

Integrated Care Workgroup #13

March 8th, Empire State Plaza

Proposed ICWG 13 Agenda

Timing	Topic	Lead
10:00-10:30am	Welcome / Updates on APC publications	Foster Gesten, Susan Stuard
10:30-10:45am	DFS Update	John Powell
10:45-11:30am	APC Model for 2017	Marcus Friedrich
	 Structural & Performance Milestones 	Lori Kicinski
11:30-12:00pm	Independent Validation Agent	Susan Stuard/Hope Plavin
12:00-12:15pm	Working lunch	
12:15-1:00pm	APC/CMS Score Card Alignment	Anne-Marie Audet/OMH
1:00-1:10pm	IPRO Score Card Update	Anne Schettine
1:10-1:45pm	SIM/TCPI/DSRIP Alignment	Hope Plavin
		Thomas Mahoney
		Alda Osinaga
1:45-2:00pm	Closing	Foster Gesten

DFS Update

DFS Update

- APC Payer Information Request
 - Responses due 18 March 2016
 - Additional Question and Answer teleconference on 8 March at 4:00pm
 - NEBGH multi-payer meeting on 15 March

- Memorandum of Understanding (MOU)
 - Colorado multi-payer alignment example



APC Model for 2017



Milestones to satisfy all three requirements

- Does it improve patient care and promote outcomes that matter to patients and families?
- Is it meaningful for the practice and providers?
- Are payers willing to support it?



The Journey to Completion for APC Milestone Specifications



- Result from more than a year of thinking across a wide range of stakeholders
- Represent the collective guidance of a range of experts
- Build on the experience of what works in other primary care programs and discard what does not
- Directly link to the Advanced Primary Care capabilities we have collectively defined over the past year
- Shift requirements from box-checking to a focus on what really matters



APC structural milestones

DRAFT

	Commitment	Readiness for care coordination Gate	Demonstrated APC Capabilities Gate	
	What a practice achieves on its own, before any TA or multi-payer financial support	What a practice achieves after 1 year of TA and multi-payer financial support, but no care coordination support yet	What a practice achieves after 2 years of TA, 1 year of multi-payer financial support, and 1 year of multi-payer-funded care coordination	
		Prior milestones, plus	Prior milestones, plus	
Participation	APC participation agreement Early change plan based APC questionnaire Besignated change agent / practice leaders Participation in TA Entity APC orientation Commitment to achieve gate 2 milestones in 1 year	Participation in TA Entity activities and learning (if electing support)		
Patient- centered care	Process for Advanced Directive discussions with all patients	 i. Advanced Directive discussions with all patients >65 ii. Plan for patient engagement and integration into workflows within one year 	 i. Advanced Directives shared across medical neighborhood, where feasible ii. Implementation of patient engagement integrated into workflows including QI plan (grounded in evidence base developed in Gate 2, where applicable) 	
Population health			Participate in local and county health collaborative Prevention Agenda activities Annual identification and reach-out to patients due for preventative or chronic care management Process to refer to structured health education programs	
Care Manage- ment/ Coord.	Commitment to developing care plans in concert with patient preferences and goals Behavioral health: self-assessment for BH integration and concrete plan for achieving Gate 2 BH milestones within 1 year	 i. Identify and empanel highest-risk patients for CM/CC ii. Process in place for Care Plan development iii. Plan to deliver CM / CC to highest-risk patients within one year iv. Behavioral health: Evidence-based process for screening, treatment where appropriate¹, and referral 	 i. Integrate high-risk patient data from other sources (including payers) ii. Care plans developed in concert with patient preferences and goals iii. CM delivered to highest-risk patients iv. Referral tracking system in place v. Care compacts or collaborative agreements for timely consultations with medical specialists and institutions vi. Post-discharge follow-up process vii. Behavioral health: Coordinated care management for behavioral health 	
Access to care	i. 24/7 access to a provider	i. Same-day appointments ii. Culturally and linguistically appropriate services	i. At least 1 session weekly during non-traditional hours	
ніт	i. Plan for achieving Gate 2 milestones within one year	Tools for quality measurement encompassing all core measures Certified technology for information exchange available in practice for Attestation to connect to HIE in 1 year	i. 24/7 remote access to Health IT ii. Secure electronic provider-patient messaging iii. Enhanced Quality Improvement including CDS iv. Certified Health IT for quality improvement, information exchange v. Connection to local HIE QE vi. Clinical Decision Support	
Payment model	Commitment to value-based contracts with APC- participating payers representing 60% of panel within 1 year	i. Minimum FFS with P4P ² contracts with APC- participating payers representing 60% of panel	 Minimum FFS + gainsharing3 contracts with APC-participating payers representing 60% of panel 	

Technical specifications can be found in pre-read

¹ Uncomplicated, non-psychotic depression

Collective feedback that refined the structural Milestones

Ease the burden of documentation	Reduced required logs/screenshots, replaced with meaningful measures and improvement of those measures
Align reporting criteria with NCQA, MU, and other initiatives	Will develop a separate document to crosswalk APC between the different initiatives
Strengthen Patient Engagement and Prevention Agenda Activities	Linking milestones to community based services, used shared decision making tools
High Risk Care Management delivery	Using collaborative resources (community/ payer) and payer driven data
Operationalize BH in a primary care setting	Used the proposed BH framework that includes training, screening, integrated delivery of care
Incorporate general bi-directional communication across Milestones	Incorporate communication between providers, patients, community resources into the milestones
Strengthen outcome and performance for Population Health, Care Management, Access and HIT	Using outcome based measures at Gates 2 and 3 to improve performance
Guidance instead of mandated requirements	Acknowledgement of improvement and control of performance by practice and TA vendors
Incorporate cultural competencies into Milestones	Eliminated barriers in cultural and operational areas to reduce disparity and improve access



Next Steps:

- Incorporate Core Measures into Milestone Specifications
- Complete the Implementation and Milestone Reporting Guide
- Evaluate Gating criteria for alignment with other initiatives, including areas of potential 'auto-credit' and prevalidation

Comments?

Questions?



Independent Validation Agent

Independent Validation Agent - Workgroup Input

The practice transformation RFP will be issued shortly

A next step is to move forward with design for the Independent Validation Agent (IVA) – this will ultimately result in an RFP

■ Note: new title of Independent Validation Agent – had previously referred to as oversight entity

Reviewed a basic outline at January ICWG meeting and will revisit today and garner input

Balancing act:

- Funding is limited, so need to get best bang-for-our buck
- Payers need to feel that verification meets their needs
- Practices need not be overwhelmed with documentation and audit



Trust But Verify – The APC Model

NYS APC program creates a new environment where "trust but verify" is possible:

- APC identifies a core set of milestones, gates, and measures common across payers and providers
- NYS involvement sets the stage for aligned incentives for providers, payers and consumers
- Core measure set will enable verification and promote quality improvement at the practice level, across all payers,
 and will provide consistent information to both payers and providers



IVA Role in Reliability and Alignment

Reliable Information: The IVA will audit *both* practices and TA entities participating in NYS's APC program to ensure consistency across regions and application of a single state-wide standard for achievement of gates and milestones.

- The audit function creates a trusted, independent, third-party review of practice achievements in the APC program and TA performance in support of these practice achievements.

<u>Alignment of Payment Models</u>: The IVA's verification and audit provides unbiased information about practice capabilities and eligibility for value-based payments for both commercial and government payers



IVA: Key Activities

Independent Validation Agent Activities				
Activity	Notes			
Documentation Portal	 Develop and implement a portal for practice and TA entity submission of gate and milestone documentation Specific role-based access for practices, TA entities, payers, NYS DOH, and IVA entity 			
Review of Gate Assessments	 IVA to review documentation related to TA entity gate assessments for a substantial sample of each TA's activity in each region Establish standards for validity and reliability of assessments; findings used to educate TA entities and as input to audit plan 			



Independent Validation Agent Activities

Activity	Notes
Audit Plan for Compliance and Trigger Audits	 IVA to develop a detailed audit plan for compliance audits and triggered audits for both practices and TA entities Includes education and communication activities for practices and TA entities about audit process
Compliance Audits: Practices and TA Entities	 Practices and TA entities randomly selected for compliance audit. Proposing approximately 60 compliance audits per year Compliance audits via phone and documentation review Includes reporting and follow-up activities after failed audits
Triggered Audits: Practices and TA Entities	 Practices & TA entities selected using defined triggers in audit plan Proposing approximately 60 triggered audits per year; perhaps ability to roll-over slots to compliance audits Triggered audits conducted in person Includes reporting and follow-up activities after failed audits



IVA: Key Activities

Independent Validation Agent Activities				
Activity	Notes			
Survey of Practice Satisfaction with TA	 Administer electronic survey approved by NYS DOH to assess practice satisfaction with its TA entity 			
Project Management	 Regular project meetings with NYS DOH Monthly reports, six-month TA entity assessment, ongoing audit finding reports 			

High-Level Timeline: IVA Activities

Q2 2016: RFP for IVA services issued

Q4 2016: Documentation portal live Q1 2017: Compliance audits and triggered audits commence (ongoing)



Q2-Q3 2016: IVA selected





Working Lunch

APC/CMS Score Card Alignment

February 2016 Release by CMS of a Primary Care Measure set: What are the implications for the APC Measure Set?

- CMS Set Created by Core Quality Measures Collaborative: CMS, AHIP,
 NCQA, and several physician organizations (AAFP, ACC, ACP, AMA, CMSS)
- Core measure sets for seven areas of practice:
 - 1. ACO/PCMH/Primary Care
 - 2. Cardiology
 - 3. Gastroenterology
 - 4. HIV/Hepatitis C
 - 5. Medical Oncology
 - 6. Obstetrics and Gynecology
 - 7. Orthopedics
- AAP is planning to develop a pediatric core measure set



CMS Primary Care versus New York APC Measure Sets A Comparison

- Not too many differences, but the few need to be considered carefully
- Four key differences:
 - 1. Age range: CMS does not include measures that address children and adolescents
 - **2. Prevention:** CMS more limited in this domain. <u>Does not include</u> chlamydia screening, influenza immunization, childhood immunization and fluoride varnish. Notably, chlamydia screening is in the CMS OB/GYN set.
 - **3. Cancer screening:** CMS is more comprehensive: <u>includes</u> breast cancer, cervical cancer, and non-recommended cervical cancer screening in adolescents females.

4. Behavioral health:

- CMS does not include a measure of alcohol and substance use.
- Depression: each set includes 2 measures, they are both different
 - APC includes clinical depression screening/follow-up and antidepressant medication management.
 - CMS includes measures of outcomes: depression remission and response at 12 months.



Other differences – CMS includes the following process measures not included in APC Set:

- Four diabetes measures (other than HbA1c control):
 - Comprehensive Diabetes Care: HbA1C Testing*
 - Comprehensive Diabetes Care: Eye Exam *
 - Comprehensive Diabetes Care: Foot Exam
 - Comprehensive Diabetes Care: Medical Attention for Nephropathy*

*The *HbA1C Testing*, *Eye Exam*, and *Nephropathy* measures are already under consideration as version 1 (only) measures in the APC set.

- One chronic care measure:
 - Persistent Beta Blocker Treatment after Heart Attack

Aligning APC to CMS Measure Set Summary: Measures in green (found in CMS set only) would be added and the measures in red (found in APC only) would be removed.

Domains	NQF#/HEDIS	Measures
	32	Cervical Cancer Screening
	/HEDIS	Non-recommended Cervical Cancer Screening in Adolescent Females
	2372/HEDIS	Breast Cancer Screening
Prevention	34/HEDIS	Colorectal Cancer Screening
Frevention	33/HEDIS	Chlamydia Screening
	41/AMA	Influenza Immunization - all ages
	38/HEDIS	Childhood Immunization (status)
	2528/ADA	Fluoride Varnish Application
	28/AMA	Tobacco Use Screening and Intervention
	18/HEDIS	Controlling High Blood Pressure
	59/HEDIS	Comprehensive Diabetes Care: HbA1C Poor Control
Characia Disease	57/HEDIS	Comprehensive Diabetes Care: HbA1C Testing (currently proposed for version 1 of APC only)
	55/HEDIS	Comprehensive Diabetes Care: Eye Exam
	56/HEDIS	Comprehensive Diabetes Care: Foot Exam (currently proposed for version 1 of APC only)
Chronic Disease	62/HEDIS	Comprehensive Diabetes Care: Medical Attention for Nephropathy (currently proposed for version 1 of APC only)
	71/HEDIS	Persistent Beta Blocker Treatment after Heart Attack
	1799/HEDIS	Medication Management for People With Asthma
	24/HEDIS	[Combined obesity measure] Weight Assessment and Counseling for nutrition and physical activity for children and adolescents
	421/CMS	[Combined obesity measure] Preventive Care and Screening: Body Mass Index (BMI) Screening and Follow-Up
	418/CMS	Screening for Clinical Depression and Follow-up Plan
Behavioral	4/HEDIS	Initiation and Engagement of Alcohol and Other Drug Dependence Treatment
Health/	105/HEDIS	Antidepressant Medication Management (currently proposed for version 1 of APC only)
Substance Use	710/MCM	Depression Remission at 12 months
	1885/MCM	Depression Response at 12 months – Progress towards Remission
Patient-Reported	326/HEDIS	Advance Care Plan
raticit-keportea	5/AHRQ	CAHPS Access to Care, Getting Care Quickly
	52/HEDIS	Use of Imaging Studies for Low Back Pain
	58/HEDIS	Avoidance of Antibiotic Treatment in adults with acute bronchitis
Appropriate Use	/HEDIS	Inpatient Hospital Utilization (HEDIS)
	1768/HEDIS	All-Cause Readmissions
	/HEDIS	Emergency Department Utilization
Cost		Total Cost Per Member Per Month



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For Discussion: Proposed Revised APC Straw Measure Set

Although alignment to a national set is the goal, in the near term, the NYS SIM goals also need to be considered in the selection of measures. The CMS set is an "initial set," and like the APC set, will be updated and evolve in the future (not "set" in stone). Continued monitoring of the APC set through the SIM governance structure will be key to ensuring future alignment.

Recommendations:

- Retain APC child and prevention measures.
- Include four diabetes process measures HbA1c testing, eye exams, foot exams, and nephropathy in v1.
- Do not include non-recommended cervical screening for adolescents.

For further discussion:

- Discuss additional cancer screening measures breast and cervical.
- Persistent beta blocker treatment after heart attack.
- Behavioral health measures.



Additional Information for Alignment Discussion

Domains	Measures	Additional Information for Measure Alignment Discussion		
	1. Non-recommended Cervical Cancer Screening in Adolescent Females	 Adolescent Measure, Overuse Measure NCQA/HEDIS, Not NQF endorsed, Collected in QARR [Commercial HMO] NY (4%); Natl (3%) [Commercial PPO] NY (6%); Natl (4%) [Medicaid Managed Care] NY (4%); Natl (4%) Source: QARR, NYS DOH Health Plan Comparison in New York State Reports 		
Prevention	2. Cervical Cancer Screening	 [Commercial HMO] 2015: NY (80%); Natl (76%). 2010: NY (79%); Natl (77%) [Commercial PPO] 2015: NY (80%); Natl (74%). 2010: NY (76%); Natl (75%) [Medicaid Managed Care] 2015: NY (75%); Natl (60%). 2010: NY (73%); Natl (66%) Source: QARR, NYS DOH Health Plan Comparison in New York State Reports 		
	3. Breast Cancer Screening	 [Commercial HMO] 2015: NY (74%); Natl (74%). 2010: NY (71%); Natl (71%) [Commercial PPO] 2015: NY (66%); Natl (70%). 2010: NY (67%); Natl (67%) [Medicaid Managed Care] 2015: NY (71%); Natl (59%). 2010: NY (68%); Natl (52%) Source: QARR, NYS DOH Health Plan Comparison in New York State Reports 		
Chronic Disease	e Persistent Beta Blocker Treatment after Heart Attack	 Collected in QARR (no other major programs) [Commercial HMO]: 2015: NY (84%); Natl (84%). 2012: NY (76%); Natl (74%) [Commercial PPO]: 2015: NY (87%); Natl (82%). 2012: NY (76%); Natl (70%) [Medicaid Managed Care] 2015: NY (86%); Natl (83%). 2012: NY (81%); Natl (82%) - earliest available Medicaid data Source: QARR, NYS DOH Health Plan Comparison in New York State Reports 		
	1. Screening for Clinical Depression and Follow-up Plan	OMH-led Discussion		
Behavioral	2. Initiation and Engagement of Alcohol and Other Drug Dependence Treatment	OMH-led Discussion		
Health/ Substance Use	3. Antidepressant Medication Management (proposed for version 1 of APC only)	OMH-led Discussion		
	4. Depression Remission at 12 months	OMH-led Discussion		
	5. Depression Response at 12 months – Progress towards Remission	OMH-led Discussion		

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Deep Dive on APC vs CMS Behavioral Health Measures

Measure Set	Behavioral Health Measure	NQF/HEDIS	Domain	Programs
APC	Initiation and Engagement of Alcohol and Other Drug Dependence Treatment	4/HEDIS	Substance Use	APC, QARR, DSRIP, MU, PQRS, Medicaid Adult Core Set, MSSP, CPC, NY Prevention Agenda
APC	Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan	418/CMS	Depression Screening and Follow-up	APC, DSRIP, MU, PQRS, Medicaid Adult Core (18 years+), MSSP, CPC, NY Prevention Agenda
APC/Version 1	Antidepressant Medication Management	105/HEDIS	Depression Medication	APC, QARR, DSRIP, Medicaid Adult Core, CPR Employer- Purchaser Priority Set
CMS PCMH	Depression Response at 12 months	710/MN Community Measurement	Depression Outcome	CMS PCMH, MU, PQRS, States: CT, MN
CMS PCMH	Depression Response at 12 months – Progress towards Remission	1885/MN Community Measurement	Depression Outcome	CMS PCMH Measure Set

■ HEDIS depression measures (with shorter follow-up periods than those in CMS PCMH set):

- Outilization of the PHQ-9 to Monitor Depression Symptoms for Adolescents and Adults: The
 percentage of members age ≥12 with a diagnosis of major depressive disorder or dysthymia
 who had a PHQ-9 or PHQ-A tool administered at least once during a 4 month period.
- Depression Remission or Response for Adolescents and Adults: The percentage of members 12 years of age and older with a diagnosis of major depressive disorder or dysthymia and an elevated PHQ-9 or PHQ-A score, who had evidence of response or remission within 5–7 months of the elevated PHQ-9 score



IPRO Score Card Update

IPRO's Role in APC Scorecard V1.0

Data Aggregation

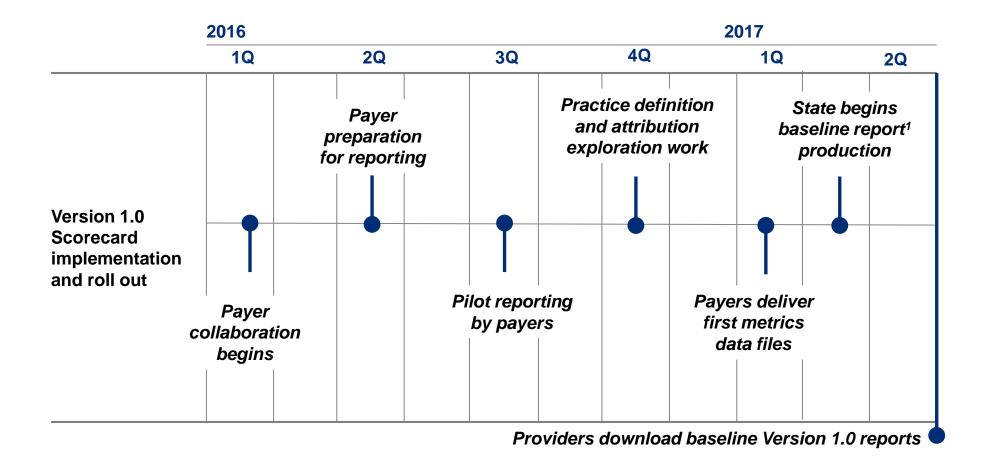
- Pre-pilot phase payer survey and interview
 Information gathering about engagement, ability to provide data, and current methods used in provider reports
- Pilot phase test files for a smaller number of payers
 Information about volume of practices and members, ability to aggregate practices
- Post-pilot phase data validation
 Exploration of validity measure results with practices and issues with patient-to-provider and provider-to-practice attribution

Technical Assistance

- Non-HEDIS measures
- Payers with file creation and submission
- Practices and Payer questions about aggregated results



Version 1.0 launch is planned for January 2017



¹ Baseline reports are based on recent 12-month performance

SIM/TCPI/DSRIP Alignment

Alignment: Our Ask of Key Stakeholders

- 3 sources of practice transformation support
- Each initiative has a unique character...but similar if not the same ultimate goal to ready practices for a world of value based payment
- Where we can, we will align (for ex. Measures)
- We need your help to share information and promote understanding



Practice Transformation in New York State: SIM, DSRIP, TCPI - One Common Goal:

"To transform primary care practices to be ready to practice team based care, use electronic health information and participate in value based payment models including shared savings"

Alignment is necessary to achieve common goal(s):

- Model
- Measures and
- Payment



SIM, DSRIP, TCPI

- 1. The Delivery System Reform Incentive Payment (DSRIP) program
 Under New York's DSRIP program, Performing Provider Systems (PPSs) are incented to use some of the funds they
 have received to support practice transformation (to NCQA's PCMH-14 model, or the state-developed APC model) for
 the primary care practices in their respective networks. DSRIP's prime focus is on safety-net providers, but the PPS
 networks include both safety-net providers (more than 30% of whose patient panels are uninsured or covered by
 Medicaid) and those practices serving more Medicare and commercially-insured populations.
- 2. The State Innovation Model (SIM) (Advanced Primary Care)

 NYS will implement a statewide program of regionally-based primary care practice transformation to help practices across NYS adopt and use the Advanced Primary Care (APC) model, with the goal of expanding the use of value-based payments so that 80% of New Yorkers are receiving value-based care by 2020.
- 3. The Transforming Clinical Practice Initiative (TCPI) program

 New York State's Practice Transformation Networks have committed to helping clinicians to develop strategies that will position them for coming value based payment models.

Key Areas of Alignment

DSRIP

Focus: Primary care practices participating in PPS provider networks - required to achieve Level 3 PCMH (2014) or APC, by March 2018.

Who provides funding/support to the provider: The PPS in relevant DSRIP projects.

Resources/Payment: Practices are supported by PPSs to reach PCMH or APC designation through TA contracts or centralized resources.

SIM

Focus: Primary care practices: Implementation 2017

Who provides funding/support to the provider: APC Technical assistance (TA) vendors.

Resources/Payment: TA vendor paid on a perpractice basis. Focus on smaller practices.

TCPI

Focus: Clinician practices, both primary care and specialty

Who provides funding/support to the provider: 3 TCPI funded grantees –

- Care Transitions Network for People with Serious Mental Illness
- Greater New York City Practice Transformation Network
- New York State Practice Transformation Network

Payment: TA vendors paid on a per-provider basis – Focus on larger practices.



Key Areas of Alignment

- Core competencies
- Milestones defining practice/provider capabilities
- Curriculum
- Practice assessment tools
- Measures/KPIs
- Tracking/reporting
- Oversight by independent third party to review practice competencies for purposes of payment – commercial and public.



rogram Focus, Inclusion and	Exercision entering				
	TCPI - Practice T	ransformation Networl	k Program (PTN)		DSRIP
	NYSPTN	NYU PTN	National Council for Behavioral Health	SIM - APC	
rogram Focus	Primary Care and Certain Specialty Practices	Primary Care and Certain Specialty Practices	Behavioral Health Providers Serving the Seriously Mentally III	Primary Care Practices	Primary Care Practices Participating in PPS
Geographic Focus	Statewide	Brooklyn	Statewide	State wi de	Statewide
Overall Exclusions: Practices	served by / Currently e	nrolled in Other CMMI	-Funded Practice Transfo	ormation Programs	
Medicare Shared Savings Program, Pioneer ACO	Excluded	Excluded	Exclude d	Eligible	Eligible
Multi-Payer Advanced Primary Care Program (MAP-CP)	Excluded	Exclude d	Exclude d	Exclude d	Eligible
Comprehensive Primary Care Initiative (CPCi)	Excluded	Excluded	Exclude d	Exclude d	Eligible
FLHSA CMMI HCIA project	Exclude d	Excluded	Excluded	Exclude d	Eligible
CPI Cross-Program Interacti	on				
Practices Receiving Practice	TCPI - Practic				
Transformation Support Under	NYSPTN	NYU PTN	National Council for Behavioral Health	SIM - APC	DSRIP
NYSPTN		Excluded	Excluded	Excluded	Eligible *
	Excluded		Excluded	Excluded	Potentially Eligible
NYU PTN				Excluded	Potentially Eligible
NYU PTN National Council for Behavioral Health	Excluded	Excluded		Excluded	rotelitially Eligible
National Council for Behavioral		Excluded Excluded	Excluded	Excluded	Excluded



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Comments?

Questions?



Closing