

Table of Contents

State/Territory Name: NY

State Plan Amendment (SPA) #: 13-0070

This file contains the following documents in the order listed:

- 1) Approval Letter
- 2) CMS 179 Form/Summary Form (with 179-like data)
- 3) Approved SPA Pages

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
New York Regional Office
26 Federal Plaza, Room 37-100
New York, NY 10278



DIVISION OF MEDICAID AND CHILDREN'S HEALTH OPERATIONS

DMCHO: GC:SPA-NY-13-0070

October 13, 2016

Jason A. Helgerson
State Medicaid Director
Deputy Commissioner
Office of Health Insurance Programs
NYS Department of Health
Empire State Plaza
Corning Tower (OCP-1211)
Albany, NY 12237

RE: TN 13-0070

Dear Deputy Commissioner Helgerson:

This is to notify you that New York State Plan Amendment (SPA) #13-0070 has been approved for adoption into the State Medicaid Plan with an effective date of January 1, 2014. The SPA modifies the listing of hospital-based outpatient providers that the state has designated as Vital Access Provider (VAP) payments for the period 01/01/2014 – 03/31/2016.

Enclosed are copies of SPA #13-0070 and the CMS-179 form, as approved.

If you have any questions, please contact Gary Critelli at 518-396-3810.

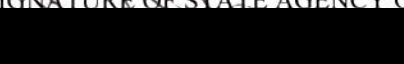
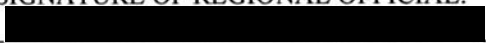
Sincerely,

A solid black rectangular box redacting the signature of Michael Melendez.

Michael Melendez, LMSW
Associate Regional Administrator
Division of Medicaid and Children's Health Operations

Enclosures

cc. J. Ulberg
R. Gallagher
L. Tavener
R. Weaver
J. Guhl
R. Holligan
G. Critelli
M. Lopez

TRANSMITTAL AND NOTICE OF APPROVAL OF STATE PLAN MATERIAL		1. TRANSMITTAL NUMBER: 13-0070	2. STATE New York
FOR: HEALTH CARE FINANCING ADMINISTRATION		3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)	
TO: REGIONAL ADMINISTRATOR HEALTH CARE FINANCING ADMINISTRATION DEPARTMENT OF HEALTH AND HUMAN SERVICES		4. PROPOSED EFFECTIVE DATE January 1, 2014	
5. TYPE OF PLAN MATERIAL (<i>Check One</i>): <input type="checkbox"/> NEW STATE PLAN <input type="checkbox"/> AMENDMENT TO BE CONSIDERED AS NEW PLAN <input checked="" type="checkbox"/> AMENDMENT COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (<i>Separate Transmittal for each amendment</i>)			
6. FEDERAL STATUTE/REGULATION CITATION: Section 1902(a) of the Social Security Act, and 42 CFR 447		7. FEDERAL BUDGET IMPACT: (in thousands) a. FFY 01/01/14-09/30/14 \$4,307.58 b. FFY 10/01/14-09-30/15 \$1,985.30	
8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT: Attachment 4.19-B Pages: 1(q), 1(q)(i)		9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (<i>If Applicable</i>): Attachment 4.19-B Page: 1(q)	
10. SUBJECT OF AMENDMENT: Safety Net/VAP – Non-Institutional (Hospital-Based Outpatient) – Phase 2 (FMAP = 50%)			
11. GOVERNOR'S REVIEW (<i>Check One</i>): <input checked="" type="checkbox"/> GOVERNOR'S OFFICE REPORTED NO COMMENT <input type="checkbox"/> OTHER, AS SPECIFIED: <input type="checkbox"/> COMMENTS OF GOVERNOR'S OFFICE ENCLOSED <input type="checkbox"/> NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL			
12. SIGNATURE OF STATE AGENCY OFFICIAL: 		16. RETURN TO: New York State Department of Health Division of Finance & Rate Setting 99 Washington Ave – One Commerce Plaza Suite 1460 Albany, NY 12210	
13. TYPED NAME: Jason A. Helgerson			
14. TITLE: Medicaid Director Department of Health			
15. DATE SUBMITTED: JAN 30 2014			
FOR REGIONAL OFFICE USE ONLY			
17. DATE RECEIVED:		18. DATE APPROVED: OCTOBER 13, 2016	
PLAN APPROVED – ONE COPY ATTACHED			
19. EFFECTIVE DATE OF APPROVED MATERIAL: JANUARY 01, 2014		20. SIGNATURE OF REGIONAL OFFICIAL: 	
21. TYPED NAME: MICHAEL MELENDEZ		22. TITLE: r Division of Medicaid & Children's Health Operations	
23. REMARKS:			

**New York
1(q)**

Temporary Rate Adjustments for Mergers, Acquisitions, Consolidations, Restructurings, and Closures – Hospital-Based Outpatient

A temporary rate adjustment will be provided to eligible providers of outpatient services that are subject to or impacted by the closure, merger, and acquisition, consolidation, or restructuring of a health care provider. The rate adjustment is intended to:

- Protect or enhance access to care;
- Protect or enhance quality of care; or
- Improve the cost effectiveness.

Eligible providers, the annual amount of the temporary rate adjustment, and the duration of the adjustment shall be listed in the table which follows. The total annual adjustment amount will be paid quarterly with the amount of each quarterly payment being equal to one fourth of the total annual amount established for each provider. The quarterly payment made under this section will be an add-on to services payments made under this Attachment to such facilities during the quarter.

To remain eligible, providers must submit benchmarks and goals acceptable to the Commissioner and must submit periodic reports, as requested by the Commissioner, concerning the achievement of such benchmarks and goals. Failure to achieve satisfactory progress in accomplishing such benchmarks and goals will result in termination of the provider's temporary rate adjustment prior to the end of the specified timeframe. Once a provider's temporary rate adjustment ends, the provider will be reimbursed in accordance with the otherwise applicable rate-setting methodology as set forth in this Attachment.

Temporary rate adjustments have been approved for the following providers in the amounts and for the effective periods listed:

Hospital-Based Outpatient Services:

Provider Name	Gross Medicaid Rate Adjustment	Rate Period Effective
<u>A.O. Fox Memorial Hospital</u>	<u>\$3,031,209</u>	<u>01/01/2014 – 03/31/2014</u>
	<u>\$2,529,235</u>	<u>04/01/2014 – 03/31/2015</u>
	<u>\$1,705,835</u>	<u>04/01/2015 – 03/31/2016</u>
<u>Clifton-Fine Hospital</u>	<u>\$1,225,000</u>	<u>01/01/2014 – 03/31/2014</u>
<u>Cortland Memorial Hospital</u>	<u>\$577,633</u>	<u>01/01/2014 – 03/31/2014</u>
	<u>\$1,114,173</u>	<u>04/01/2014 – 03/31/2015</u>
	<u>\$496,666</u>	<u>04/01/2015 – 03/31/2016</u>

TN #13-0070

Supersedes TN #11-0026-A

Approval Date OCTOBER 13, 2016

Effective Date JANUARY 01, 2014

**New York
1(q)(i)**

Hospital-Based Outpatient Services (Continued):

Provider Name	Gross Medicaid Rate Adjustment	Rate Period Effective
<u>Delaware Valley Hospital, Inc.</u>	<u>\$221,650</u>	<u>01/01/2014 – 03/31/2014</u>
	<u>\$164,400</u>	<u>04/01/2014 – 03/31/2015</u>
	<u>\$ 66,200</u>	<u>04/01/2015 – 03/31/2016</u>
<u>Ellenville Regional Hospital</u>	<u>\$219,780</u>	<u>01/01/2014 – 03/31/2014</u>
	<u>\$224,176</u>	<u>04/01/2014 – 03/31/2015</u>
	<u>\$699,788</u>	<u>04/01/2015 – 03/31/2016</u>
<u>Oswego Hospital</u>	<u>\$300,000</u>	<u>01/01/2013 – 03/31/2013</u>
	<u>\$750,000</u>	<u>01/01/2014 – 03/31/2014</u>
	<u>\$500,000</u>	<u>04/01/2014 – 03/31/2015</u>
<u>Schuyler Hospital</u>	<u>\$216,113</u>	<u>01/01/2014 – 03/31/2014</u>
	<u>\$215,574</u>	<u>04/01/2014 – 03/31/2015</u>
	<u>\$225,143</u>	<u>04/01/2015 – 03/31/2016</u>

TN #13-0070

Supersedes TN #NEW

Approval Date OCTOBER 13, 2016

Effective Date JANUARY 01, 2014