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# Health Home Eligibility Process for SED/SMI, HIV/AIDS and IDD

**August 22, 2018**

# Today's Agenda

- Health Home for Children and Adults Eligibility Criteria
- Serious Emotional Disturbance (SED) Criteria
- Seriously Mentally Illness (SMI) Criteria
- HIV/AIDS Criteria
- I/DD Criteria
- Questions





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# Health Home Eligibility Criteria

# Health Home *Chronic Condition* Eligibility Criteria

The individual **must** be enrolled in Medicaid

Medicaid members eligible to be enroll in a Health Home **must** have:

- Two or more chronic conditions (e.g., Substance Use Disorder, Asthma, Diabetes\*) **OR**
- One single qualifying chronic condition:
  - ✓ HIV/AIDS or
  - ✓ Serious Mental Illness (SMI) (Adults) or
  - ✓ Serious Emotional Disturbance (SED) or Complex Trauma (Children)

\*See DOH Website for Eligibility Requirements and Chronic Condition list

[https://www.health.ny.gov/health\\_care/medicaid/program/medicaid\\_health\\_homes/docs/health\\_home\\_chronic\\_conditions.pdf](https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/docs/health_home_chronic_conditions.pdf)



# Health Home Appropriateness Criteria

## ***Individuals must meet the Chronic Condition Criteria AND be Appropriate for Health Home Care Management***

- ***Appropriateness Criteria:*** Individuals meeting the Health Home eligibility criteria must be appropriate for the intensive level of care management provided by Health Homes. Assessing whether an individual is appropriate for Health Homes includes determining if the person is:
  - ✓ At risk for an adverse event (e.g., death, disability, inpatient or nursing home admission, mandated preventive services, or out of home placement)
  - ✓ Has inadequate social/family/housing support, or serious disruptions in family relationships;
  - ✓ Has inadequate connectivity with healthcare system;
  - ✓ Does not adhere to treatments or has difficulty managing medications;
  - ✓ Has recently been released from incarceration, placement, detention, or psychiatric hospitalization;
  - ✓ Has deficits in activities of daily living, learning or cognition issues, or
  - ✓ Is concurrently eligible or enrolled, along with either their child or caregiver, in a Health Home.

❖ **HARP enrolled individuals meet appropriateness for Adult Health Home**



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# Health Home Care Manager's Role

- The Health Home care manager is responsible for obtaining documentation that verifies individuals meet the eligibility criteria, e.g., work with health care professionals to obtain documentation identifying eligible conditions
- HH care managers need to document in the members case file how Health Home eligibility and appropriateness was verified
- Additionally, all the member's medical and behavioral health conditions should be documented in the members case file and Plan of Care (if appropriate) and if there are changes in conditions, documentation needs to be clear how the member remains Health Home eligible



# Maintaining Health Home Eligibility

- Verification that the member continues to be Medicaid eligible is necessary to continue HH CM services
  - Verification through eMedNY / EPACES should be checked monthly
  - When member's Medicaid recertification occurs, HH Care Managers should be aware and work with the member to ensure continued Medicaid eligibility
- Continued verification of chronic condition eligibility
  - Documentation indicating that the member is being treated and cared for by a professional for the chronic conditions the member is enrolled
  - Chronic conditions verification will vary based upon the condition and treating professionals
    - For behavioral health conditions, usually an update of diagnoses' assessment is completed or during medication utilization/review
    - For medical conditions, care notes or other documentation from a professional treating the chronic condition(s), as no annual redetermination may be made (i.e. diabetes, asthma, sickle cell) or an annual physical and condition review



# Maintaining Health Home Eligibility

- Maintaining Health Home appropriateness
  - Documentation outlining why the individual needs to continued care management services provided by Health Homes
    - Demonstrated through assessments, provider information and or shared by the individual or family

Health Home eligibility process is outlined within NYS DOH guidance since the inception of the Health Home program and was updated to incorporate any additions due to Health Home Serving Children implementation and can be found:

- **HH Eligibility Process, Policy and Chronic Conditions:**  
[https://www.health.ny.gov/health\\_care/medicaid/program/medicaid\\_health\\_homes/docs/health\\_home\\_chronic\\_conditions.pdf](https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/docs/health_home_chronic_conditions.pdf)







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# Serious Emotional Disturbance (SED)

# SED Definition for Health Home

*Serious Emotional Disturbance (SED)* – is a single qualifying condition for Health Home Care Management Services

- ❖ *Note: the DSM categories include in the definition of SED used to determine Health Home eligibility is different than the SED definition used to determine eligibility for other Medicaid services (e.g., OMH clinic, inpatient, etc.)*

SED is defined as a child or adolescent (under the age of 21) that:

1. has a designated mental illness diagnosis as defined by the most recent version of the Diagnostic and Statistical Manual (DSM) in one of the qualifying categories\*

**AND**

2. has experienced functional limitations due to emotional disturbance over the past 12 months (from the date of assessment) on a continuous or intermittent basis



## ***SED Definition for Health Home - DSM Qualifying Mental Health Categories\****

- Schizophrenia Spectrum and Other Psychotic Disorders
- Bipolar and Related Disorders
- Depressive Disorders
- Anxiety Disorders
- Obsessive-Compulsive and Related Disorders
- Trauma- and Stressor-Related Disorders
- Dissociative Disorders
- Somatic Symptom and Related Disorders
- Feeding and Eating Disorders
- Gender Dysphoria
- Disruptive, Impulse-Control, and Conduct Disorders
- Personality Disorders
- Paraphilic Disorders
- ADHD for children who have utilized any of the following services in the past three years - *outlined in the next slide*

*\*Any diagnosis in these categories can be used when evaluating a child for SED. However, any diagnosis that is secondary to another medical condition is excluded.*

# ADHD as SED Single Qualifying Condition

- ADHD for children who have utilized any of the following services in the past three years:
  - Psychiatric inpatient
  - Residential Treatment Facility
  - Day treatment
  - Community residence
  - Mental Health HCBS & OCFS B2H Waiver
  - OMH Targeted Case Management
- Clear documentation is needed in the member's case record that outlines how the member met the single qualifying condition of SED with a ADHD diagnoses along with the past three years of utilization of the above services
- ADHD mental health diagnose alone **without** three years of utilization of the above listed services, could count towards two chronic conditions to meet Health Home eligibility



## SED DSM categories are NOT included in the Health Home definition

Any diagnosis within the following categories **cannot** be used to designate a child with SED for Health Home:

- Elimination Disorders
- Sleep-Wake Disorders
- Sexual Dysfunctions
- Substance-Related and Addictive Disorders
- Neurocognitive Disorders
- Other Mental Disorders
- Medication-Induced Movement Disorders
- Neurodevelopmental Disorders – except for the Attention-Deficit/Hyperactivity Disorders as outlined

The reasons why these categories were excluded are as follows:

- *Elimination, Sleep-Wake, and Medication-Induced Movement Disorders* - usually secondary to another condition; that primary condition should be the one considered for Health Home eligibility
- *Sexual Dysfunctions and Neurocognitive Disorders* – primarily affect adults
- *Substance-Related and Addictive Disorders* – not included in the federal SED definition
- *Other Mental Disorders* – category too broad and nonspecific

# Functional Limitations Requirements for SED Definition

To meet definition of SED for Health Home the child must have experienced the following functional limitations due to emotional disturbance over the past 12 months (from the date of assessment) on a continuous or intermittent basis. The functional limitations must be **moderate in at least two** of the following areas or **severe in at least one** of the following areas as determined by a licensed mental health professional: (\*list of licensed mental health professionals can be found on the next slide)

- Ability to care for self (e.g. personal hygiene; obtaining and eating food; dressing; avoiding injuries); or
- Family life (e.g. capacity to live in a family or family like environment; relationships with parents or substitute parents, siblings and other relatives; behavior in family setting); or
- Social relationships (e.g. establishing and maintaining friendships; interpersonal interactions with peers, neighbors and other adults; social skills; compliance with social norms; play and appropriate use of leisure time); or
- Self-direction/self-control (e.g. ability to sustain focused attention for a long enough period of time to permit completion of age-appropriate tasks; behavioral self-control; appropriate judgment and value systems; decision-making ability); or
- Ability to learn (e.g. school achievement and attendance; receptive and expressive language; relationships with teachers; behavior in school).



# Licensed Mental Health Professionals include:

- Registered Professional Nurse
- Nurse Practitioner
- Physician
- Psychiatrist
- Licensed Psychologist
- Licensed Psychoanalyst
- Licensed Master Social Worker (LMSW)
- Licensed Clinical Social Worker (LCSW)
- Licensed Creative Arts Therapist
- Licensed Marriage and family therapist
- Licensed Mental Health Counselor

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# SED Health Home Criteria

- A mental health diagnosis alone is not sufficient to meet the SED definition for Health Home enrollment.
- Two mental health diagnoses from the Health Home chronic condition list **would** meet Health Home Eligibility under two-chronic conditions
- SED **must** be determined **and** documented by a licensed mental health professional



# SED Health Home Eligibility

- For a child/adolescent to be enrolled under SED, as a single qualifying condition, the HHCM must obtain documentation from a licensed mental health professional that provides:
  - A diagnosis
  - AND**
  - Identification of functional limitations
- Most OMH programs and services will be familiar with the SED determination and can assist HH care managers by providing documentation the child meets SED requirements. Other providers may need additional information regarding the SED determination and be asked to ensure that both the diagnosis **and** functional limitations are clearly documented within the assessment.

# SED Health Home Eligibility

- The HHCM is responsible for requesting and acquiring documentation from a Licensed Practitioner in which the practitioner attests to diagnosis and functional impairment. This may be indicated through assessments such as: Psychiatric Evaluation, Psychological Assessment or Psychosocial Assessment
- If the documentation does not explicitly articulate the SED criteria, the HHCM is responsible to inform the Licensed Practitioner of the eligibility criteria and request documentation of the needed information
- The role and function of the HHCM is not to determine if a child is SED but rather to collect information to verify Health Home eligibility





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# Serious Mental Illness (SMI)

# SMI Health Home Criteria

- The designation of SMI allows Health Home services to be delivered to people with mental illness who have difficulty functioning successfully in their relationship, jobs, schools, and other life roles within their chosen community.
- For the purposes of Health Home eligibility, SMI is determined by both a diagnosis of mental illness **and** an impairment that impacts social, vocational, and psychological functioning. While SMI meets the Health Home single qualifying condition eligibility criteria, the functional criteria will determine Health Home service appropriateness criteria.
- To be considered an individual with a serious mental illness, a person must have at least one of the diagnoses set forth in Section 1 **and** at least one of the functional impairments set forth in Section 2 resulting from such diagnosis.

# Meeting the SMI Diagnosis

## SECTION 1

A DSM-5 diagnosis is usually applied to the individual's current presentation; previous diagnoses from which the individual has recovered should be clearly noted as such. Specifiers indicating course (e.g., in partial remission, in full remission), severity and/or descriptive features may be listed after the diagnosis and are indicated in a number of criteria sets.

- Psychotic Disorders
- Bipolar Disorders:
- Obsessive-Compulsive Disorders:
- Depression:
- Anxiety Disorders:
- Personality Disorders:

As outlined in the HH guidance:

[https://www.health.ny.gov/health\\_care/medicaid/program/medicaid\\_health\\_homes/docs/chronic\\_conditions\\_and\\_billing\\_questionnaire\\_selection\\_guidance\\_final.pdf](https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/docs/chronic_conditions_and_billing_questionnaire_selection_guidance_final.pdf)



# Meeting the SMI Functional Impairment

## Section 2

An individual is determined to meet one or more of the criteria listed below as a result of their qualifying diagnosis:

- (a) Marked difficulties in self-care such as personal hygiene, diet, clothing, avoiding injuries, securing health care, or complying with medical advice; or
- (b) Marked restrictions of activities of daily living such as maintaining a residence, getting and maintaining a job, attending school, using transportation, day-to-day money management, or accessing community service; or
- (c) Marked difficulties in maintaining social functioning such as establishing and maintaining social relationships, interpersonal interactions with primary partners, children and other family members, friends, or neighbors, social skills, compliance with social norms, or appropriate use of leisure time; or
- (d) Frequent deficiencies of concentration, persistence, or pace resulting in failure to complete tasks in a timely manner in work, home, or school setting. Individuals may exhibit limitations in these areas when they repeatedly are unable to complete simple tasks within an established time period, make frequent errors in task, or require assistance in the completion of tasks.





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# HIV/AIDS

# Determining HIV/AIDS Eligibility

- HIV/AIDS: single qualifying condition for Health Home Care Management Services
- HIV/AIDS: An Individual Diagnosed with Human Immunodeficiency Virus (HIV) or Acquired Immunodeficiency Syndrome (AIDS)
- Verification of HIV/AIDS Diagnosis: LAB results, medical records with HIV/AIDS Diagnosis, and/or documented conversation from collateral contact (Collateral Contact is defined as a service provider that can confirm lab results and/or have access to the individual's medical record)





# Health Home Plus Criteria: HIV/AIDS (ADULTS)

Intensive Health Home Care Management (HHCM) services established for defined populations:

- Diagnosed with HIV/AIDS **and**:
  - not virally suppressed (defined as  $> 200$  copies per mL); **OR**
  - have behavioral health conditions (SMI, and/or engage in Intravenous Drug Use) regardless of viral load status; **and**
    - had three or more in-patient hospitalizations; **OR**
    - four or more Emergency Room visits in the last year; **OR**
    - homelessness



# Health Home Care Management-HIV/AIDS

- For HIV/AIDS, documentation indicating HIV status, date of diagnosis, most recent viral load count and most recent CD4 count must be documented in the care management record
- On-going monitoring and documentation of the member's viral load count and most recent CD4 count should be documented
- Specific services outlined how the member's chronic condition is being addressed and care is being evaluated



# Special Considerations for HIV/AIDS

- An individual may not reveal if they are HIV+ upon initial enrollment in a Health Home or the individual may not be aware of their HIV status
- Best Practice: HIV testing and risk screening
- New York State Law states that all individuals ages 13 years of age and older should be asked about HIV testing





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# Intellectual / Developmental Disabilities (I/DD)

# Eligibility for Enrollment in Care Coordination Organization/Health Home (CCO/HH) or HH Serving Children or Adults

NYS' Health Home eligibility criteria was expanded to serve individuals with intellectual and/or developmental disabilities (I/DD) chronic conditions, including:

1. Intellectual Disability
2. Cerebral Palsy
3. Epilepsy
4. Neurological Impairment
5. Familial Dysautonomia
6. Prader-Willi Syndrome
7. Autism

- The term Autism includes all diagnoses within Autism Spectrum Disorder.  
- The term Neurological Impairment refers to a disease or disorder that results in a neurological impairment or degeneration that affects the integrity of the individual's musculature and central nervous system. Examples of potentially qualifying neurological impairments include, but are not limited to, Duchenne's Muscular Dystrophy and traumatic brain injury.



# CCO/HH Enrollment Criteria

- Individuals who have at least one of the I/DD HH Chronic Conditions, **and**
- Received a determination made by OPWDD that such I/DD condition results in a substantial handicap to their ability to function normally in society
  - With onset prior to age 22 and likelihood of indefinite continuation  
i.e., individuals who are eligible for OPWDD Home and Community Based Services (HCBS) and meet level of care criteria



# HH Serving Children or Adults – I/DD Enrollment Criteria

Individuals who have at least one of the I/DD HH Chronic Conditions (within one of the 7 Major Categories)

- I/DD diagnosis originated before the age of 22 with likelihood of indefinite continuation,

**AND**

One or more of the other diagnoses included in the HH Chronic Conditions list,

**AND**

Must be Medicaid eligible and meet the other appropriateness and enrollment criteria





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# Documentation

## Case Record, Billing Instances and MAPP HHTS



# Ensuring HH Eligibility and Billing

Earlier this month, guidance was issued regarding “Chronic Conditions and Billing Questionnaire/HML Selection” to ensure consistent documentation of the member’s chronic conditions in the member’s case record aligns with billing HH eligibility

[https://www.health.ny.gov/health\\_care/medicaid/program/medicaid\\_health\\_homes/docs/chronic\\_conditions\\_and\\_billing\\_questionnaire\\_selection\\_guidance\\_final.pdf](https://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/docs/chronic_conditions_and_billing_questionnaire_selection_guidance_final.pdf)

It is important that proper documentation in the member’s case record outlines how the member met HH eligibility and appropriateness and that it is accompanied with the appropriate clinical documentation as outlined in the guidance and the previous slides

Members that may not meet a HH single qualifying condition, may meet Health Home eligibility based upon two chronic conditions

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# Documentation in the Billing Instances

- For billing instances on or after July 1, 2018, two additional selections are available when responding to the chronic conditions question on the Billing Questionnaire/HML
  - SED/SMI and
  - One or more DD conditions
- This question appears on screen as: “Please select the conditions most applicable to the member. Selections should not exceed eight chronic conditions”
- Should a member you are serving have SED/SMI or DD, the ‘SED/SMI’ or ‘DD’ selections must be selected **prior to** selecting other chronic conditions.
- Continuing to select “other” and write SED/SMI or DD into the comments section is **no longer considered acceptable** for dates of service on or after July 1, 2018.



# Documentation in the Billing Instances

Children's Billing Questionnaire Print

Base Acuity

Risk

What is the member's Diagnosis/ Diagnoses?

Please select the conditions most applicable to the member. Selections should not exceed eight chronic conditions. \*

<input type="checkbox"/> SED/SMI	<input type="checkbox"/> Heart Disease
<input type="checkbox"/> Mental Health	<input type="checkbox"/> Overweight
<input type="checkbox"/> Substance Abuse	<input type="checkbox"/> HIV/AIDS
<input type="checkbox"/> Asthma	<input type="checkbox"/> Complex Trauma (under 21 years of age)
<input type="checkbox"/> Diabetes	<input type="checkbox"/> One or more DD conditions

Other

Were two Health Home services provided, one of which must be a face-to-face encounter with the child? \*

Has the child been in Foster Care at any time this month? \*

**PLEASE NOTE:** SMI/SED *should only* be selected if the member **meets** the Health Home single qualifying condition as outlined



# Questions



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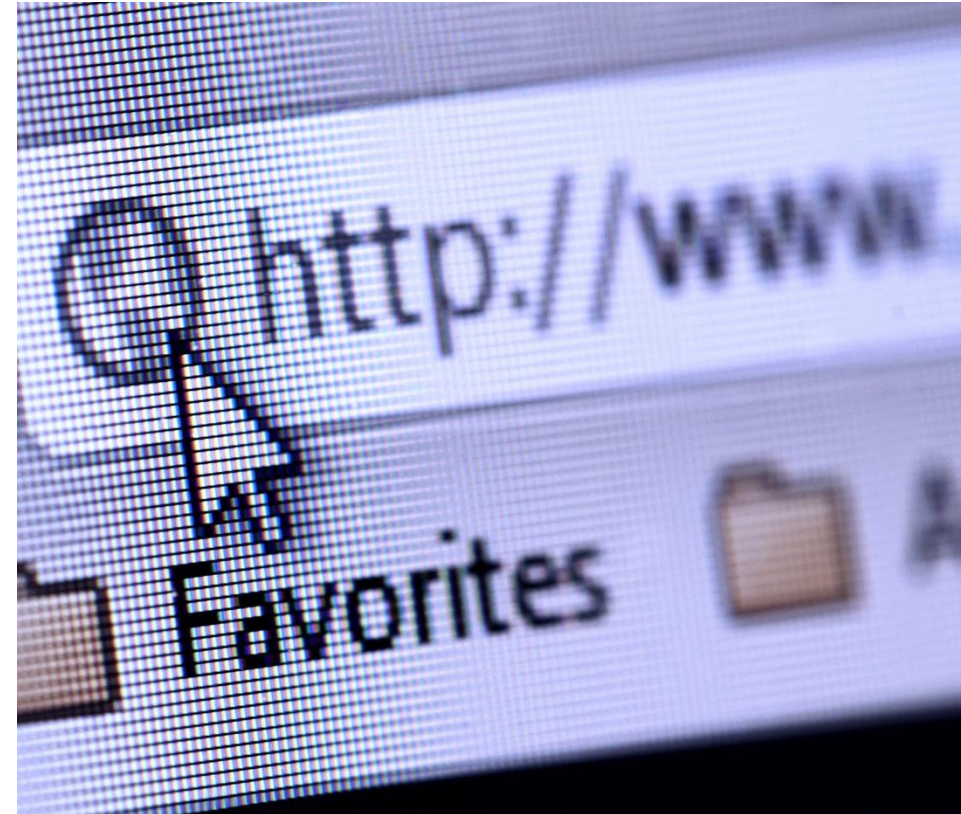
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# Updates, Resources, Training Schedule and Questions

- Please send any questions, comments or feedback on Health Homes Serving Children to: [hhsc@health.ny.gov](mailto:hhsc@health.ny.gov) or contact the Health Home Program at the Department of Health at 518.473.5569
- Stay current by visiting our website: [http://www.health.ny.gov/health\\_care/medicaid//program/medicaid\\_health\\_homes/health\\_homes\\_and\\_children.htm](http://www.health.ny.gov/health_care/medicaid//program/medicaid_health_homes/health_homes_and_children.htm)
- Subscribe to the HH Listserv [http://www.health.ny.gov/health\\_care/medicaid/program/medicaid\\_health\\_homes/listserv.htm](http://www.health.ny.gov/health_care/medicaid/program/medicaid_health_homes/listserv.htm)



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