New York State Electronic Certificate of Need HCS Applicant Training Submit Application

Version 4.0

NYS Department of Health

Revisions

January 2015

 Page 25: Added Executive Summary and updated all screen images to reflect Executive Summary tab.

January 2016

1. Page 25: Added rich text features to the Executive Summary.

February 2017

1. Page 14: Added option for use of NY.gov ID.

April 2018

- 1. Pages 30-44: Added Sites Tab functions.
- 2. Page 6: Added redesigned submission process.

May 2018

1. Updated screen images throughout for the improved submission process.

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Submit New York State Electronic Certificate of Need (NYSE-CON) Application

Overview

Contents

In this document one will learn how to:

- 1 Create a New Submission
- 2 Search for a Facility
- 3 View the Facility Results
- 4 Enter the Executive Summary
- 4 View Existing Applications
- 5 Save a New Submission
- 6 Add Application Documents
- 7 Submit the Project
- 8 Modify a Submission

This process is for Applicants who have Submitter role for NYSE-CON.

Users outside DOH who are submitting a CON application, notice or construction notice, or have 'update' access to a CON application or notice, are considered an Applicant.

For the Health Commerce System (HCS) NYSE-CON, applicants must have an HCS account. An HCS Coordinator will need to grant one access for one's organization.

As a CON-Submitter one can:

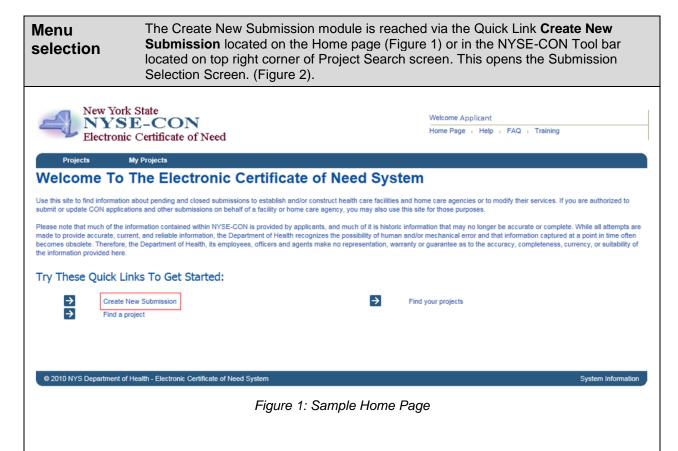
- Create new applications and modify them before submission
- View all tabs, upload documents, respond to correspondence
- Submit the application

As a CON_Updater, one can:

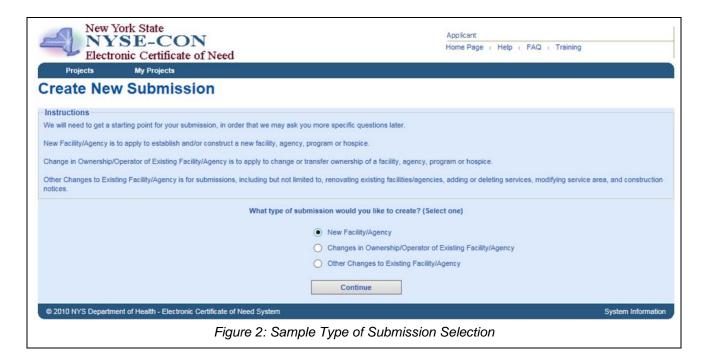
- Modify applications before submission
- View all tabs, upload documents, respond to correspondence

Note: CON Updater cannot create an application or submit it.

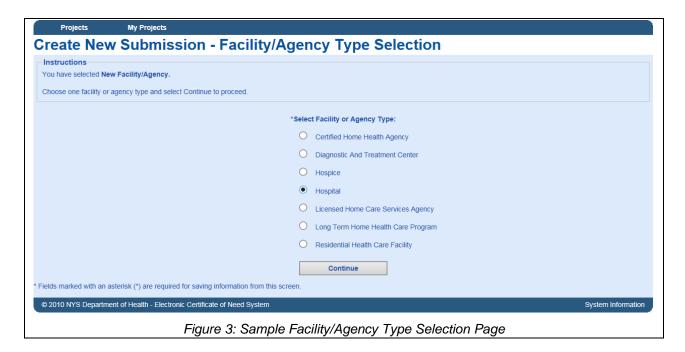
Creating a New Submission



Submission Type Selection Starting Point



Facility/Agency Type Selection



Select Submission Type



Facility Search

Learning Objective	Step	Action
How to Search for a Facility/	1	Enter or select the desired criteria* in the Facility/Agency Search screen. Note: Facility information must be valid in Health Facilities Information System (HFIS)
Agency	2	Click the Search button. Result: The Create New Submission – Facility/Agency Search Result screen appears (Figure 7) with the Project search results sorted alphabetically by Facility Name.



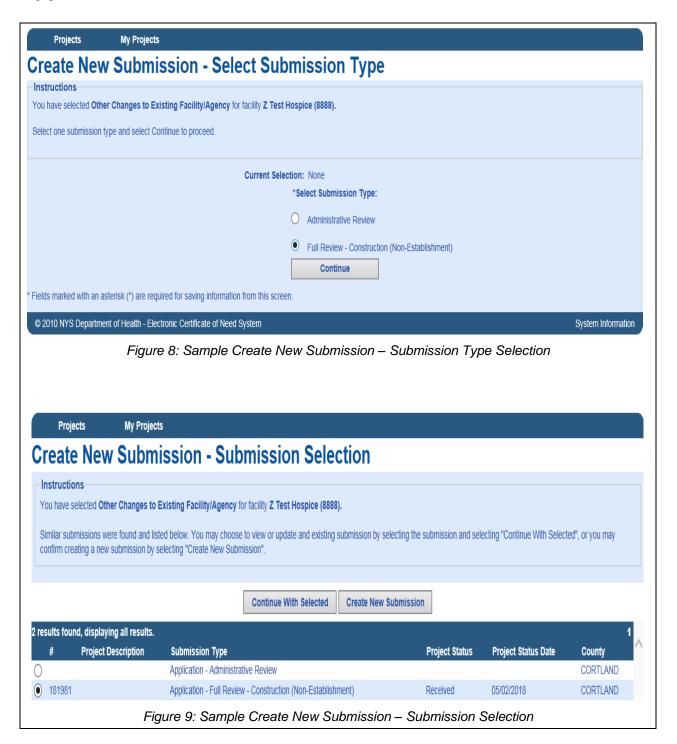


Facility Selection

Learning Objective	Step	Action
How to Select a	1	Select the radio button next to the Facility Type (Figure 7).
Facility and Continue	2	Select the Continue with Selected button. Result: The Create New Submission – Select Submission Type screen appears (Figure 8). The Submission Selection screen (figure 9) shows all the pending applications submitted for the selected Facility Name.

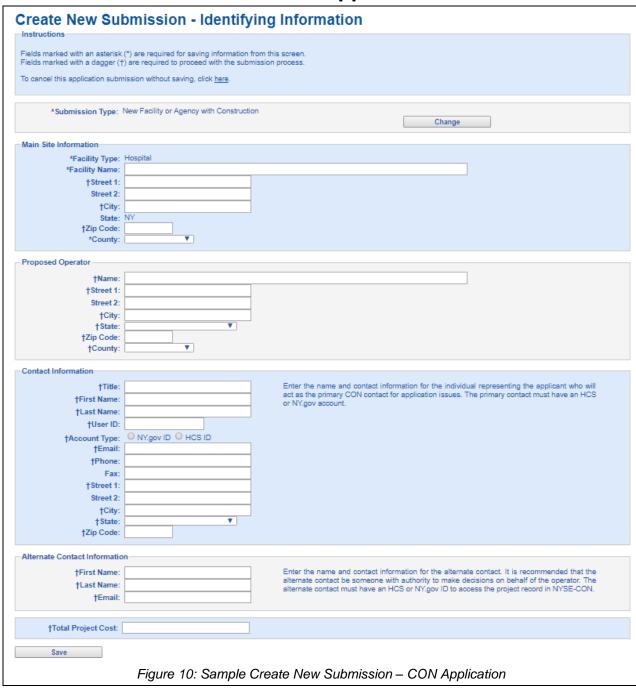


Application Selection

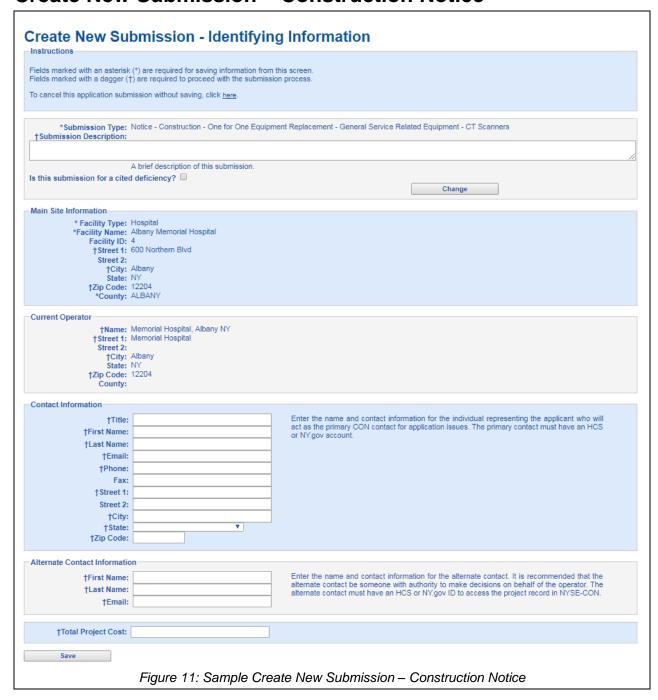


Learning Objective	Step	Action
How to Enter Information and Save a New	1	The Submission Type will auto fill (Figure 11) based on your previous selection. You can change Submission Type by selecting the change button. By selecting the Change button, the submission process will restart from Select Submission Type screen.
	2	Main Site Information will auto fill based on information submitted to Health Facilities Information System. If one is entering a New Facility enter the Main Site information.
	3	Operator Information will auto fill from HFIS.
	4	Enter the Proposed Operator Information. If applicable, select the checkbox that allows to copy the same information for proposed operator as the current operator.
	5	Enter the Contact Information. If available enter Alternate Contact information.
	6	Enter the Total Project Cost amount (also known as the Submitted Capital Cost).
	7	Select the Save button. Result: General Information page will appear with the information entered is displayed, along with instructions of what to do next.

Create New Submission – CON Application



Create New Submission – Construction Notice



Learning Objective	Step	Action
How to Modify the Submission Type	1	On the Create/Modify page, select the Change button next to the Submission Type Create New Submission - Identifying Information
		*Submission Type: Change in Ownership with Construction Change
		Figure 12: Sample Change Submission Type
	2	Select Yes to proceed.
	2	Changing the submission type will restart the process and some information that has been entered for this project may be deleted. Do you wish to proceed? Yes No Figure 13: Sample Confirm Change Submission Type Postart from the Submission Type agrees (Figure 4)
	3	Restart from the Submission Type screen (Figure 4).

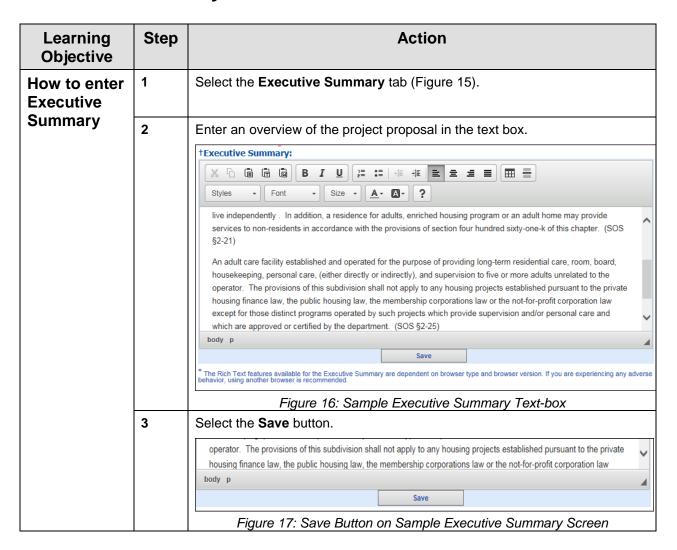
General Information

NOTE: One can save the project with minimal data but the fields marked with a dagger (†) are required to be filled to create a project. After creating the project, one can see the General Information Screen. For Submission one needs to submit an Executive Summary along with all the required documents listed in the Application tab.

Select Modify button to continue to enter additional project information.

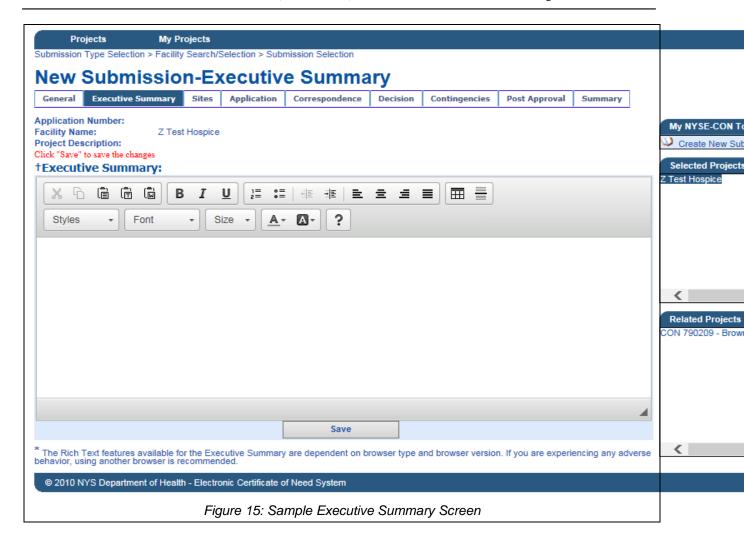


Executive Summary



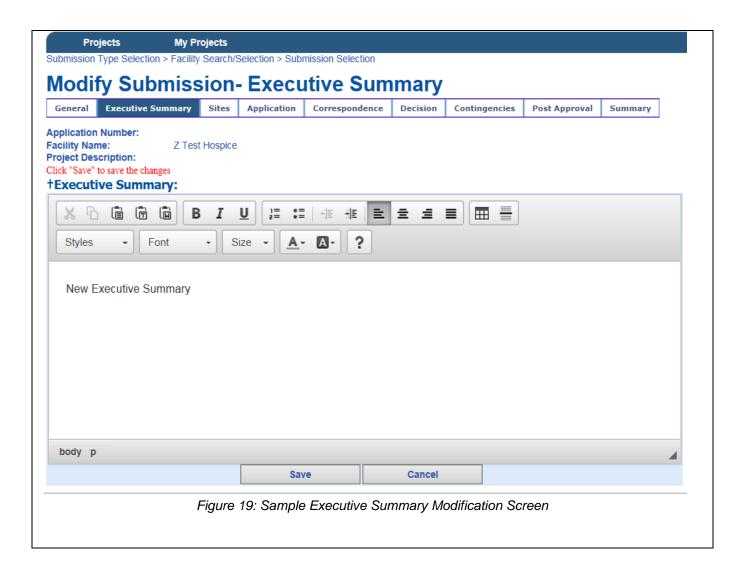
Rich Text Limitations

When pasting content containing images into the Executive Summary Editing Textbox, the images will not be copied.



Modify Executive Summary

Learning Objective	Step	Action
How to modify the	1	Select the Executive Summary tab.
Executive Summary after Submission	2	Select the Modify button below the text box. Projects Submission Type Selection > Facility Search/Selection > Submission Selection Executive Summary General Executive Summary Sites Application Correspondence Decision Contingencies Post Approval Summary Application Number: Facility Name: Z Test Hospice Project Description: Print Executive Summary †Executive Summary †Executive Summary: Last Modified: 02/16/2018 11:40:27 AM New Executive Summary * The Rich Text features available for the Executive Summary are dependent on browser type and browser version. If you are experiencing any adverse behavior, using another browser is recommended. Figure 18: Modify Button on Sample Executive Summary Screen
	3	Edit the summary in the Executive Summary Editing Textbox (Figure 19).
	4	Select the Save button (Figure 19).



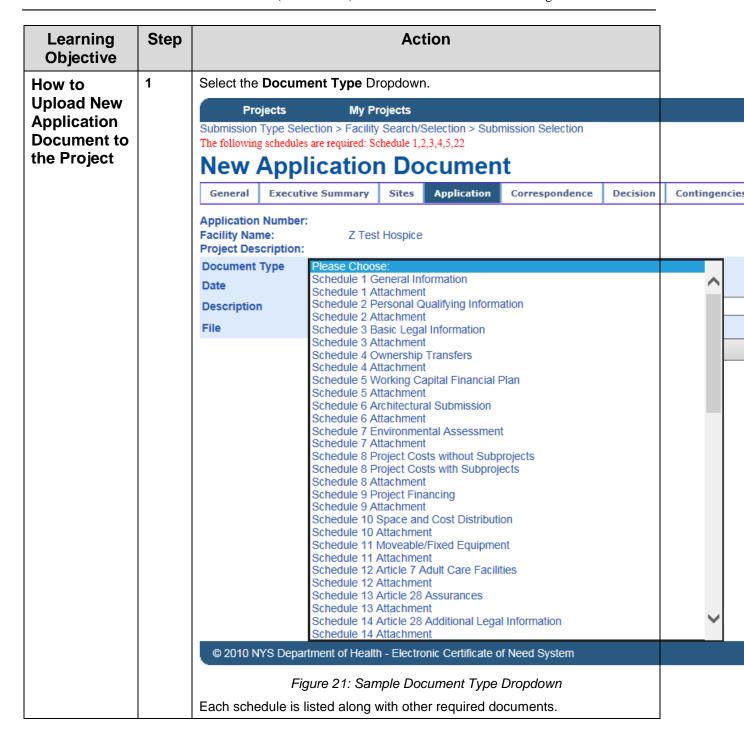
Sites

Please refer to the training document "HCS Applicant Training Sites v1.0"

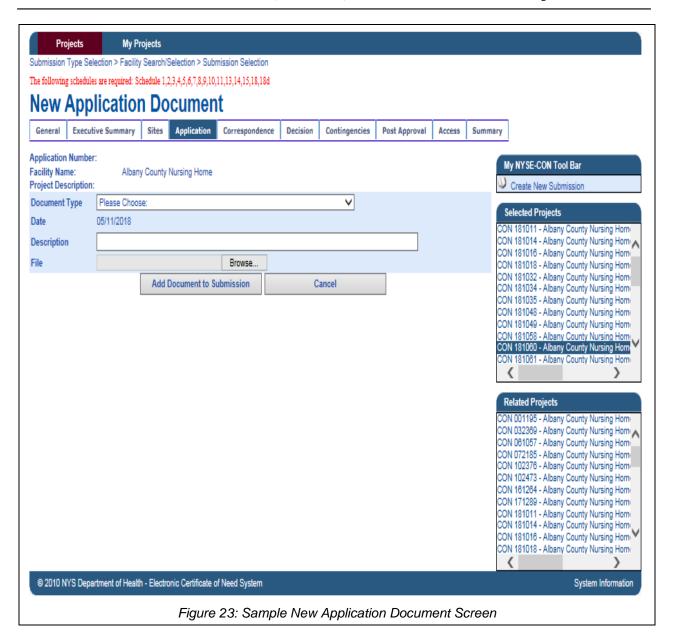
Application

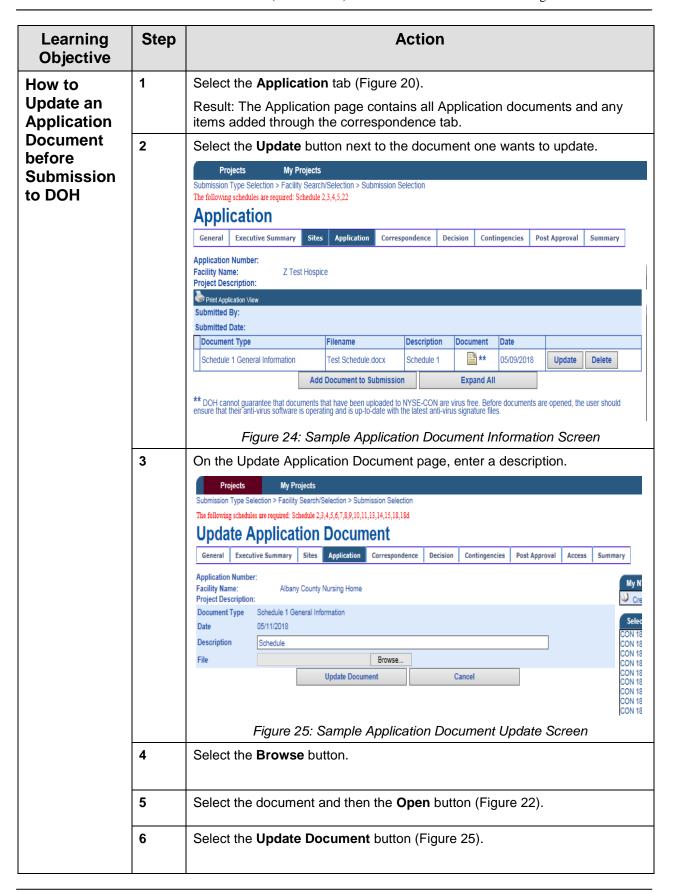
Learning Objective	Step	Action
How to Add Documents to the	1	Select the Application tab (Figure 20). Result: Application page will appear it will display a message listing any required documents.
Project	2	Select the Add New Application Document button.

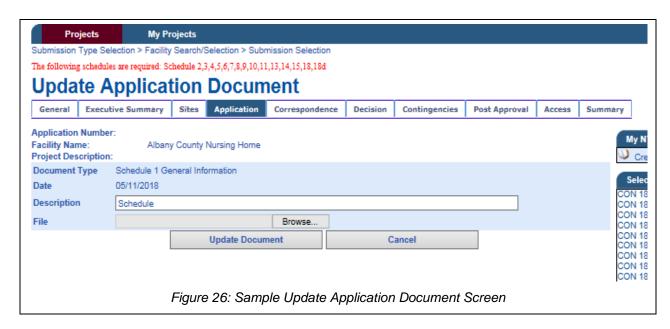
Select the Application tab to attach the application documents to the project. The system will display a list of the required schedules and/or documents that are required to submit the project to DOH. Projects My Projects Submission Type Selection > Facility Search/Selection > Submission Selection The following schedules are required: Schedule 2,3,4,5,22 Application **Executive Summary** Sites Application Correspondence Decision Contingencies Post Approval General Summary Application Number: Facility Name: Z Test Hospice Project Description: Print Application View Submitted By: **Submitted Date:** Document Type Filename Description Document Date **==**** Schedule 1 General Information Test Schedule.docx Schedule 1 05/09/2018 Update Delete **Add Document to Submission Expand All** ** DOH cannot guarantee that documents that have been uploaded to NYSE-CON are virus free. Before documents are opened, the user should ensure that their anti-virus software is operating and is up-to-date with the latest anti-virus signature files. Figure 20: Sample Application Screen

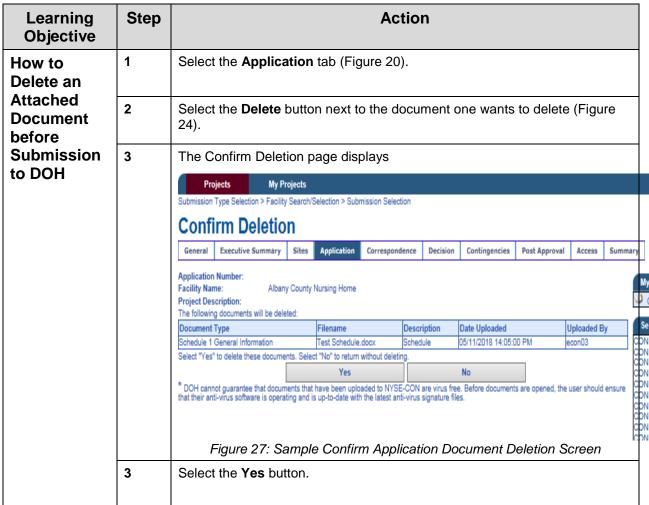


Learning Objective	Step	Action
	2	Enter a unique description for every document being attached (Figure 23).
		Note: Each instance of the Multi-Instance document should have a unique description to differentiate between different instances of the same document type.
		Example 1: A project requiring a new construction can have multiple instances (Plan-A, Plan-B and Plan-C) of the same Document Type (Architectural Plan).
		Example 2: Board members A, B and C are required to send in their identification details for a project submission. They could send multiple instances (ID-A, ID-B and ID-C) of the same document type (ID) for a single submission.
	3	Select the Browse button.
		Note depending on one's browser one will see different windows. Select the file that matches the Document Type selected.
		Choose File to Upload
		Desktop Desktop Search Desktop
		Organize ▼ New folder
		My Documents My Music Libraries System Folder
		System Folder My Pictures
		My Videos Ritika.Sood System Folder
		Searches System Folder
		↓ sybase Computer System Folder
		♥ Local Disk (C:)
		DVD RW Drive (doh_shared (\\d
		Network Access 2016
		2UA3521L93 Shortcut 2.39 KB
		₩ 2UA438159D ₩
		File name: ✓ All Files (*.*) ✓ Ones
		Open Canceli
		Figure 22: Sample Browse for Document Screen
	4	Select the document and then the Open button.
	5	Select the Add Document to Submission button (Figure 23).









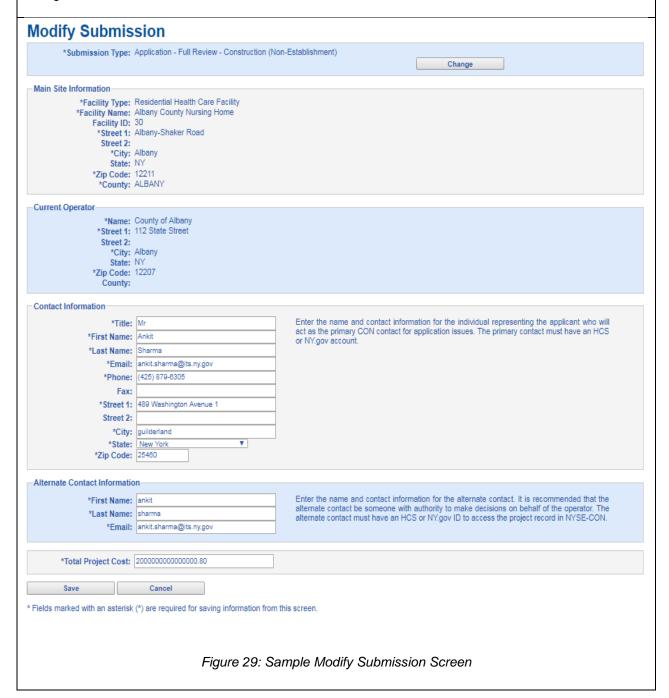
Modify Submission

Learning Objective	Step	Action
How to Modify an	1	Select the General Information tab (Figure 28).
Application before Submission to DOH	2	On the General Information Screen select the Modify button.
	3	Edit the required fields in the Identifying Information Screen (Figure 29).
	4	Select the Save button.



Figure 28: Sample General Information Screen

The **Modify** button is available until the application is submitted. Once submitted there can be no further changes made.



Submit Application or Notice

The **Submit** button is available on the General Information page. It can be selected once all required fields have been entered. If all required documents have been added to the application the confirmation page will be displayed.

Learning Objective	Step	Action
How to Submit an	1	Select the General Information tab (Figure 28).
Application to DOH	2	Select the Submit button (Figure 28).
10 2011		Note: Only when all required documents have been attached to the application will one be allowed to submit the application.
		Result: The Confirmation screen appears
	3	Select the Confirm button.



Figure 30 Sample Application Submission Confirmation Screen