



**Department
of Health**

Support for Social Adult Day Centers Directed Payment Initiative Webinar

November 16, 2023

About this Webinar

Agenda:

- New York State Department of Health (DOH) presentation
- DOH to answer questions from the Q&A function.

Attendees:

- This webinar is intended for Social Adult Day Centers (SADCs) potentially eligible for the Support for Social Adult Day Centers Directed Payment and Managed Long-Term Care Partial Capitation (MLTCP) and Medicaid Advantage Plus (MAP) through which the funds will flow.

Logistics:

- All participants will be muted throughout the webinar to reduce background noise.
- Please use the Q&A function to submit any questions or comments. Any additional questions may be sent to SADC.FMAP@health.ny.gov.

Directed Payment Process Background

WHO IS INVOLVED?



Centers for Medicare & Medicaid Services (CMS)



NY State Department of Health (DOH)



MLTCP & MAP Plans



SADC Sites

What is the Purpose of the Directed Payment?

This State Directed Payment (SDP) is a Value Based Payment (VBP) that will allow SADC sites to invest in activities that support improvements in their workforces, training resources, Person-Centered Planning (PCP) training and activities, and process improvements.

SADC sites that were in the process of being verified for compliance with the Home and Community-Based Services (HCBS) Settings Final Rule by DOH as of February 1, 2023, remain operational and in compliance with the HCBS Settings Final Rule through the end of the performance period, and have or will deliver a Medicaid-covered service may be eligible for payment.

Payment Eligibility and Expectations



WHO IS ELIGIBLE TO RECEIVE PAYMENT?

SADC sites that:

- are operational, and
- were in **the process of being verified for compliance** with the Home and Community-Based Services (HCBS) Settings Final Rule by DOH **as of February 1, 2023, and that attest to remediating any compliance issues** identified by DOH during the verification process.

To remain eligible for payment, SADC sites must:

- remain operational,
- be in full compliance with the HCBS Settings Final Rule **by the end of the performance period (November 30, 2023)**, and
- **deliver a Medicaid-covered service between April 1, 2023 – March 31, 2024.**

SADC Site Expectations

Initial

- Meet requirements for eligibility.
- Submit initial required forms (i.e., attestations), including survey questions.

Ongoing

- Continue to meet eligibility requirements.
- Respond to performance and evaluation measure surveys.

Overview of Funding Award

OVERVIEW

This \$14 million funding will be distributed to SADC sites during SFY 2024 (April 1, 2023 – March 31, 2024) in one lump sum payment.

\$14 Million

TOTAL FUNDING

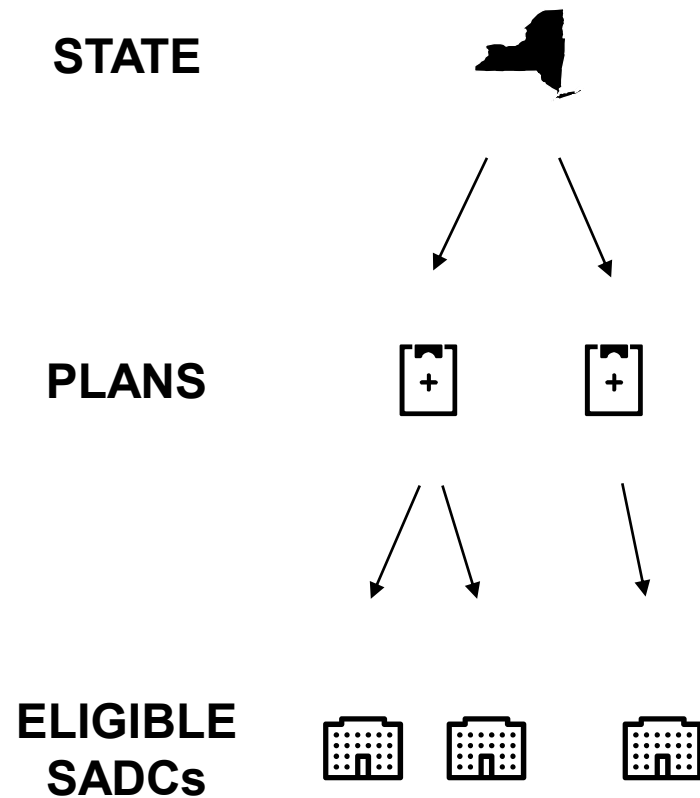
FUNDING OBJECTIVES

Funds will be awarded to operational, HCBS Settings Final Rule-compliant SADC sites that:

- Have achieved at least one performance measure at the end of the performance period.
- Have agreed to the requirements for participation, including spending funds on operational improvements that strengthen the workforce and services, training resources, person-centered planning (PCP), and policy effectiveness.

SADC Funding Overview

How Will Funds Flow?



Funding Responsibilities

- **DOH** determines each SADC site's award amount and pays MLTCP and MAP plans in one lump sum based on achievement of performance measures.
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- **MLTCP and MAP plans** communicate with and distribute payment to eligible SADC sites as directed by DOH.
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- **SADC sites** submit attestations and report on performance measure achievement.
 - **SADC sites** receive awards from plans in one lump sum.
 - **SADC sites** use funding in SFY24 to invest in the workforce, person-centered planning, and other training and process improvements.

Roles and Responsibilities



NYS DOH

- State monitors SADC sites' completion of attestations.
- State determines funding amounts per SADC site and communicates payment values to plans and sites.
- State makes payments to plans.
- State assesses performance measure achievement.



MLTCP & MAP Plans

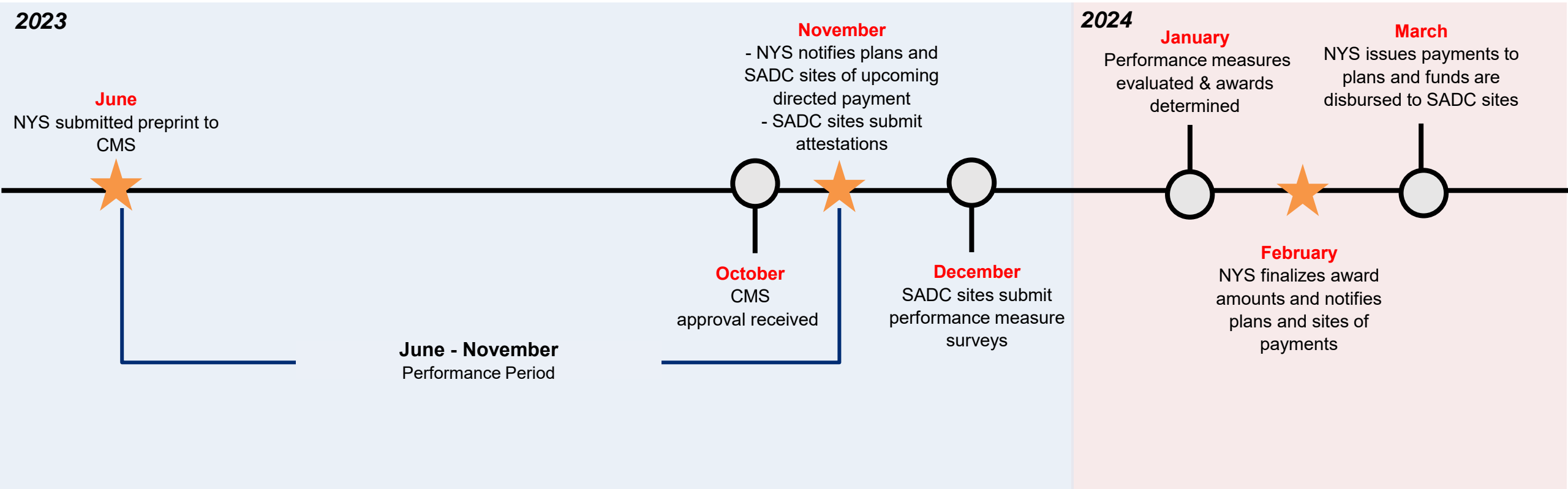
- Plans serve as primary point of contact to SADC sites.
- Plans send and collect attestations from SADC sites.
- Plans make payments to qualifying SADC sites with funds distributed by DOH.



SADC Sites

- Submit attestations to plans.
- Invest in workforce improvements, training resources, PCP, and process and policy improvements.
- Submit data on performance measures and respond to survey questions.

Anticipated Payment Timeline



Performance Measure Process

What are Performance Measures?

Performance Measure:

Quantifiable metric used to evaluate SADC site performance toward achieving outcomes of the Support for Social Adult Day Centers Directed Payment Initiative. Three performance measures are being used to evaluate SADC site performance.

Performance Period:

Six-month period (June – November 2023) during which SADC sites must have completed the outlined performance measures. Evaluation after the performance period will determine the payment amount.

Performance Goals:

Indicators of SADC sites' success in maintaining or improving performance measures associated with the Support for Social Adult Day Centers Directed Payment Initiative.

Performance Measure Process

What are the Three Performance Measures?

	<i>Performance Target</i>
1. All staff (paid and unpaid) have received new hire training related to company policies, including HCBS specific policies and person-centered planning, practice, and thinking.	<i>Completed</i>
2. Company policies have been reassessed for compliance and effectiveness and amended, as necessary.	<i>Completed</i>
3. Company has documentation that shows staff's adherence to policies such as HCBS specific training documentation and sign-in sheets for relevant activities.	<i>Completed</i>

Performance Measure Process

How Will SADC Sites Be Evaluated?*

- ✓ Completion of **each measure is worth 33%** of the site's **payment**.
- ✓ **Completion of all measures** will result in **maximum funding** being awarded.
- ✓ Completion of **some, but not all, measures** will result in a **reduced payment** based on the site's total score.

Performance Measure	#1	#2	#3	
Percentage Worth	33%	33%	33%	
Payment Amount	✓			33% of Funding Awarded
	✓	✓		67% of Funding Awarded
	✓	✓	✓	100% of Funding Awarded

November 16, 2023

*Sites must be fully compliant with the HCBS Settings Final Rule at the time of evaluation in order to be eligible to receive payment.



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Spending Considerations

KEY GUIDANCE

- SADC sites may use their awards to fund investments in at least one of the following categories: **improvements in the workforce, training resources, PCP, and policy effectiveness.**
- Funding cannot be used for capital expenses (see spending guidance* for further information).
- Funds cannot be used to pay for awards, benefit programs, or salary increases for administrative staff, managers, and executive staff. Funds cannot be used to support current wage levels, including overtime, for any employees.
- Funding cannot be used to replace current or already planned expenses.
- Funding cannot be used to pay for activities that are also funded by other American Rescue Plan Act (ARPA) initiatives. It is the SADC site's responsibility to ensure no duplication of payment/spending occurs.
- If there are any questions on allowable uses of this funding, please email SADC.FMAP@health.ny.gov.

Spending Considerations (Cont.)

HOW MUST SADC SITES SPEND THEIR AWARDS?

Improvements in the workforce, including but not limited to:

- Employee recognition and retention activities
- Employee development initiatives
- Enhanced employee benefits
- Employee recruitment efforts

Training Investments, including but not limited to:

- Increasing number and types of trainings
- Providing training incentives
- Investing in technology investments to improve training quality and experience

PCP, including but not limited to:

- Expanding activity offerings and/or schedules available to participants
- Offering PCP training / programs
- Providing paid transportation to offsite activities

Policy / Procedure Effectiveness, including but not limited to:

- Reviewing and updating compliance procedures
- Developing new policies and procedures when necessary
- Ensuring staff have been trained on and have easy access to current policies and procedures

Key Dates*

Key Dates:

✓ **11:59 PM on November 22, 2023:** SADC sites must submit the two attestations listed below

1. Attestation to MLTCP and MAP plans via email
2. Attestation to the State via online survey link

Any SADC site that fails to submit the questionnaire by the date and time determined by DOH will not be eligible for funding from this directed payment.

**Any questions can be sent to DOH at
SADC.FMAP@health.ny.gov**