

Section 9.1: RHIO Technical Application Forms

TECHNICAL APPLICATION CHECKLIST/FORMAT

1. Technical Application

- ___ 9.1.2 Technical Application Cover Page
- ___ 9.1.3 Consent Form for Co-Applying RHIOs
- ___ 9.1.4 Eligible Applicant Certification
- ___ 9.1.5 Technical Application
 - Table of Contents
 - Executive Summary/Project Description (max. 3 pages)
 - Eligible Applicant Description
 - Organization Plan
 - Technical Plan
 - Clinical Plan
 - Leadership/Personnel
 - Project Management
- ___ 9.1.6 Vendor Responsibility Information/Attestation

2. Packaging the Application

- ___ No cost information can be included in the Technical Application.
- ___ The package must contain:
 - ___ Two original, signed, Technical Applications
 - ___ Two clearly labeled CDs or USB drives that each contain a full copy of the Technical Application
 - ___ Two original, signed Financial Applications that each contain a full copy of the Financial Application
- ___ The CDs or USB Drives must be organized as follows:
 - ___ One exact PDF file (copy) of the Technical Application that is searchable (on each CD or USB Drive)
 - ___ One exact PDF file (copy) of the Technical Application attachments, forms and checklists that is searchable (on each CD or USB Drive)
 - ___ One exact PDF file (copy) of the Financial Application that is searchable (on each CD or USB Drive)
 - ___ One exact PDF file (copy) of the Financial Application attachments, forms and checklists that is searchable (on each CD or USB Drive)
- ___ Applications will be accepted if delivered by 3PM on the date shown on the RGA cover page.
- ___ Application package is labeled:
 - HEAL NY Phase 5: Health Information Technology Grants
 - RGAs # 0708160258
- ___ Application must be 12 pt. font and no more than 30 pages in length; responses should be in the body of the application (not in attachments); attachments should only supplement, not substitute for application content.

4. Please double check that

- Eligible Application Certification is signed and included in the application.
- Vendor Responsibility Questionnaire is included or an electronic version has been submitted to the State Comptroller's website and the certification attesting to submission is signed and included.
- Cover pages are signed.

Part One: Technical Application

HEAL NY Phase 5 Technical Application – RHIOs Cover Page

Eligible RHIO Applicant Name _____
 Co-RHIO Applicant* (If Applicable) _____
 Project Name _____

Instructions:

1. Eligible RHIO applicants may seek HEAL NY Phase 5 Health IT grant funding in one or more of three categories. Each application must include all mandatory application components in addition to addressing, in detail, category specific clinical investment priorities. Applicants should check the appropriate application grant category. Within each category, identify the clinical investment priorities (see Section 2.1 for descriptions) for which funding is being sought. **If an eligible applicant is seeking funding in multiple categories, a separate application for each category must be submitted.**

<input type="checkbox"/> Category One – Statewide Health Information Network for New York (SHIN-NY) <u>Clinical Investment Priorities (Select at least 2 priorities)</u> <input type="checkbox"/> Connecting New Yorkers and Clinicians <input type="checkbox"/> Interoperable EHRs for Medicaid <input type="checkbox"/> Health Information Exchange for Public Health <input type="checkbox"/> Quality Reporting for Outcomes	<input type="checkbox"/> Category Two – Clinical Informatics Services (CIS) <u>Clinical Investment Priorities (Select at least 1 priority)</u> <input type="checkbox"/> Quality Reporting for Outcomes <input type="checkbox"/> Clinical Decision Support in an HIE Environment
<input type="checkbox"/> Category Three – Community-wide EHR <u>Clinical Investment Priorities (Select at least 1 priority)</u> <input type="checkbox"/> Immunization Reporting via EHRs <input type="checkbox"/> Quality Reporting for Prevention <input type="checkbox"/> Interoperable EHRs for Medicaid	

2. Please Select Applicable Region(s)

<input type="checkbox"/> New York City	<input type="checkbox"/> Northern
<input type="checkbox"/> Long Island	<input type="checkbox"/> Central
<input type="checkbox"/> Hudson Valley	<input type="checkbox"/> Western

3. Lead RHIO Applicant is not a not-for-profit corporation.
4. Application does contain at least six different types of stakeholders as defined in Section 3.1.1.1.

IMPORTANT: The Technical Application, including this cover page, must NOT contain ANY information regarding the Project cost. Information relative to Project cost is to be included in only the Financial Application. Eligible Applicants failing to comply may be eliminated from further review.

Contact Information (Lead RHIO)

Name _____ Title _____
 Address _____
 Phone _____ Fax _____ E-mail _____

Signature of an individual who will be authorized to bind the Eligible Applicant to any GDA resulting from this application:

Signature _____ Date _____

* RHIO choosing to co-apply with another RHIO must complete the Consent Form for Co-Applying RHIOs

CONSENT FORM FOR CO-APPLYING RHIOS

***REQUIRED FOR APPLICATIONS WITH CO-APPLYING RHIOS ***

Lead Applicant RHIO in Grant Application

- Lead Applicant has requested and received consent from the co-applicant RHIO listed below to fully participate and assist in the development and implementation of the Statewide Health Information Network for New York State (SHIN-NY) project described in the grant application. Lead Applicant understands that it will be asked to sign a Grant Disbursement Agreement relating to the entire project should the application lead to an award.

Lead Applicant Name: _____ (please type)

Lead Applicant Authorized Signature: _____
Date: _____

Participant RHIO in Grant Application (Please list all participants)

- Participant understands all aspects of the SHIN-NY project described in the grant application submitted by the Lead Applicant (above) and consents to its inclusion therein.
- If the grant is awarded, Participant agrees to fully cooperate in the implementation of the SHIN-NY project described in the grant application and consents to Lead Applicant executing a Grant Disbursement Agreement in connection therewith.

Participant RHIO Name: _____ (please type)

Participant Authorized Signature: _____
Date: _____

**CERTIFICATION FOR
HEAL NY GRANTS**

I hereby warrant and represent to the New York State Department of Health (“DOH”) and the Dormitory Authority of the State of New York (“the Authority”) that:

- Applicant will make every effort to ensure that the project described in this application will be consistent with the goals and recommendations of the Commission on Health Care Facilities in the Twenty-First Century, as established pursuant to Section 31 of Part E of Chapter 63 of the Laws of 2005.
- All contracts entered into by the Grantee in connection with the Project shall (A) provide that the work covered by such contract shall be deemed “public work” subject to and in accordance with Articles 8, 9 and 10 of the Labor Law; and (B) shall provide that the contractors performing work under such contract shall be deemed a "state agencies" for the purposes of Article 15A of the Executive Law
- If awarded a HEAL NY grant, the funds will be expended solely for the project purposes described in this RGA and in the GDA and for no other purpose.
- I understand that in the event that the project funded with the proceeds of a HEAL NY grant ceases to meet one or more of the criteria set forth above, then DOH and/or the Dormitory Authority shall be authorized to seek recoupment of all HEAL NY grant funds paid to the Grantee and to withhold any grant funds not yet disbursed.

Applicant Name _____

Co-Applicant (if applicable) _____

Project Name _____

Signature _____ Date _____

Name (Please Print) _____

Title (Please Print) _____

Please note that in accordance with Part 86-2.6 of the Commissioner’s Administrative Rules and Regulations, **ONLY** the following individuals may sign the attestation form:

- Proprietary Sponsorship – Operator/Owner
- Voluntary Sponsorship – Officer (President, Vice President, Secretary or Treasurer), Chief Executive Officer, Chief Financial Officer or any Member of the Board of Directors
- Public Sponsorship – Public Official Responsible for Operation of the Facility

HEAL NY Phase 5 Technical Application - RHIOs

Eligible RHIO Applicant Name _____

Co-RHIO Applicant (If Applicable) _____

Project Name _____

A. Cover Page

B. Table of Contents

C. Executive Summary/Project Description

This part of the Technical Application must briefly describe:

- The overall project, including brief summary of each section below.
- How the project meets HEAL NY Phase 5 Health IT objectives and requirements, including use cases and technical discussion document (See Sections: 7.1 and 7.2).
- Description of eligible applicant and how the eligible applicant and stakeholders meet the minimum requirements (Section 7.4).

D. Eligible Applicant – RHIO Description

Describe your organization and provide basic information regarding eligible applicant’s history, vision and mission. Characterize stakeholder involvement, the depth and breadth of the service area and scope of services. See Section 3.1.

E. Organizational Plan

See Section 5.2.1

F. Technical Plan

See Section 5.2.2

G. Clinical Plan

See Section 5.2.3

H. Leadership and Personnel Qualifications

See Section 5.2.4

I. Project Management

See Section 5.2.5

Vendor Responsibility

New York State Procurement Law requires that state agencies award contracts only to responsible vendors. Vendors are invited to file the required Vendor Responsibility Questionnaire online via the New York State VendRep System or may choose to complete and submit a paper questionnaire. To enroll in and use the New York State VendRep System, see the VendRep System Instructions available at www.osc.state.ny.us/vendrep or go directly to the VendRep system online at <https://portal.osc.state.ny.us>. For direct VendRep System user assistance, the OSC Help Desk may be reached at 866-370-4672 or 518-408-4672 or by email at helpdesk@osc.state.ny.us.

Vendors opting to file a paper questionnaire can obtain the appropriate questionnaire from the VendRep website www.osc.state.ny.us/vendrep or may contact the Department of Health or the Office of the State Comptroller for a copy of the paper form. Applicants must also complete and submit the Vendor Responsibility Attestation (Attachment 9.1.6).

Vendor Responsibility Attestation

To comply with the Vendor Responsibility Requirements outlined in Section IV, Administrative Requirements, H. Vendor Responsibility Questionnaire, I hereby certify:

Choose one:

- An on-line Vendor Responsibility Questionnaire has been updated or created at OSC's website: <https://portal.osc.state.ny.us> within the last six months.
- A hard copy Vendor Responsibility Questionnaire is included with this application and is dated within the last six months.
- A Vendor Responsibility Questionnaire is not required due to an exempt status. Exemptions include governmental entities, public authorities, public colleges and universities, public benefit corporations, and Indian Nations.

Signature of Organization Official: _____

Print/type Name: _____

Title: _____

Organization: _____

Date Signed: _____