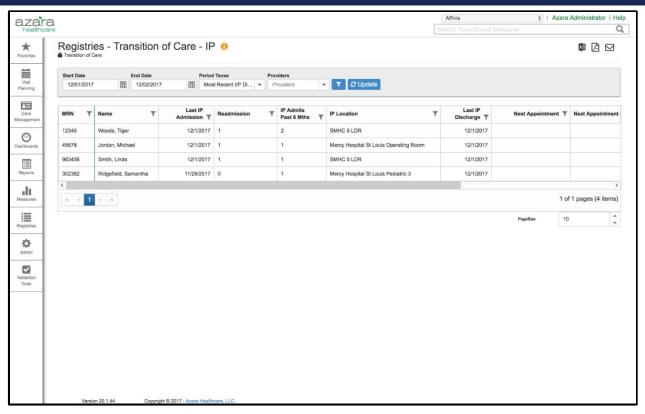
Distinguish Primary Care in/outside Health Center

Medica Device	Labs/Diagnostic	Inpatient Care	Home Health	Dental	Behavioral Health	Payer Risk	Cost Group	Total Cost
		\$36,270.11	\$3,256.59				\$50k-100k	\$78,288
\$3,623.9		\$46,549.65	\$3,338.51		\$349.18		\$50k-100k	\$99,738
	\$79.55				\$658.24		\$10k-25k	\$10,780
		\$27,965.78	\$5,135.07		\$803.73		\$50k-100k	\$68,128

Other	Outpatient Hospital Care	Pharmaceutical	Primary Care	Primary Care - Community Health	Special Needs Facility	Specialty	Support Services	Transportation	Unmapped	Vision
	\$3,874.03	\$32,096.68	\$2,459.93					\$330,68		
	\$6,356.44	\$5,560.36	\$12,072.03	\$600.40			\$17,544.82	\$3,742.59		
	\$3,557.60	\$3,665.82	\$566.72	\$1,339.02				\$913.21		
\$223.57	\$11,046.83	\$2,632.22	\$6,899.03	\$2,841.81	\$4,025.75			\$6,554.18		











#### Data Integration with Health Plans & RHIOs

		Medica	l Claims	Pharmacy Claims			
Health Plan	Enrollment	Cost	Utilization	Rx Cost	Rx Utilization	Care Gaps	Risk
HealthFirst	•		Q2 <sup>1</sup>		Q2 <sup>1</sup>	•	
HealthPlus <sup>2</sup>	•		Q2 <sup>3</sup>		Q2 <sup>3</sup>		
Affinity	•	•	•	•	•		
United	•	•		•	•		•
Capital District (CDPHP)							
MetroPlus							
Fidelis <sup>4</sup>	•		•	•	•	•	•

Integrating with CPCI?	RHIO	Count of HCs impacted
In Discussion	Bronx RHIO	11
✓	HealtheConnections	7
	HEALTHELINK	5
✓	HealthLinkNY	6
✓	Healthix	22
	Hixny	3
	NYCIG	TBD
✓	Rochester RHIO	5

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# Thank you!

Heather Dacus heather.dacus@health.ny.gov

Lisa Perry **Iperry@chcanys.org** 



# Provider Directory Project



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#### **Provider Data Strategic Planning**

#### Issue:

• Currently, a number of disparate sources of provider data are in use across the New York State Department of Health (NYSDOH), managed by separate program areas that intake, house, and manage the data.

#### The Provider Data Strategic Planning Project Aims To:

- Understand current provider data sources and systems, and document their characteristics including accuracy and reliability.
- Understand and document New York State's existing and future needs as relates to provider data, especially as they relate to supporting value-based payment initiatives.
- Develop a strategic implementation roadmap for options to meet priority business needs for provider data.



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#### **Provider Data Strategic Planning**

Research current provider data sources, and document:

Owners and users

Use cases

System infrastructure

Data specifications

Data completeness

Data validation

Linkages across systems

Funding

Document business needs

What stakeholder business needs does provider data meet?

Which needs are
a) adequately met,
b) inadequately met,
c) not met?

What is the priority of each business need?

Document options for meeting business needs

How could current systems be leveraged?

What new functionality is needed?

What policy changes are needed?

Select among options

Develop and apply criteria for evaluating options

Develop strategic roadmap for implementing selected options

# **Lunch Break**



# National Landscape for Interoperability

TEFCA







# DOH HIT Committee Performance Based Contracting Update & TEFCA Overview

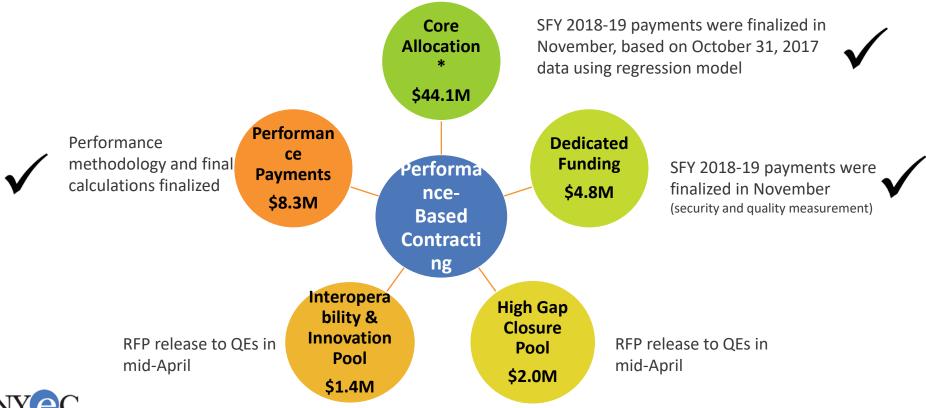
Valerie Grey April 9, 2018

# Performance Based Contracting Update

Since January 2018 Meeting



### 2018-19 NYeC Performance-Based Contracts with QEs



<sup>\*</sup> Including a set-aside for Bronx for Somos related to material data changes post 10/31/17 baseline data for core allocation finalized

#### **SHIN-NY: Current Statistics**

Metrics	2020 Goal	Statewide Estimate	QE Average (of 8 QEs)	Low (of 8 QEs)	High (of 8 QEs)
Participating hospitals	100%	100%	100%	98%	100%
Participating skilled D&TCs, FQHCs, nursing facilities, home care, hospice	70%	64%	71%	62%	85%
Participating physicians	70%	61%	63%	51%	81%
Unique patient consent for at least one provider	85%	55%	78%	41%	112%~
New higher-level data completeness & quality* for hospitals	100%	20%	17%	0%	64%
New higher-level data completeness & quality* for other regulated entities (ORE)**	70%	5%	5%	0%	22%
New higher-level data completeness & quality* for physicians	70%	14%	11%	0%	19%



# Performance Payments

- NYeC will provide quarterly reports to QEs on progress against Gap to Goal to help with early warning systems and provide assistance
- Partial credit for performance will be allowed using the following 3 tiers:
  - o If meet 50% of gap to goal then 15% of full allocation
  - o If meet 75% of gap to goal then 50% of full allocation
  - o If meet 100% of gap to goal then 100% of full allocation
- Unearned performance funds will be allocated to high performing QEs based on a methodology TBD



#### The Out Years ...

- Learn from Year 1, improve data and develop additional metrics
  - Customer satisfaction
  - Meaningful SHIN-NY usage
  - System reliability
- Stay true to overall strategy and outline of PBC originally presented to the NYeC Board and contained in the DOH & NYeC approved Roadmap report and slides
  - Significantly increase proportion associated with performance and achieving goals and deliverables
  - I & I increases
  - Core allocation decreases
- Work on Sustainability Plans





# Trusted Exchange Framework Common Agreement (TEFCA)



# Health Information Technology Advisory Committee

#### What is HITAC?

- The Health Information Technology Advisory Committee (HITAC) was established in the 21<sup>st</sup> Century Cures
- HITAC will recommend to ONC policies, standards, implementation specifications, and certification criteria, relating to the implementation of a health information technology infrastructure, nationally and locally
- HITAC unifies the roles of, and replaces, the HIT Policy Committee and the HIT Standards Committee

#### **Priority Target Areas?**

- Achieving a health information technology infrastructure that allows for the electronic access, exchange, and use of health information
- The promotion and protection of privacy and security of health information in HIT
- The facilitation of secure access by an individual to such individual's protected health information
- Any other target area that the HITAC identifies as an appropriate target area to be considered



# HITAC 2018 Charges

Throughout 2018, ONC plans to request feedback on the topics below that align with the priority target areas:

- Trusted Exchange Framework and Common Agreement (TEFCA)
- U.S. Core Data for Interoperability (USCDI) Glide Path
- Standards Use Cases
- ONC's upcoming rule to implement Cures Act provisions





# **TEFCA Big Picture Goals**



#### Build on and extend existing work done by the industry

The Draft Trusted Exchange
Framework recognizes and builds
upon the significant work done by
the industry over the last few years
to broaden the exchange of data,
build trust frameworks, and develop
participation agreements that
enable providers to exchange data
across organizational boundaries.

#### Provide a single "on-ramp" to interoperability for all

The Draft Trusted Exchange
Framework provides a single
"on-ramp" to allow all types of
healthcare stakeholders to join any
health information network they
choose and be able to participate
in nationwide exchange regardless
of what health IT developer they
use, health information exchange or
network they contract with, or where
the patients' records are located.

# Be scalable to support the entire nation

The Draft Trusted Exchange
Framework aims to scale
interoperability nationwide both
technologically and procedurally,
by defining a floor, which will enable
stakeholders to access, exchange,
and use relevant electronic health
information across disparate
networks and sharing arrangements.

#### Build a competitive market allowing all to compete on data services

Easing the flow of data will allow new and innovative technologies to enter the market and build competitive, invaluable services that make use of the data.

# Achieve long-term sustainability

By providing a single "on-ramp" to nationwide interoperability while also allowing for variation around a broader set of use cases, the Draft Trusted Exchange Framework ensures the long-term sustainability of its participants and end-users.



#### **Planned Timeline**





# **Draft TEFCA Structure Core Takeaways**

All about creating a national network by leveraging public & private infrastructure that is already built

- Voluntary & not mandatory
  - Value proposition for joining will be important
- No new government funding support
  - Some new fees would be allowed
- Resembles NYS SHIN-NY structure but not fully aligned
  - o Permitted uses, services, etc.
- Ambitious & aggressive timeline



#### **Draft TEFCA Basics**

#### Part A—Principles for Trusted Exchange

General principles that provide guardrails to engender trust between Health Information Networks (HINs). Six (6) categories:

- » Principle 1 Standardization: Adhere to industry and federally recognized standards, policies, best practices, and procedures.
- » Principle 2 Transparency: Conduct all exchange openly and transparently.
- » Principle 3 Cooperation and Non-Discrimination: Collaborate with stakeholders across the continuum of care to exchange electronic health information, even when a stakeholder may be a business competitor.
- » Principle 4 Security and Patient Safety: Exchange electronic health information securely and in a manner that promotes patient safety and ensures data integrity.
- » Principle 5 Access: Ensure that patients and their caregivers have easy access to their electronic health information.
- » Principle 6 Data-driven Accountability: Exchange multiple records at one time to enable identification and trending of data to lower the cost of care and improve the health of the population.



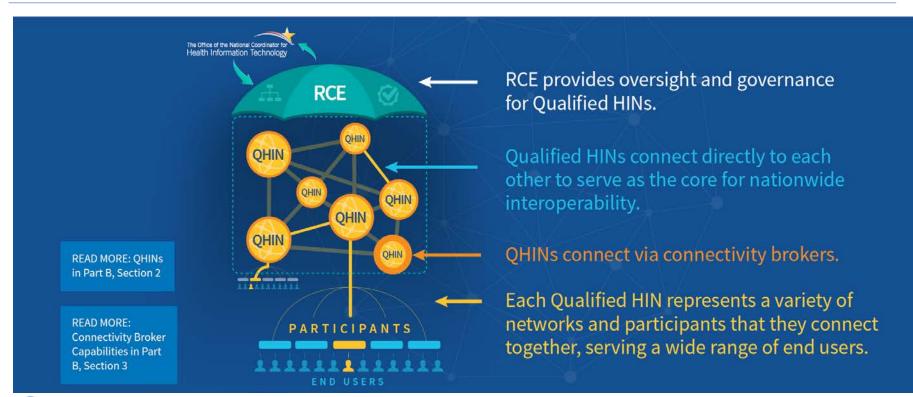
#### Part B—Minimum Required Terms and Conditions for Trusted Exchange

A minimum set of terms and conditions for the purpose of ensuring that common practices are in place and required of all participants who participate in the Trusted Exchange Framework, including:

- » Common authentication processes of trusted health information network participants;
- » A common set of rules for trusted exchange;
- » A minimum core set of organizational and operational policies to enable the exchange of electronic health information among networks.

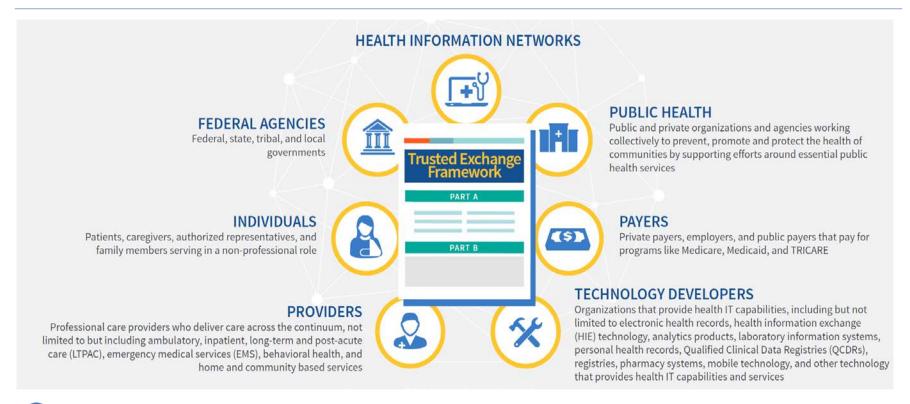


# **Draft TEFCA Vision**





#### Who Could Use It?





#### What Uses?





#### What Services?



#### **Broadcast Query**

Sending a request for a patient's Electronic Health Information (EHI) to all Qualified HINs to have data returned from all organizations who have it.

Supports situations where it is unknown who may have Electronic Health Information about a patient.



#### **Directed Query**

Sending a targeted request for a patient's Electronic Health Information to a specific organization(s).

Supports situations where you want specific Electronic Health Information about a patient, for example data from a particular specialist.



#### **Population Level Data**

Querying and retrieving Electronic Health Information about multiple patients in a single query.

Supports population health services, such as quality measurement, risk analysis, and other analytics.



#### Allowable Fees?

Qualified HINs may, though they are not required to, charge attributable service costs to other Qualified HINs, provided they are reasonable and non-discriminatory.

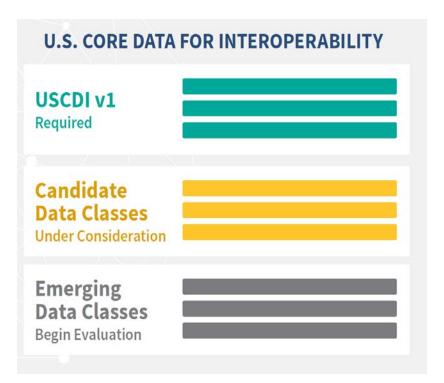
**Reasonable Allowable Costs:** are costs that were actually incurred; are a direct cost or a reasonable allocation of indirect costs for the attributable services below; are based on objective and verifiable criteria; and are not variable depending on which Qualified HIN is being charged

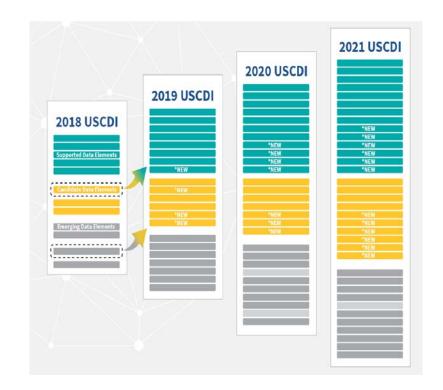
#### Attributable Services may include:

- ✓ Developing or modifying interfaces or APIs to be able to exchange data in the USCDI;
- ✓ Developing or revising the Connectivity Broker required in the Trusted Exchange Framework; and
- ✓ Employing legal services necessary to review the Trusted Exchange Framework and amend participation and Business Associate agreements to meet the requirements of the Trusted Exchange Framework.



#### **USCDI** Draft







# Proposed Required USCDI v1 for 2018

Draft USCDI Version 1 Data Classes		
1. Patient name	2. Sex (birth sex)	
3. Date of Birth	4. Preferred Language	
5. Race	6. Ethnicity	
7. Smoking Status	8. Laboratory tests	
9. Laboratory values/results	10. Vital signs	
11. Problems	12. Medications	
13. Medication Allergies	14. Health concerns	
15. Care Team members	16. Assessment and plan of treatment	
17. Immunizations	18. Procedures	
19. Unique device identifier(s) for a patient's implantable device(s)	20. Goals	
21. Provenance	22. Clinical Notes	

#### Same data classes referenced by the 2015 Edition CCDS definition and also includes clinical notes and provenance

- Clinical notes is composed of structured (pick-list and/or check the box) and unstructured (free text) data free text portion may include the assessment, diagnosis, plan of care and evaluation of plan, patient teaching and other relevant data points
- Provenance describes the metadata, or extra information about data, that can help answer questions such as when & who created the data



#### **NYeC Public Comments**

#### Public Comment Period went through February 20



Valerie Grey Executive Director

February 20, 2018

Dr. Donald Rucker
National Coordinator for Health Information Technology
Office of the National Coordinator
U.S. Department of Health and Human Services
330 C ST SW
Mary Switzer Building: Office 7009A
Washington, D.C. 20201

Via Electronic Submission (exchangeframework@hhs.gov)

RE: Draft Trusted Exchange Framework

Dear Dr. Rucker:

The New York eHealth Collaborative (NYsC) is pleased to provide these comments in response to the proposed Trusted Exchange Framework and Common Agreemen (TEFCA) and its accompanying draft U.S. Core Data for Interoperability (USCDI). Required by the 21<sup>rd</sup> Century Cures Act, the TEFCA aims to creat a common set of principles for trusted exchange of electronic health information and a technical and governance infrastructure that connects disparate health information networks (HINs) together through a core of Qualified Health Information Networks (OHINS).

NYeC is a 501(c)(3) and the State Designated Entity (SDE) in New York State charged with the governance, coordination, and administration of the Statewide Health Information for New York (SHIN-NY). In that capacity, NYeC works as a public private partnership with the New York State Department of Health (NYS DOH) on the development of policies and procedures that govern how electronic health information in New York State is shared via the SHIN-NY. Our SHIN-NY 2020 Roadmap contains five key strategies, informed by broad stakeholder input: (1) ensuring a strong health information exchange (HIE) foundation across the State: (2) supporting Value-Based Care: (3) enabling interoperability and innovation: (4) promoting efficiency and affordability; and (5) advocating collectively for the SHIN-NY and its stakeholders.

The SHIN-NY is a "network of networks" consisting of eight Qualified Entities (QES), formerly known as regional health information organizations (RHIOs), and a statewide connector that provides secure sharing of important clinical data from participating providers' electronic health records (EHRs). Participants include hospitals, clinics, labs, radiology centers, ambulatory physicians, home care agencies, nursing homes, long-term care facilities, public health departments, health plans, behavioral health providers, NYS DOM, and Federally-Qualified Health Centers (FQHCs) among others. Virtually all hospitals and over 80,000 other providers are part of our network. By making it possible to immediately share data, the SHIN-NYY helps streamline



# Significant Potential Opportunity

- Single on-ramp can help participants & encourage use
- Work of both public HIEs & private industry solutions get leveraged
- More standardization, improved & expanded data being shared
- Strong consumer focus
- Spur national policy changes
  - Alignment between various programs
  - Modernization of Part 2 data
  - Others
- Accelerate needed state policy changes
  - Closer alignment to HIPAA
  - Others
- Reduced costs & increased system efficiency
- Better healthcare



# Major Themes: Some General Concerns

- Not fully leveraging & maximizing current infrastructure
- Overly ambitious & unachievable timelines
  - New data sharing agreements, use of open APIs, use of CCDAs & FHIR, minimum data set requirements
- Pull-only approach no push services like alerts
- Differing consent & privacy laws are not being realistically addressed
- Some components may result in unintended consequences
- Lack of government funding for a lot of work by many
- Allowable fee methodology that is inefficient & inadequate
- Selection of RCE and independence & mission



# Major Themes: Recommendations

- Fully leverage current infrastructure, HIE lessons learned, pressure on vendors
- Recognize current market & industry capacity & adjust timelines appropriately
- Help resolve & sort through consent and privacy laws
- Remove federal barriers to existing data exchange
- Some components may result in unintended consequences
  - Multiple sets of agreements, impact on participation, etc.
- Ensure RCE is independent, mission-driven & inclusive of all stakeholders
- Provide government funding & support in creative ways
- Revisit & revise allowable fee methodology
- Continue to focus on patient access & engagement & transparent process



# Questions in a Number of Areas

- "Participant Neutral"
- API standards
- QHIN eligibility
- Allowable fees
- Security framework
- Others



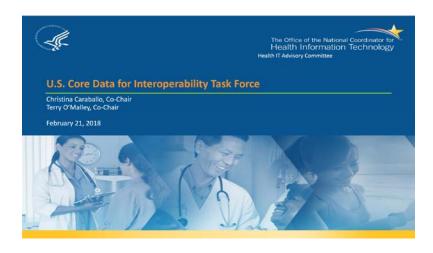


# **ONC** Reflection and Input

#### Public Comments available at:

https://beta.healthit.gov/topic/interoperability/trusted-exchange-framework-and-common-agreement





#### Task Force Updates:



# **TEFCA & USCDI Will Evolve**

- Changes to Drafts coming
- ONC trying to build support
- Federal government will try to use all levers
- Could be a positive force
- But picture will become clearer over time







# nyehealth.org

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# HIT Enabled Quality Measurement – Vision Document



April 9, 2018 108

# HIT-Enabled Quality Measurement Current State Recap

#### **Business Needs**

- Clinical data for use by plans as HEDIS supplemental data
- Clinical data for use by provider organizations in their analytics systems
- Population-level measures

#### Current State Limitations

- Data delivered in inconsistent, nonstandard formats
- Multiple point-to-point connections
- Poor data quality
- Reliance on claims and medical record review = outcome measures calculated infrequently on a sample of the population

#### Future State Characteristics

- Availability of high-quality electronic clinical data for plans and providers
- Consensus-based solutions and specifications
- Reusable and scalable technology
- Population-level outcome measurement



#### The Problem

- Quality measurement is a critical component of healthcare system transformation
  - NYSDOH initiatives like DSRIP, SIM and VBP all rely on quality measures to assess and compare performance and to inform payment decisions
- Measuring outcomes requires clinical data
- Electronic clinical data is not well integrated into current quality measurement processes

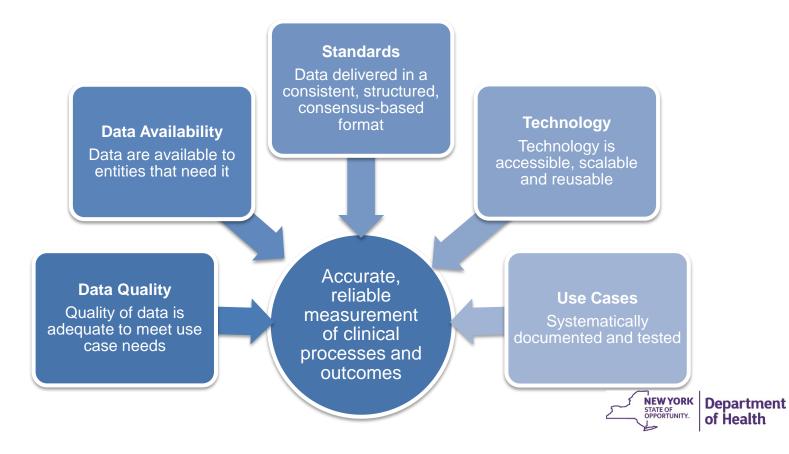


#### Vision for HIT-Enabled Measurement

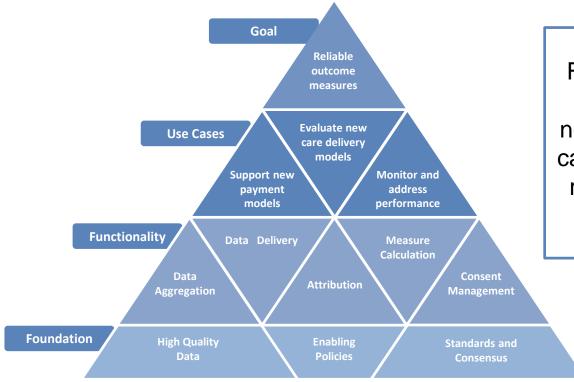
An infrastructure of *technology and policies* that allow *multiple stakeholders* to access *high-quality data* that represents a *complete picture of the care* delivered to a patient and enables *measurement* of the *health outcomes of a population* 



#### **Vision for HIT-Enabled Measurement**



#### **Vision for HIT-Enabled Measurement**

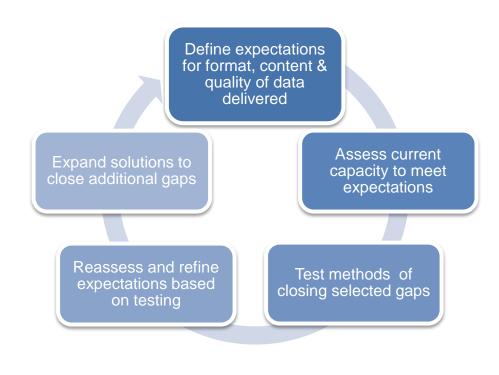


Foundational components
and functionality are
needed to support new use
cases and reach the goal of
robust, accurate outcome
measurement



#### **Achieving the Vision**

- NYSDOH will pursue a multi-pronged approach to build the capacity to meet unmet needs and realize the desired characteristics of the future state
- The approach will emphasize a process of continuous learning to answer key questions

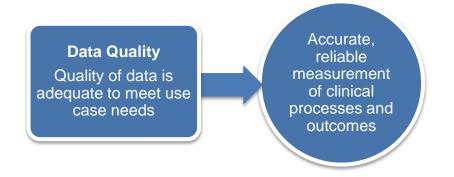




#### **Future State Objectives**

#### **Objective 1**

The *quality of available data is high enough* to satisfy quality measurement needs.



#### **Questions**

- 1. Where in data flows are data quality issues being introduced?
- 2. How can each of these "failure points" be addressed?
- 3. What procedures and policies are in place to monitor and address data quality issues?
- 4. Can gaps in these procedures and policies be closed?
- 5. Can available data satisfy the requirements of measure specifications?



#### **Future State Objectives**

#### **Objective 2**

Ensure that the needed *data are* available to stakeholders including health plans, providers, and NYSDOH

#### **Questions**

- 1. What data are needed, from what entities, and to whom do they need to be delivered? Are there potential data consumers beyond health plans and providers?
- 2. Are policies in place to enable data sharing between data contributors and data consumers? If not, what are the policy barriers and how may they be overcome?

**Data Availability** 

Data are available to entities that need it

Accurate, reliable measurement of clinical processes and outcomes



#### **Future State Objectives**

#### **Objective 3**

Develop and promote *consensus-based standards* for data contribution and data delivery

#### **Questions**

- 1. What national standards are applicable to the use cases being addressed?
- 2. What requirements would a file need to meet to be considered a standard supplemental data source by a HEDIS auditor?
- 3. What are the barriers to adoption of a standard file format?

#### **Standards**

Data delivered in a consistent, structured, consensus-based format

Accurate, reliable measurement of clinical processes and outcomes



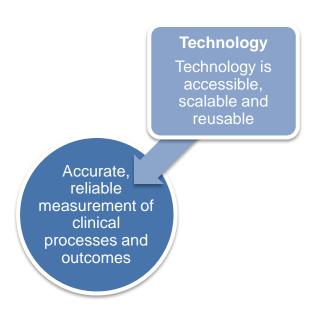
#### **Future State Objectives**

#### **Objective 4**

**Reuse or implement** technology solutions that can be used **by multiple stakeholders** and scaled for broader utility

#### **Questions**

- 1. What functions are needed to aggregate, process, and deliver data?
- 2. What technology is in place to aggregate data from the necessary entities? How well are these working? Can they be reused? What changes would need to be made?
- 3. Do new solutions need to be developed?
- 4. What capabilities do entities have for taking in data?





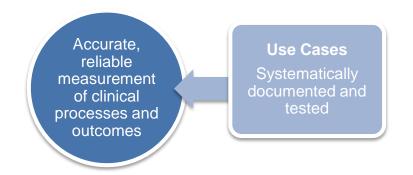
#### **Future State Objectives**

#### **Objective 5**

Systematically *define and test use cases* and *incorporate lessons learned* for strategic decision making.

#### **Questions**

- Who are the key stakeholders that will use a solution?
- 2. What are their key business needs, i.e. what are they measuring and for what purpose?
- 3. What are the specific requirements?
- 4. How can we test solutions?
- 5. Which solutions should be scaled?





#### **Initiatives to Support the Future State**

#### **Project Description**

Establish reporting and communication channels to ensure a strategic and systematic approach to the future state

# **Communication and Strategic Alignment**

- ✓ A shared understanding of NYSDOH's vision for HIT-enabled quality measurement and of related initiatives.
- √ Continued strategic alignment among stakeholders



#### **Initiatives to Support the Future State**

#### **Project Description**

Establish technical workgroup(s) to develop and disseminate standards for data needed to support quality measurement

# **Standards and Specifications**

- ✓ *Implementation guides* for data inputs into a quality measurement clearinghouse
- ✓ File specifications for outputs from that clearinghouse for delivery to data consumers



#### **Initiatives to Support the Future State**

#### **Project Description**

Fund QEs to implement use cases to support quality measurement for the APC scorecard

# **Qualified Entity Quality Measurement Pilots**

- ✓ Understand the *measurement needs* of APC practices, health plans and NYSDOH
- ✓ Understand *data quality issues* at the APC level
- ✓ Understand *data exchange capabilities* and *barriers* among practices, QEs and health plans
- ✓ Understand *requirements and specifications for measures* in the APC scorecard



#### **Initiatives to Support the Future State**

#### **Project Description**

Pilot participants collaborate to share data to produce the Controlling High Blood Pressure Measure at a population level

# **VBP Pilots Measure Testing Projects**

- ✓ Enhanced understanding of *the quality of EHR-sourced data* for measures that are *not reportable at a population level* based on administrative specifications
- ✓ Understanding of provider and plan *data exchange capabilities*
- ✓ Lessons learned regarding *data delivery* methods, *data quality*, and means of improving quality



#### **Initiatives to Support the Future State**

#### **Project Description**

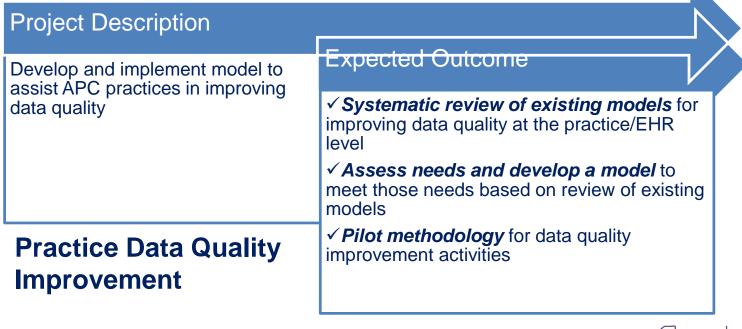
Design and develop solution to centralize, standardize and deliver data to plans and others to support APC and VBP measures

Quality Measurement Clearinghouse (Phase 1: Lab Data)

- ✓ Documented *business and technical requirements*
- ✓ Analysis of policy barriers and enablers
- ✓ Current state *analysis of existing systems* that may meet needs
- ✓ **Identification and assessment of options** for solutions to meet requirements

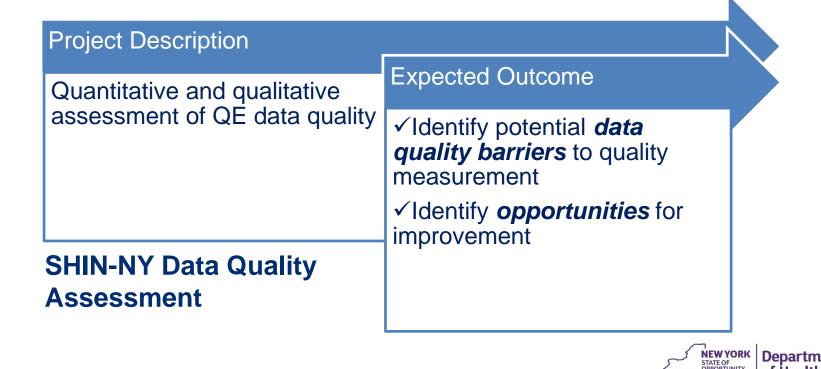


#### **Initiatives to Support the Future State**





#### **Initiatives to Support the Future State**





Bureau of Narcotic
Enforcement
Prescription Monitoring
Program – EHR
Integration



### What Is the PMP?

 A statewide electronic database which collects designated data on the dispensing and distribution of controlled substances.

 The registry includes patient-specific information on dispensed controlled substances.

## What Is the PMP?

- Patient data is derived from pharmacy dispensing information.
- Accurate pharmacy data entry is a must!
- 1 year of patient history is displayed.
- Data is visible within 24 hours of submission.

## **Uses of PMP Data**

- 1. Inform prescribers and pharmacists of patient's recent controlled substance prescription activity via the PMP Registry for better evaluation of treatment;
- Decrease Multiple Provider Episodes (Dr Shoppers);
- 3. Enforcement activities; and
- 4. Present aggregate data to inform public health initiatives.

# **History of the NYS PMP**

**1972:** pharmacies required to report dispensed controlled substance prescription information (Schedule II drugs only).

June 2005: pharmacies required to report dispensed CS prescription records (Schedules II-V, monthly).

**April 2006:** all prescriptions required to be written on the New York State serialized and forge-proof Official Prescription Form (with limited exceptions).

Basis for data collected for inclusion on the PMP registry.

# **History of the NYS PMP**

- February, 2010: On-Line PMP is available to prescribers.
- August 27, 2013: The updated PMP goes live.
- Pharmacies and dispensing practitioners required to report all controlled substance prescription data daily.
- Prescribers are required to access the PMP prior to writing a controlled substance prescription.
- Pharmacists are allowed to view the PMP Registry prior to dispensing a controlled substance prescription.

## Who Can Access the PMP?

- On August 27, 2013, the updated PMP and the mandatory duty to consult for practitioners was officially implemented.
- Practitioners do not need to include a reference that they checked the PMP on the prescription, but do need to note it in the patient's medical record.

 Pharmacists are encouraged but not mandated to consult the PMP Registry.

## **PMP Duty to Consult -- Practitioners**

 Practitioners must consider their patient's information presented in the PMP Registry prior to prescribing or dispensing any controlled substance listed in Schedule II, III, or IV.

 The data considered by the practitioner must be obtained from the PMP Registry no more than 24 hours before the prescription is issued.

## PMP Duty to Consult—Practitioners

Law allows for the use of designees

 Practitioner must train designee on appropriate use of the PMP

Practitioner is responsible for their activities

# Pharmacist Access to PMP Registry

- Pharmacists may designate another pharmacist or pharmacy intern.
- Pharmacy technicians and other pharmacy employees are PROHIBITED from access to the PMP Registry.
- Pharmacists are PROHIBITED from providing a PMP report, upon request, for any law enforcement official, including a DEA agent.
- Pharmacist may NOT access the PMP Registry for someone for whom they do NOT have a prescription.



#### **How to Access the PMP**

It is necessary to obtain a Health Commerce System (HCS) account, to provide secure online access to an individual's recent controlled substance prescription history.



## **2017 Reporting Statistics**

- Over 4,700 pharmacies are currently reporting;
- Average of 80,728 dispensed prescription records sent each day; and
- Just under 23 million unique dispensed controlled substance prescription records reported in 2017.
  - Just under 8 million opioid prescriptions reported in 2017.

## **New York PMP Usage**

#### 2/16/10 through 8/26/13: 19,000 users

performed 950,000 searches

**#Rx Summit** 

- for 202,714 patients

#### 8/27/13 through 2/28/18: 114,197 unique users

- performed over 80.6 million searches
- for over 15 million unique patients
- 18,739,213 searches occurred in 2017 alone
- Over 47 searches have been handled per second

# **EHR Integration**

- In NYS, practitioners are required to consult the PMP prior to writing a Schedule II, III or IV controlled substance.
- The Bureau of Narcotic Enforcement, within the New York State Department of Health, is continually assessing ways to make the PMP more easily accessible to practitioners.



# **EHR Integration**

- Another strategy to ease access to the PMP is full EHR integration.
   This simplifies access and does not require a practitioner to leave one application and log into a separate PMP application.
- Under the CDC grant, the Department of Health proposes an optional pilot to provide a cost-free alternative (other than implementation costs on the side of the health system) to integration. The state will not charge monthly or maintenance fees to health systems connected to the EHR.
- The Department of Health is exploring how to deploy the integration.



# **EHR Integration**

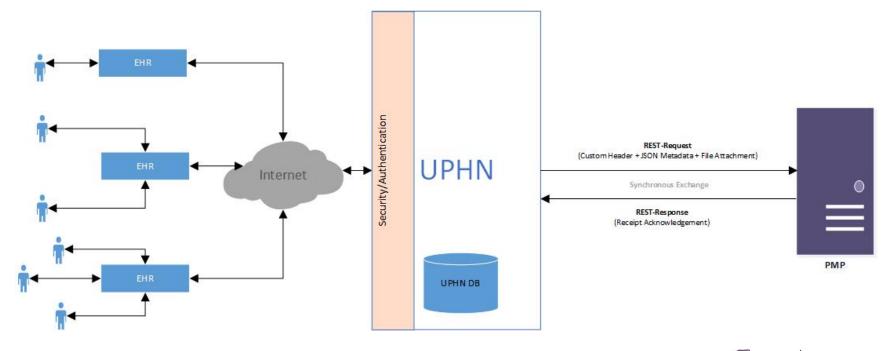
 The Health Commerce System, the current access point, will continue to be maintained.

• The Single Sign-On solution developed under a different grant will also continue.

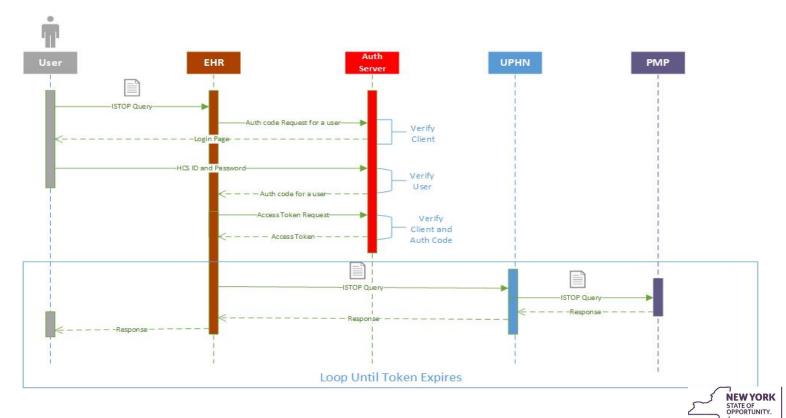


## EHR Integration—Lessons Learned (so far)

- Sustainability—Concerns with grant funding decreases or elimination, staffing, upgrades and maintenance to the system.
- PMP data sharing with other states using the State provide IT solution may not be available, which could be problematic with high density areas sharing borders with multiple states. (NYC and PA, NJ, CT and MA)
- MOUs will be needed. Still exploring how and at what level these are required.







Department of Health

# Discussion and Next Steps

